

Improved Gulf Stream separation through Brinkman penalization

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ABSTRACT

The advantage of a smooth representation of bathymetry in terrain-following σ -coordinate ocean models is compromised by the need to avoid numerical errors on steep slopes associated with pressure gradient discretization or spurious diapycnal diffusion. Geopotential z -coordinate models avoid these errors, but greatly underrepresent the interaction of flow with a topographic slope, especially when the bathymetry is underresolved. Hybrid coordinate models are also deficient because it is difficult to find a satisfactory compromise between z and σ coordinates. More general vertical coordinates (not just combinations of z and σ) can also be used, in particular for ocean interior, but without solving the problems associated with the representation of bathymetry. With volume penalization, we do not seek a compromise, but rather a correction to the usual coordinate systems that realistically recovers continuous and steep bathymetry. The Brinkman volume penalization method studied here is a modified version of the one introduced in Debreu *et al.* (2020) that simplifies the numerical implementation of the penalization, increases robustness and improves its computational performance for realistic long-term simulations, while preserving accuracy. We apply this penalization method to the Gulf Stream separation problem that has puzzled modelers for decades. The method improves the representation of the flow-topography interaction and achieves realistic separation of the Gulf Stream at resolutions as coarse as $1/8^\circ$. In addition, it provides a tool to separate the effect of eddy activity and topographic slope when changing grid resolution. This has never before been possible because at coarse resolution none of the usual coordinate systems can properly represent a steep continental slope. Our results show that realistic bathymetry is more important than eddy activity in ensuring realistic Gulf Stream separation, even though many recent studies tend to focus on the eddy activity. A steep slope can exert a stabilizing influence that promotes a strong mean slope current with strong inertia that helps it separate from the coast at the topographic curvature of Cape Hatteras. We anticipate that a successful topographic slope correction will be very valuable for climate models, as their current resolution is far from sufficient to represent western boundary currents (WBCs) using traditional coordinate systems. Our results suggest that a climate model with a $1/4^\circ$ resolution using volume penalization — and perhaps also some parameterization of the eddy-mean flow interaction to energize the WBCs — could represent ocean circulation much more realistically than a model at the same resolution, but without volume penalization.

1. Introduction

Vertical coordinate systems used in ocean models have shortcomings in how they represent bottom topography and treat the flow-bottom interaction. Errors can arise through misrepresentation of the bottom boundary condition, or from the coordinate transformation procedure. The separation of the Gulf stream from the coast is both an emblematic and an acute example of the failure of vertical coordinate systems to correctly represent realistic ocean dynamics. Here, we revisit this problem with a new method for representing the bottom topography that largely corrects the limitations of existing methods. The Gulf

Stream separation problem and the penalization method are introduced below.

1.1. The conundrum of Gulf Stream separation

The Gulf Stream (GS), an example of a Western Boundary Current (WBC), is one of the strongest ocean currents on Earth and a major feature of the global ocean circulation that has control on the Earth's climate (Lee *et al.*, 2018; Hewitt *et al.*, 2017; Minobe *et al.*, 2008). There are iconic feature of WBCs, for which the mechanisms and modeling capability are still highly debated. One of these is the

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GS separation from the coast, which was associated early on with the curvature of the coast and the inertia required to overcome the topographic steering (Dengo, 1993; Couvelard et al., 2008; Ezer, 2016; Schoonover et al., 2016). More recently, the separation of WBCs have been linked in ocean models to the resolution of mesoscale activity through eddy-mean flow interaction (McWilliams, 2008; Bryan et al., 2007; Chassignet and Marshall, 2008). A mechanistic description of eddies interacting with bathymetry has also emerged (Gula et al., 2015), showing that large isobath curvatures tend to maximize eddy energy transfer, and thus flow-bathymetry interaction. The influence of eddies is somewhat mitigated by dissipation mechanisms, especially those provided by surface drag on the atmosphere in air-sea coupled models (Renault et al., 2019). However, flow-bathymetry interaction near isobath curvature remains the primary mechanism.

Failing to properly represent the effect of bottom bathymetry results, in many numerical models, in the GS overshooting Cape Hatteras, i.e., separating from the coast further north than observed (Chassignet and Marshall, 2008; Schoonover et al., 2016; Ezer, 2016). This is because the bottom pressure torque (not the wind-stress curl) is the main component balancing the planetary vorticity advection (beta term) in the local vorticity budget (e.g., Myers et al., 1996; Bell, 1999; Hughes and de Cuevas, 2001; Couvelard et al., 2008; Schoonover et al., 2016). Therefore, GS separation is related to local, rather than basin-scale, wind-driven dynamics. The implication is that misrepresenting the local interactions between flow and bathymetry can have an impact on the entire basin and beyond. It is now accepted that GS separation is more realistic in models using terrain-following σ - or s -coordinates rather than the geopotential z -coordinates (see the model intercomparison study by Schoonover et al., 2016). However, topographic slopes are limited in these models by numerical constraints and a resolution finer than $1/10^\circ$ is required to avoid excessively smooth bathymetry that results in an unrealistically low bottom pressure torque — high resolution also allows for realistic generation of mesoscale eddies. However, resolutions as fine as $1/50^\circ$ are recommended for a realistic GS separation (Chassignet and Xu, 2017; Hurlburt and Hogan, 2000). We will demonstrate that penalization permits a similar result at $1/8^\circ$ resolution.

The advantage of a smooth representation of bathymetry in terrain-following models is impaired by the need to avoid numerical errors over steep bathymetry associated with the pressure gradient discretization (Shchepetkin and McWilliams, 2003) and spurious diapycnal diffusion (Marchesiello et al., 2009; Lemarié et al., 2012). For this reason, some attempts have been made to use hybrid coordinates that transition from terrain following coordinates in shallow regions to z -coordinates at depth (e.g., Ezer and Mellor, 2004; Chassignet and Xu, 2017). However, these models show the usual deficiencies in GS simulations. Arguably, it is difficult to find a satisfactory compromise that would get the best of each coordinate system over their preferred regions, i.e., the continental shelf, slope, or deep ocean. Here, we are not looking for a compromise, but rather a correction to the classical coordinate systems that realistically recovers a continuous, steep bathymetry (i.e., without the step-like features that produce unrealistic vorticity perturbations). A more accurate and robust vertical coordinate system would be very valuable for climate models, as their resolution is far from sufficient to represent WBCs using z -coordinates. The volume penalization method that performs such a correction is presented below.

1.2. Penalization of bathymetry in ocean models

Volume penalization is a simple and elegant way to implicitly impose Neumann (no penetration) and Dirichlet (no slip) boundary conditions in numerical methods for partial differential equations (PDEs) (Arquis and Caltagirone, 1984). In a penalization approach the PDE is solved on a computational domain with simple geometry (e.g., a rectangular domain with a regular grid) and the boundary conditions are imposed approximately by *penalizing* the solution outside the actual

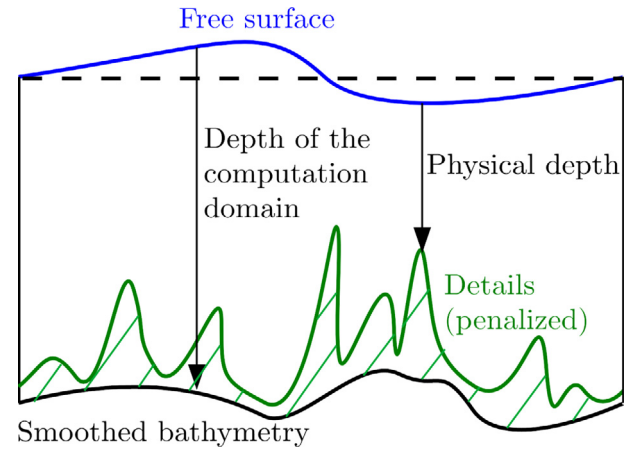


Fig. 1. Smoothed bathymetry profile defining the computational domain (black) and penalized area, defining small scale details in green.

solution domain. Fig. 1 illustrates the distinction between the computational and physical domains. In this figure, and more generally in this paper, the computational domain is based on a smoothed version of the physical domain and the penalization is applied (in the green area) to reintroduce bathymetry details. Volume penalization has been particularly effective over the past two decades for approximating no-slip boundary conditions in fluid-structure interaction problems (e.g. Angot, 1999; Angot et al., 1999; Kevlahan and Ghidaglia, 2001; Kevlahan and Vasilyev, 2005), but its application to realistic three-dimensional oceanic problems is new.

In Debreu et al. (2020) we introduced a version of volume penalization based on the notion of flow through a porous medium to approximate the complex multi-scale geometry of ocean bathymetry in realistic ocean models. This method is an extension of a previous work by Kevlahan et al. (2015) to approximate the no-slip lateral boundary conditions in a global shallow water model. In this version, the accuracy of the penalization is controlled by two parameters: the porosity α and the permeability ϵ (see Eqs. (2)–(5) and definition (6)). The solid regions are defined by a (smoothed) mask. To approach no-slip boundary conditions, both the porosity and permeability are set very small in the solid regions. To approximate non-penetrating conditions, only porosity is used and the friction term associated with permeability (see Eq. (2)) is not included. Kevlahan et al. (2015) showed that the error of the penalization approximation of no-slip boundary conditions is $O(\alpha\epsilon^{1/2})$.

Volume penalization has several advantages for representing the bottom bathymetry in ocean models. First, because the penalized region does not suffer from the topographic slope limitations imposed by pressure gradient errors, it is possible to use more realistic bathymetry at a given computational grid resolution than with the usual σ -coordinates terrain-following grid. Secondly, because the solid regions are represented implicitly by a mask, it is not necessary to rely on the computational grid to describe the geometry of the solid-fluid interface in detail. Finally, the permeability and porosity parameters can be tuned to model the subgrid-scale structure of bathymetry (Adcroft, 2013), or the composition of the seafloor substrate (e.g., deltas, marshes, flooded urban areas Guinot et al., 2018). Penalization methods are also ideal for dynamically adaptive codes (Hejazi Hosseini et al., 2010), which was the original motivation of Kevlahan and Vasilyev (2005) and Kevlahan et al. (2015).

Section 2 introduces the Coastal and Regional Ocean Community model (CROCO; Shchepetkin and McWilliams, 2005; Debreu et al., 2012) configuration and presents the realistic configuration for the Gulf Stream simulation. In Section 3, we describe the penalization method in detail, and propose several improvements to our previous approach,

leading both to more robustness and better computational performance. In Section 4, the penalization is then implemented in the CROCO model and its performance is validated in realistic simulations of the GS. Several simulations and diagnostics are performed to compare runs with and without penalization. Conclusions are drawn in Section 5.

2. Standard model configuration

CROCO is a free-surface, terrain-following coordinate regional ocean model with barotropic–baroclinic mode split-explicit time stepping (Shchepetkin and McWilliams, 2005; Debreu et al., 2012; Soufflet et al., 2016). Here, we use the Boussinesq and hydrostatic approximations, and although a non-Boussinesq and non-hydrostatic solver is also available (Hilt et al., 2020; Marchesiello et al., 2021), it is not required for the mesoscale resolutions used in this paper.

The North Atlantic regional domain is a subset of that presented in Renault et al. (2019). The grid encompasses the Florida and GS currents in the western North Atlantic, from 82°W to 45°W and 22°N to 47°N, with three horizontal spatial resolutions: 1/4°, 1/8° and 1/12°. We use 32 generalized σ -coordinate vertical levels (Lemarié et al., 2012) with stretching parameters $h_{cline} = 200$ m, $\theta_b = 2$, and $\theta_s = 7$. The bathymetry is constructed from the Shuttle Radar Topography Mission (SRTM30 plus) dataset based on the 1-min (Sandwell and Smith, 1997) global dataset and higher-resolution data where available. To avoid aliasing at higher resolution than the computational grid and to ensure the smoothness of topography at the grid scale, a Gaussian smoothing kernel with a width four times the 1-min topographic grid spacing is used. In the non-penalized σ -coordinate (or s -coordinate) configuration, pressure gradient errors caused by steep slopes are kept at acceptable levels by applying a local smoothing to the bottom topography H (i.e. using a selective filter on $\log(H)$ to reduce $r = \text{grad}(H)/H$) so that the r -factor (Beckmann and Haidvogel, 1993) does not exceed the critical value of 0.25. In addition to the three spatial resolutions, we present a 1/12° simulation with smoother bathymetry interpolated from the 1/4° configuration. This test will allow us to evaluate the effect of resolution that is not associated with a change in topography.

In each case, the model is run for 15 years, using a monthly climatology of atmospheric surface fluxes from COADS (freshwater and heat fluxes) and from QuikSCAT (momentum fluxes). Surface current feedback to the atmosphere (top drag) is applied using a stress correction approach for momentum fluxes (Renault et al., 2020). Vertical mixing of tracers and momentum is done with a K-profile parameterization (KPP; Large et al., 1994). At oceanic open boundaries, boundary data of temperature, salinity, surface elevation, and horizontal velocities are taken from the year 2000 monthly-mean Simple Ocean Data Assimilation (SODA) product (Carton and Giese, 2008). The open boundary condition algorithms consist of an active-passive 2D radiation scheme for the tracers and baroclinic mode and a modified Flather-type scheme for the barotropic mode (Marchesiello et al., 2001). The bottom drag τ_b is computed assuming that the flow in the bottom boundary layer has a vertical logarithmic profile, defined by a bottom roughness length z_{0b} (m), which takes a constant value of 10^{-2} m in our simulations:

$$(\tau_{bx}, \tau_{by}) = \left(\frac{\kappa}{\log \frac{z}{z_{0b}}} \right)^2 \sqrt{u_b^2 + v_b^2} (u_b, v_b), \quad (1)$$

where u_b and v_b are the bottom currents in the log layer at a height z above the ocean floor (here corresponding to the first vertical grid level) and $\kappa = 0.41$ the von Karman constant. The formulation of the bottom stress given here will be revisited in the penalization section.

3. Description of the penalization method

3.1. Penalization in the primitive equations of the ocean

The volume penalization method investigated here is a modified version of the one introduced in Debreu et al. (2020). The improvements simplify its numerical implementation, but do not affect its accuracy or theoretical basis. For simplicity, we consider a two-dimensional

$x - z$ domain in Cartesian coordinates, bounded above by the free surface $\eta(x, t)$ and below by the bathymetry $H(x)$. We also neglect viscous/diffusive terms and the Coriolis force and the model is presented in terms of density alone instead of temperature and salinity.

The prognostic equations are written in a conservative form using the generalized vertical coordinate system $s(x, z, t)$, with $h = \partial z / \partial s$, as

$$\frac{\partial \tilde{h}u}{\partial t} + \frac{\partial \tilde{h}u^2}{\partial x} + \frac{\partial \tilde{h}u\Omega}{\partial s} = -\tilde{h} \left(g \frac{\partial \eta}{\partial x} + \frac{1}{\rho_0} \frac{\partial p_h}{\partial x} + \frac{\rho}{\rho_0} g \frac{\partial z}{\partial x} \right) - \frac{1}{\epsilon} \mathbb{1}(x, z) \tilde{h}u, \quad (2)$$

$$\frac{\partial \tilde{h}}{\partial t} + \frac{\partial \tilde{h}u}{\partial x} + \frac{\partial \tilde{h}\Omega}{\partial s} = 0, \quad (3)$$

$$\frac{\partial p_h}{\partial s} = -h\rho g, \quad (4)$$

$$\frac{\partial \tilde{h}\rho}{\partial t} + \frac{\partial \tilde{h}u\rho}{\partial x} + \frac{\partial \tilde{h}\Omega\rho}{\partial s} = 0, \quad (5)$$

where the penalized height $\tilde{h} = \phi h$, and ϕ is the porosity

$$\phi(x, z) = \begin{cases} \alpha & \text{in the penalized solid regions,} \\ 1 & \text{in the fluid regions.} \end{cases} \quad (6)$$

The last term on the right hand side of Eq. (2) is the drag due to the finite permeability ϵ of the porous medium. The mask function $\mathbb{1}$ defining the penalized solid regions is

$$\mathbb{1}(x, z) = \begin{cases} 1 & \text{in the interior of the penalized solid regions,} \\ 0 & \text{in the interior of the fluid regions.} \end{cases} \quad (7)$$

Neglecting the drag term and setting the porosity $\alpha \ll 1$ approximates a Neumann no-penetration boundary condition at solid horizontal and vertical boundaries. Adding the drag term with small permeability $\epsilon \ll 1$ approximates a no-slip Dirichlet boundary condition¹ at solid horizontal and vertical boundaries.

To avoid numerical oscillations, a smoothed mask is defined starting from a hyperbolic tangent profile in the vertical direction (see (15)).

The volume penalization can therefore approximate either a Neumann no-penetration boundary condition (if the drag term is not included), or a no-slip Dirichlet boundary condition (using both the porosity and permeability terms). When a no-penetration boundary condition is desired, it is sufficient to take $\alpha = O(10^{-6})$ since the accuracy of the approximation is $O(\alpha)$. In this case the penalization scheme imposes no stability constraint on the time step. In contrast, when a no-slip boundary condition is implemented, the boundary condition is imposed with an accuracy $O(\alpha\epsilon^{1/2})$. Therefore, both parameters α and ϵ can be varied to control the accuracy of the penalization. However, the permeability penalization term is stiff and therefore imposes a stability constraint $\Delta t \leq \epsilon$ for an explicit Euler method in time. The temporal discretization of the penalization term is discussed in Section 3.2.2.

3.2. Improvements to the original algorithm

In this section we propose some modifications of the discrete implementation of the penalization method to simplify it and improve computational performance compared with the original implementation described in Debreu et al. (2020). The principal changes concern the computation of layer thicknesses and the temporal discretization of the penalization term. In addition, we describe a modification to the traditional barotropic–baroclinic mode splitting that accounts for variable porosity ϕ . In practice, these modifications allow the penalized simulations to run nearly as fast as the original model without penalization.

¹ Formally, this condition applies only to the viscous/diffusive system used in practice in numerical simulations.

3.2.1. Computation of layer thicknesses

In [Debreu et al. \(2020\)](#), we showed that in order for the method to conserve total energy and, more importantly, to represent correctly the transfer between kinetic and potential energy, the following commutation property must be satisfied,

$$\frac{\partial}{\partial t} \left(\phi(x, s, t) \frac{\partial z}{\partial s} \right) = \frac{\partial}{\partial s} \left(\phi(x, s, t) \frac{\partial z}{\partial t} \right), \quad (8)$$

where s is the generalized vertical coordinate. Eq. (8) can be rewritten to give an equation for the change in porous layer thicknesses,

$$\frac{\partial \tilde{h}}{\partial t} = \frac{\partial}{\partial s} \left(\phi(x, s, t) \frac{\partial z}{\partial t} \right). \quad (9)$$

As shown in [Debreu et al. \(2020\)](#), property (8) simply expresses the fact that the porosity ϕ at a fixed z is assumed to not depend on time: $\partial \phi(x, z, t) / \partial t|_z = 0$ or $\phi(x, z, t) = \phi^0(x, z)$. At the discrete level, the thickness of layer k , between two s levels, $(s_{k-1/2}, s_{k+1/2})$ is given by

$$\begin{aligned} \tilde{h}_k(x, t) &= \int_{s_{k-1/2}}^{s_{k+1/2}} \phi(x, s, t) h(x, s, t) ds = \int_{s_{k-1/2}}^{s_{k+1/2}} \phi(x, s, t) \frac{\partial z}{\partial s} ds \\ &= \int_{z_{k-1/2}}^{z_{k+1/2}} \phi(x, z, t) dz. \end{aligned}$$

This naturally leads to a prognostic equation:

$$\frac{\partial \tilde{h}_k(x, t)}{\partial t} = \phi^0(x, z_{k+1/2}(x, t)) \frac{\partial z_{k+1/2}(x, t)}{\partial t} - \phi^0(x, z_{k-1/2}(x, t)) \frac{\partial z_{k-1/2}(x, t)}{\partial t} \quad (10)$$

which is the discrete version of (9). As in [Debreu et al. \(2020\)](#), the following two important relations follow.

$$\tilde{H}(x, t) = \sum_{k=1}^N \tilde{h}_k(x) = \int_{-H(x)}^{\eta(x, t)} \phi^0(x, z) dz,$$

and

$$\frac{\partial \tilde{H}(x, t)}{\partial t} = \phi^0(x, \eta(x, t)) \frac{\partial \eta(x, t)}{\partial t} = \frac{\partial \eta(x, t)}{\partial t},$$

where at the free surface $z = \eta$, we have $\phi^0(x, \eta(x, t)) = 1$.

However, this discrete implementation imposes a computational overhead by requiring:

- (a) Computation of a new porosity function $\phi(x, s, t)$ at each time step (since in (9), the vertical coordinate s depends on time).
- (b) Storage of layer thicknesses for diagnostic purposes.

In the proposed simplification of the penalization method, at each time step, once the layer interfaces $z_{k+1/2}$ have been computed in the generalized vertical coordinate system, the layer thicknesses are diagnosed using

$$\tilde{h}_k(x, t) = \int_{z_{k-1/2}(x, t)}^{z_{k+1/2}(x, t)} \phi^0(x, z) dz. \quad (11)$$

This avoids having to integrate the discrete time evolution equation (10). The new kinematic relation (11) simply requires the specification of the time-independent porosity $\phi^0(x, z)$ defining the solid regions (which is based on the mask $\mathbb{1}(x, z)$ and the porosity parameter α).

As in [Guinot et al. \(2018\)](#), the porosity $\phi^0(x, z)$ is first tabulated on a vertical grid $G^0(z_{k+1/2}^0(x))$ with a much higher vertical resolution than the actual computational grid (see [Fig. 2](#)). Then, the model porosity is found by integrating the high resolution porosity conservatively between two interfaces of coarser computational grid. In this paper, for simplicity we assume that ϕ^0 is constant over each layer of the high resolution vertical grid G_0 : $\phi^0(x, z) = \phi_k^0(x)$, $z_{k-1/2}^0(x) < z < z_{k+1/2}^0(x)$. The details of computation of ϕ^0 are given in [Appendix](#). Note that the porosity on the computational grid includes information about subgrid scale bathymetry encoded as an intermediate value of the porosity $0 < \alpha < 1$ near the fluid–structure interface. This is a kind of homogenization of fine-scale bathymetry on the scale of the computational

grid. Note, however, that in this paper, the representation of subgrid bathymetry is not fully exploited. In particular, at the discrete level, the porosity and permeability at the cell interfaces are deduced (by simple averaging) from their cell-averaged values. It would be interesting to consider the ideas of [Guinot \(2012\)](#) and [Adcroft \(2013\)](#) in future work.

3.2.2. Temporal discretization of the penalization term

In [Debreu et al. \(2020\)](#), a simple explicit formulation of the penalization term was used. For simplicity, barotropic–baroclinic mode splitting was avoided (see [Section 3.2.4](#)) which required using a small time step to satisfy the stability condition based on the external wave propagation speed $c = \sqrt{gH}$. This small time step allowed the use of a similarly small (and highly accurate) penalization coefficient in comparison with the time scale of other physical processes.

Considering only the vertical direction in the variation of the mask $\mathbb{1}(z)$, the one-dimensional penalized equation is

$$\frac{\partial u}{\partial t} = F(u) - \frac{1}{\epsilon} \mathbb{1}(z)u. \quad (12)$$

A splitting method can be used to integrate (12) in two steps,

$$(1) \frac{\partial u}{\partial t} = F(u), \quad (2) \frac{\partial u}{\partial t} = -\frac{1}{\epsilon} \mathbb{1}(z)u.$$

A provisional value of u at time $(n+1)$ is first obtained:

$$u^{n+1, \star} = u^n + \Delta t F(u),$$

and then the penalization term is included, either explicitly,

$$u^{n+1} = (1 - \frac{\Delta t}{\epsilon} \mathbb{1}(z)) u^{n+1, \star}, \quad (13)$$

or implicitly,

$$u^{n+1} = \frac{1}{1 + \frac{\Delta t}{\epsilon} \mathbb{1}(z)} u^{n+1, \star},$$

or with exact time integration,

$$u^{n+1} = e^{-\frac{\Delta t}{\epsilon} \mathbb{1}(z)} u^{n+1, \star}.$$

The interpolating penalization ([Rasmussen et al., 2011](#)) consists in starting from the explicit version (13) with the specific choice of ϵ such that $\mu = \Delta t / \epsilon = 1$ (i.e. the minimum stable ϵ) which simply leads to,

$$u^{n+1} = (1 - \mathbb{1}(z)) u^{n+1, \star}. \quad (14)$$

This implementation choice will be made in the following.

In practice, the smoothed version of the mask function is defined as a function of r , the signed distance to the solid–fluid interface scaled by the local grid size. r is defined by $r = -(z - z_{\text{bottom}}) / \Delta z$ and is positive in the solid region and negative in the fluid region. As an example, in [Debreu et al. \(2020\)](#), the smoothed mask function $\mathbb{1}_S(r)$ was given by a hyperbolic tangent:

$$\mathbb{1}_S(r) = \frac{1}{2} (1 + \tanh \lambda(r - r_0)), \quad (15)$$

where the values of λ and r_0 were such that $\mathbb{1}_S(-1/2) = 1/25$ and $\mathbb{1}_S(1/2) = 2/5$. Following [Rasmussen et al. \(2011\)](#), [Fig. 3](#) shows $u^{n+1} / u^{n+1, \star}$ for different values of μ and for different temporal discretizations (explicit, implicit, interpolating). The main advantage of an explicit scheme with $\mu = 1$ (i.e. the above interpolating penalization) is direct control of the profile of the penalized velocity near the fluid–solid boundary, which is directly linked to the shape of the smoothed mask function. On the contrary, an implicit scheme requires adjusting both the value of μ and the shape of the original mask function in order to obtain the desired velocity profile. In [Fig. 3](#), large values of μ are required for the solid region to be fully penalized (i.e. zero velocity). This advantage, however, comes at the price of an extension of the penalized domain to the fluid region (i.e. the effective position of the boundary is shifted slightly inwards). This could be corrected by increasing the value of λ in the definition of the smooth mask function (15).

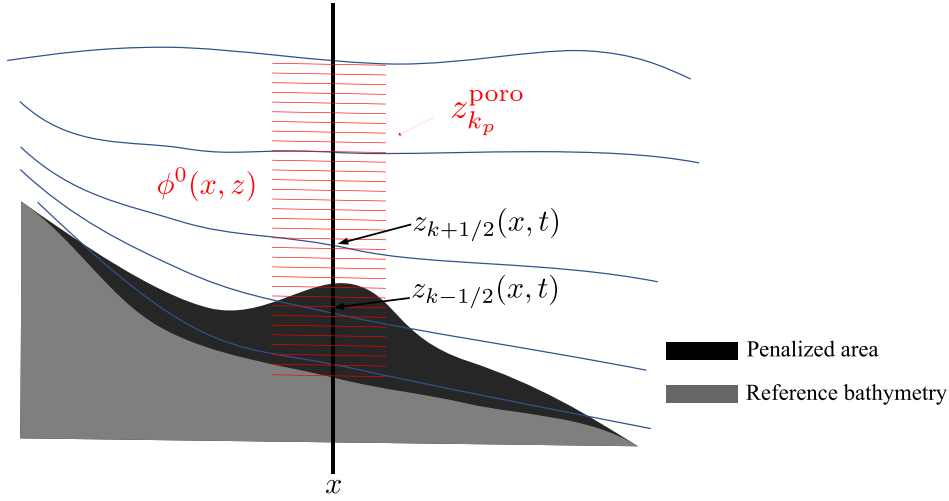


Fig. 2. Porosity $\phi^0(x, z)$ defining a higher resolution of the bathymetry. The red lines indicate the high resolution vertical grid G_0 , the blue lines indicate the actual computational vertical grid. The reference bathymetry is smooth enough to ensure an acceptable pressure gradient error, while penalization is used to provide a more accurate representation of the true bathymetry. Note that we impose the constraint that the penalized area must always lie above the reference bathymetry.

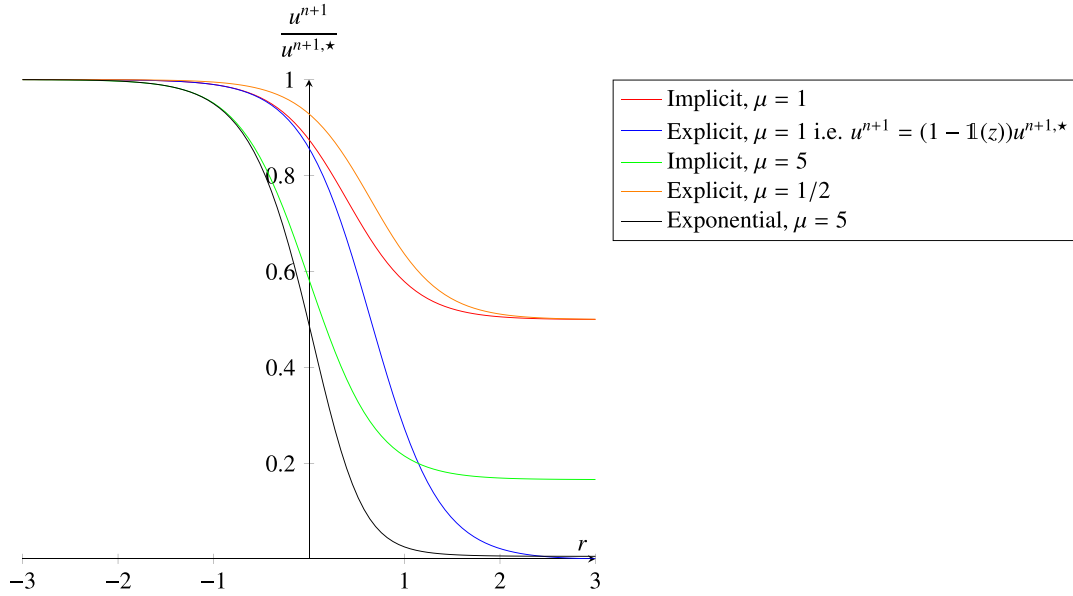


Fig. 3. Different time discretizations of the penalization term for various values of the parameter $\mu = \Delta t/\epsilon$. $r = 1$ corresponds to only one vertical grid spacing Δz . The exact solution u^{n+1} would be a Heaviside (step) function $H(-r)$.

3.2.3. Penalization and bottom stress parameterization

With the interpolating penalization given by (14), choosing the shape of smoothed mask function is identical to the choice of the penalization itself. Therefore, in the following, we do not differentiate between the two. The shape of the smoothed mask function (15) and its coefficients λ, r_0 are somewhat arbitrary. This is particularly true for the associated penalization value in the first cell above the ocean bottom. For realistic applications, a reasonable choice could be for this value to coincide with the one given by the vertical logarithmic profile and associated bottom stress (see Section 2). Doing this, we ensure that in the limiting case where the penalization domain is empty (the bottom of the discrete domain matches the true ocean bottom), we recover the solution of the unpenalized equations.

At the discrete level, assuming a basic Euler time stepping, the bottom friction is implemented in the numerical model as

$$(\tilde{h}u)^{n+1} = (\tilde{h}u)^n - \Delta t \tau_{bx}, \quad (16)$$

while the penalization term is implemented as described above by

$$(\tilde{h}u)^{n+1} = (\tilde{h}u)^n (1 - \mathbb{1}(z)). \quad (17)$$

The procedure is then as follows: the bottom stress τ_{bx} is computed using the velocity at the last ocean level and at an altitude $z = z_b$ (or $r = r_b$) corresponding to this last ocean level. Then, in order for the two formulations (16) and (17) to match, we impose

$$\mathbb{1}_S(r_b) = \min \left(\frac{\Delta t}{(\tilde{h}u)^n} \tau_{bx}, 1 \right), \quad (18)$$

where τ_{bx} is given by (1). Note that this includes a clipping of the penalization coefficient to ensure that its value is less than one. In the original unpenalized equations, the same clipping is applied to the bottom stress τ_{bx} . The general expression of the penalization is then given by:

$$\begin{aligned} \mathbb{1}_S(r) &= \mathbb{1}_S(r_b) + (1 - \mathbb{1}_S(r_b)) \tanh(\lambda(r - r_b)) & \text{for } r \geq r_b \\ &= 0 & \text{for } r < r_b \end{aligned} \quad (19)$$

where, by construction, $r_b = -(z_b - z_{\text{bottom}})/\Delta z \in [-1, 0]$. The penalization coefficient thus changes from $\mathbb{1}(z_b)$ at the last ocean level to 1 inside the solid region. The maximum slope of the smooth mask function is given by $\lambda(1 - \mathbb{1}(r_b))$, and naturally decreases when the bottom stress (and thus the penalization term) is already large (close to 1) at the last ocean level. In the realistic experiments of Section 4 λ will be taken as in Debreu et al. (2020), $\lambda \approx 1.38629$.

3.2.4. Mode splitting and barotropic–baroclinic correction with porosity

In contrast to the initial idealized experiments of Debreu et al. (2020), the present realistic numerical experiments take advantage of barotropic–baroclinic mode splitting. We first recall the natural definition of the penalized barotropic velocity component

$$\bar{u}(x, t) = \frac{1}{\bar{H}(x, t)} \int_{z=-H(x)}^{z=\eta(x, t)} \phi(x, z) u(x, z, t) dz,$$

$$\bar{H}(x, t) = \int_{z=-H(x)}^{z=\eta(x, t)} \phi(x, z) dz,$$

or in s coordinates by

$$\bar{u}(x, t) = \frac{1}{\bar{H}(x, t)} \int_{s=-1}^{s=0} \tilde{h}(x, s, t) u(x, s, t) ds, \quad \bar{H}(x, t) = \int_{s=-1}^{s=0} \tilde{h}(x, s, t) ds.$$

In discrete form, the penalized barotropic velocity is

$$\bar{u}(x, t) = \frac{1}{\bar{H}(x, t)} \sum_{k=1}^N \tilde{h}_k(x, t) u_k(x, t), \quad \bar{H}(x, t) = \sum_{k=1}^N \tilde{h}_k(x, t).$$

In a standard primitive equation implementation of barotropic–baroclinic mode splitting, the two-dimensional barotropic velocity field, which is derived from the time integration of the depth averaged equations, is used to correct the three-dimensional baroclinic velocity field. Because the vertical dependence of the error between the barotropic velocity and the vertically integrated baroclinic velocities is not known, this is usually done by adding a vertically constant correction to the three-dimensional velocity. The corrected velocity u_k^{n+1} is then derived from the provisional velocity $u_k^{n+1,*}$ as

$$u_k^{n+1} = u_k^{n+1,*} + \left[\bar{u}^{n+1} - \frac{1}{\bar{H}} \sum_k \tilde{h}_k u_k^{n+1,*} \right].$$

When a volume penalization method is used, this is of course not efficient since the correction is applied even in the fully penalized solid regions (where the mask is equal to one), leading to spurious non-zero velocities. We therefore propose to take into account the mask of the penalized domain by modifying the correction according to the following relation

$$u_k^{n+1} = u_k^{n+1,*} + \beta_k (1 - \mathbb{1}_k) \left[\bar{u}^{n+1} - \frac{1}{\bar{H}} \sum_{k=1}^N \tilde{h}_k u_k^{n+1,*} \right].$$

The coefficient β_k is chosen to ensure that the corrected depth-integrated three-dimensional velocity is, as required, equal to the barotropic velocity $\bar{u}^{n+1}$. This leads to the following constraint

$$\frac{1}{\bar{H}} \sum_{k=1}^N \beta_k (1 - \mathbb{1}_k) \tilde{h}_k = 1. \quad (20)$$

We then simply compute a set of weights β_k which minimizes $\sum_k (\beta_k - 1)^2$ under the constraint (20). This leads to the following computation of β_k :

$$\beta_k = 1 + \frac{\sum_{k=1}^N \mathbb{1}_k \tilde{h}_k}{\sum_{k=1}^N [(1 - \mathbb{1}_k) \tilde{h}_k]^2} (1 - \mathbb{1}_k) \tilde{h}_k. \quad (21)$$

In practice, this method slightly enhances the barotropic–baroclinic correction in the fluid region $(1 - \mathbb{1}_k)\beta_k = 1 + \epsilon, \epsilon \ll 1$, but does not alter the 3D velocities in the solid region $(1 - \mathbb{1}_k)\beta_k \approx 0$.

4. Results: comparing σ -coordinates and penalized simulations

In this section we assess the qualitative and quantitative accuracy of the penalization algorithm by comparing simulations of the GS using penalized (denoted σ_p in the following) and σ vertical coordinates at resolutions $1/4^\circ$, $1/8^\circ$ and $1/12^\circ$. We also present results computed at resolution $1/12^\circ$ using smoother bathymetry interpolated from the $1/4^\circ$ standard configuration. This provides a basis for discussing the sensitivity of the penalization method to the choice of reference bathymetry, independent of grid resolution. When available, observational AVISO satellite data products are also included.

4.1. Penalized configurations

To construct the penalization we need to define the base (or reference) bathymetry (h_{base}) and “true” bathymetry (h_{true}). At a given resolution, the base bathymetry is the smooth σ -coordinate bathymetry ($r_{\text{max}} = 0.25$), while the “true” bathymetry is the base bathymetry, but without smoothing. (To avoid aliasing and ensure smoothness at the grid scale, Gaussian smoothing is applied to the true bathymetry to obtain the base bathymetry used in the σ -coordinate simulations.) The penalized area is the difference between the true and base bathymetries. We impose the constraint that $h_{\text{base}} \geq h_{\text{true}}$. This is ensured by increasing h_{base} where needed.

4.2. Sensitivity to resolution

Figs. 4 and 5 present the 5-year mean sea surface height (SSH) and sea surface temperature (SST) for simulations at different resolutions in the standard σ -coordinate and penalized cases. In the standard σ -coordinate case, an overshooting of the GS is clearly evident at $1/4^\circ$ resolution, but improves with resolution $1/8^\circ$ and is accurate only at $1/12^\circ$. The $1/12^\circ$ solution is similar to observations, and correctly shows the separation at Cape Hatteras (Renault et al., 2019). We note also a loop over the Charleston Bump in the upstream region between the Florida Strait and Cape Hatteras. This loop is described in the literature (Gula et al., 2015), but not represented in the AVISO product, which is too coarse. The GS path is realistic at this resolution, although the recirculation seems a bit weak.

The above results are typical for terrain-following coordinate models applied to the GS system (Schoonover et al., 2016). An important question raised by the present study is whether penalization can improve the solution at a resolution coarser than $1/12^\circ$. Penalization does indeed appear to improve realism at lower resolutions since the $1/4^\circ$ penalized run is significantly closer to the $1/12^\circ$ σ -coordinate run than the $1/4^\circ$ σ -coordinate run. The $1/8^\circ$ penalized run is very close to the $1/12^\circ$ σ -coordinate run, with a realistic GS separation. On the other hand, the $1/12^\circ$ penalized run is similar to the $1/12^\circ$ σ -coordinate run, which is expected if resolution convergence has been achieved. Nevertheless, the penalized run produces a more realistic GS: thinner and stronger than the σ -coordinate run, with stronger recirculation on the north and south sides.

The results for the mean SST are consistent with those of the mean SSH. In the $1/4^\circ$ penalized run, the GS appears to have two temperature signatures, one of which overshoots, while the other separates at Cape Hatteras. The separation is not present at all in the $1/8^\circ$ σ -coordinate run. The $1/12^\circ$ σ -coordinate run appears to have converged, although the penalized run again produces a better defined SST signature in the mean (and better preserved cold-water pool north of the GS), which can be attributed to a more stable GS trajectory after separation (see below).

Fig. 6 shows the resolution sensitivity of the model for the mean eddy kinetic energy (EKE) with respect to a gridded EKE Drifter AVISO product (Laurindo et al., 2017). Since mesoscale eddies arise from the mixed barotropic and baroclinic instability of the mean flow (Gula et al., 2015), it is not surprising to find maximum EKE values along the

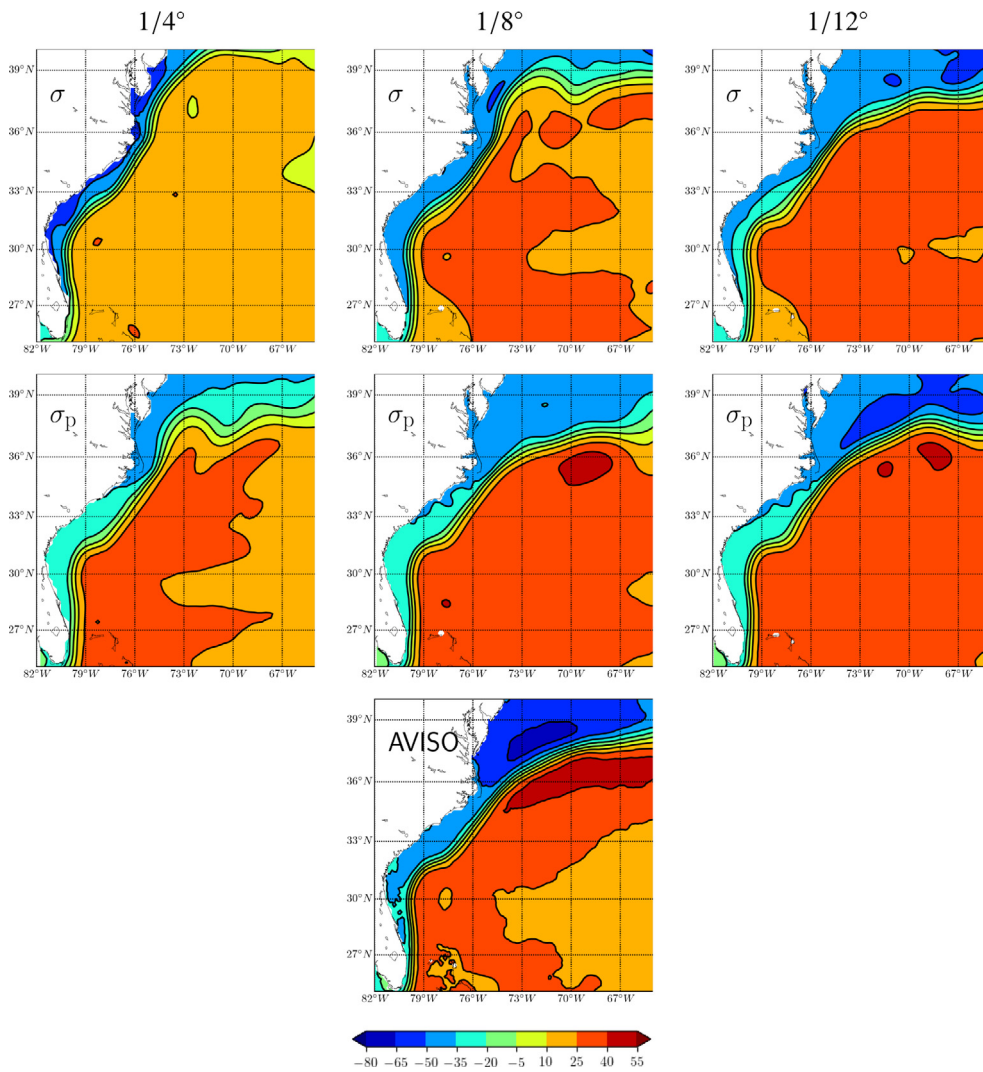


Fig. 4. Mean sea surface height in CROCO simulations at different resolutions for the standard case with terrain-following (σ) coordinates (top) and penalization (σ_p) (below). The third row shows the observational AVISO product for comparison.

mean GS path. At $1/4^\circ$ the σ -coordinate shows a weak overshooting of the GS. It provides very little EKE and the EKE maximum is located too far north. The solution is improved at $1/8^\circ$ and, in particular, at $1/12^\circ$ where a relatively narrow region of EKE extends from Cape Hatteras. The penalized simulations already demonstrate clear improvement at $1/4^\circ$. The offshore EKE patch is still weak, but stronger and much better positioned than in the $1/4^\circ$ σ -coordinates run. The $1/8^\circ$ penalized run is close to the $1/12^\circ$ σ -coordinate run, while the $1/12^\circ$ penalized run shows an interesting narrowing of the EKE patch off Cape Hatteras. This is consistent with the well-known high stability of the GS trajectory at this location (Renault et al., 2019), and thus appears to be an improvement over the $1/12^\circ$ σ -coordinate run.

Of particular interest is that, in the $1/8^\circ$ σ -coordinate run, the GS overshoot is associated with a narrow ribbon of increased energy next to the coast. This energy patch is reduced in the $1/12^\circ$ run and in the $1/8^\circ$ and $1/12^\circ$ penalized runs. Our interpretation is that the smoothing of the continental slope in the σ -coordinate runs reduces the steering effect of bathymetry on the slope current, which can then more easily develop instabilities. Therefore, the steep slope has the effect of trapping a strong, but more stable, current which retains more inertia when it reaches the Cape Hatteras curvature. Gula et al. (2015) showed that submesoscale eddies can increase the sensitivity of the GS to topographic curvature, but this effect may not be strong enough to compensate for the loss of topographic constraint. Therefore, smooth

bathymetry produces a weaker mean current that can still produce excess EKE, but will overshoot due to lack of inertia. Penalization can effectively correct the process unless the resolution is too coarse for an effective interaction between the eddies and the mean flow to produce a strong GS.

Fig. 7 shows the model sensitivity to resolution for surface currents past the separation point, compared with a 20-year climatology (1993–2012) along the Oleander transect (Rossby et al., 2014). This comparison with observational climate data underlines the alternating currents between the north-eastward GS and the south-westward slope current. In the σ -coordinate model, only the $1/12^\circ$ run can reproduce the transect structure. In contrast, the position of the GS is already realistic in the penalized run at $1/4^\circ$. At this resolution in the σ -coordinate run (and to a large extent also at $1/8^\circ$), the GS is stuck to the coastal margin.

4.3. Sensitivity to bathymetry smoothing

In this section we attempt to separate the effect of resolution on eddy-mean flow interaction from its effect on flow-bathymetry interaction. We compare results for the σ -coordinate and penalized runs using standard $1/12^\circ$ bathymetry and bathymetry interpolated from the $1/4^\circ$ σ -coordinate configuration. For the penalized run, the reference bathymetry h_{base} is interpolated from the $1/4^\circ$ σ -coordinate configuration, while h_{true} remains the same as in the standard $1/12^\circ$ simulation.

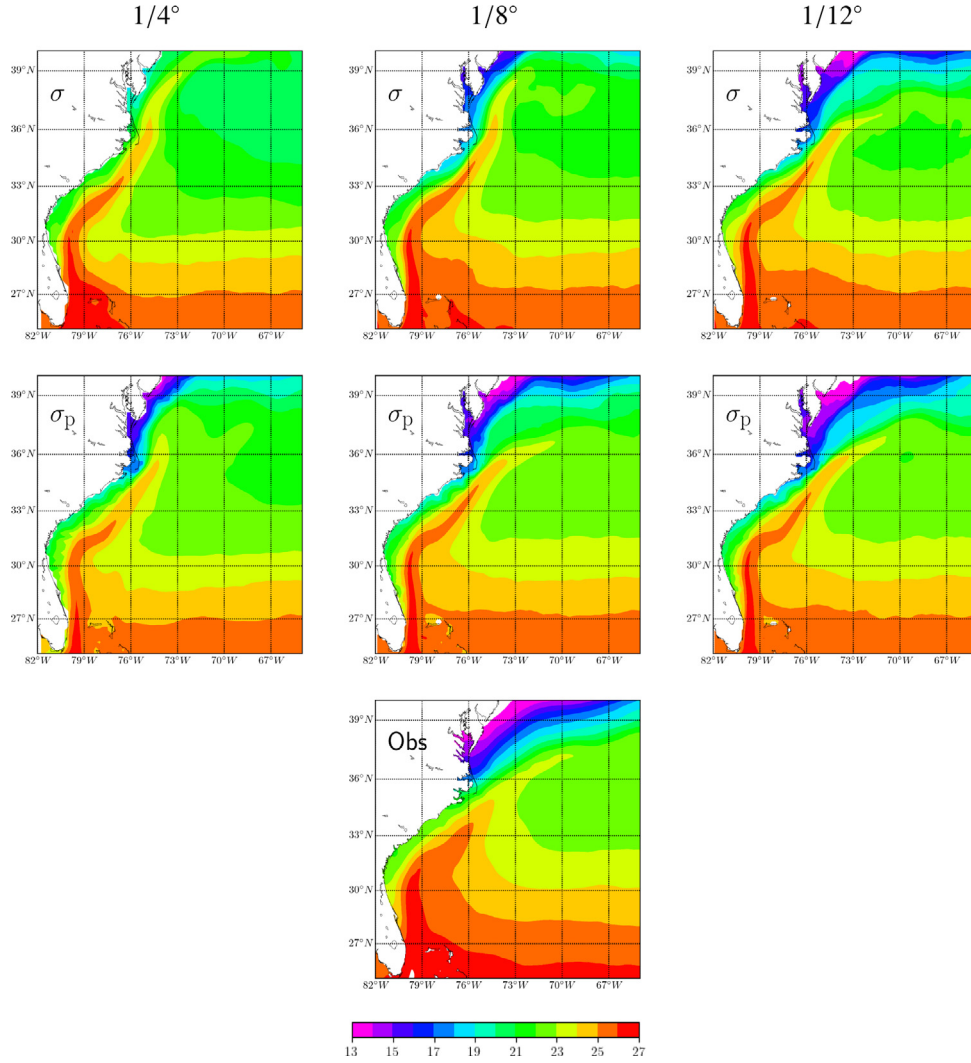


Fig. 5. Mean sea surface temperature for the standard case with standard σ -coordinates (top) and penalization (σ_p) (below). The bottom figure shows the Satellite-based 5-km climatology (1985–2013) from NOAA Coral Reef Watch (<https://coralreefwatch.noaa.gov>).

In this way we separate the effect of simulation resolution from the effect of bathymetry resolution. At the same time, this experiment illustrates the sensitivity of the penalization procedure to the reference bathymetry. Note that since h_{base} is constrained to be deeper than h_{true} (see 4.1), the size of the penalized area (i.e. the proportion of the computational domain which is penalized) is necessarily larger in the smoother $1/4^\circ$ run than in the $1/12^\circ$ run.

Fig. 8 (top rows) compares SSH from a standard $1/12^\circ$ σ -coordinate run with a similar $1/12^\circ$ run using bathymetry interpolated from the $1/4^\circ$ configuration. The difference between the two $1/12^\circ$ simulations is due to bathymetry smoothing, while the difference between the $1/12^\circ$ run using interpolated $1/4^\circ$ bathymetry and the $1/4^\circ$ resolution run (Fig. 4) is due to the effect of better mesoscale resolution. Comparing the $1/12^\circ$ run using interpolated $1/4^\circ$ bathymetry and the $1/4^\circ$ run, we see that better mesoscale resolution considerably improves the GS path, but is not sufficient to prevent overshooting. In other words, the flow–bathymetry interaction is improved by the feedback of eddies on the mean flow, but using smooth $1/4^\circ$ bathymetry in a $1/12^\circ$ run still leads to an underestimation of the topographic strain.

We now consider similar results for penalized runs with smoother reference bathymetry interpolated from the $1/4^\circ$ configuration. The results compare well with the penalized run using steeper $1/12^\circ$ bathymetry. The GS is a bit stronger past the separation point, but no other difference is visible for the mean SSH. This suggests that

the penalized results are relatively insensitive to the size of the penalized area. This result increases our confidence in the accuracy and performance of the penalization.

A similar analysis of EKE (not shown) confirms the previous results. The $1/12^\circ$ runs using interpolated $1/4^\circ$ bathymetry are closer to the standard $1/8^\circ$ runs. Therefore, the improvement generally observed in the models when increasing the resolution is not due only to stronger eddy activity, but at least equally to a more realistic representation of the continental slope. This is true for both the σ -coordinate and penalized runs, but for the penalized run a resolution of $1/8^\circ$ is sufficient for a realistic GS separation.

4.4. Vorticity diagnostics

WBC dynamics can be diagnosed by the barotropic vorticity budget, which is an extension of Sverdrup balance. Following, e.g., Couvelard et al. (2008) and Schoonover et al. (2016), the barotropic vorticity budget is computed by taking the curl of the vertically integrated lateral momentum equations

$$\frac{\partial \bar{\zeta}}{\partial t} = \frac{J(P_b, h)}{\rho_0} - A - \nabla \cdot (f\mathbf{U}) + \frac{\nabla \times \tau}{\rho_0} - \frac{\nabla \times \tau_b}{\rho_0} + D, \quad (22)$$

where $\bar{\zeta} = (\nabla \times \mathbf{U}) \cdot \hat{z}$ is the barotropic vorticity, $\mathbf{U}$ the barotropic current, J is the Jacobian operator, P_b is the bottom pressure, h the

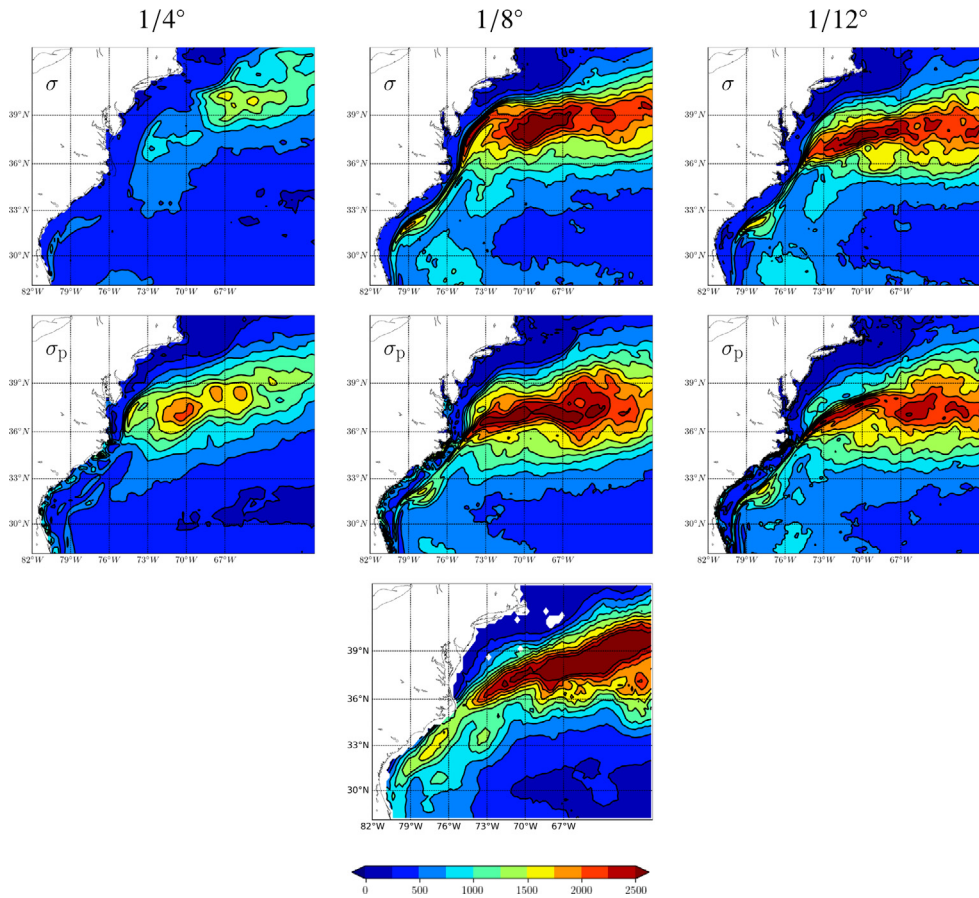


Fig. 6. Mean eddy kinetic energy (EKE) in CROCO simulations at different resolutions for the standard case with terrain-following (σ) coordinates (top) and penalization (σ_p) (below). The bottom row shows the observational Drifter EKE product for comparison.

bathymetry, A the advection torque, f is the Coriolis parameter, τ_b is the bottom stress, and D is the viscous torque. In the following, the right-hand-side terms of Eq. (22) are referred to as the bottom pressure torque ($J(P_b, h)/\rho_0$), the advection torque ($-A$), the planetary vorticity advection ($-\nabla \cdot (fU)$), the wind stress curl ($\nabla \times \tau/\rho_0$), the bottom stress curl ($-\nabla \times \tau_b/\rho_0$), and the viscous torque (D). The bottom pressure torque is a measure of the topographic steering of the flow (Couvelard et al., 2008). The nonlinear torque represents the advection of vorticity by the mean and eddy flow. The planetary vorticity advection is due to the combined effects of the earth's curvature and rotation (β term in the Sverdrup balance). The surface wind stress curl is a top drag curl and can either generate or dissipate vorticity. The bottom stress curl is the effect of bottom drag associated with the effective roughness length z_{0b} . Finally, the viscous torque represents the vorticity dissipation due to turbulent viscosity. In the regional simulations presented here it has large values only within the numerical sponge layers that implement the open boundaries.

The terms on the right hand side of the barotropic vorticity budget (Eq. (22)) are the bottom pressure torque (BPT), nonlinear torque (ADV), planetary vorticity advection (BETA), wind stress curl (WSC), bottom drag curl (BSC), and viscous torque (D). The latter is zero outside of sponge layers in CROCO.

In the ocean interior, away from steep slopes, the Sverdrup vorticity balance between the wind stress curl and BETA term would prevail. However, with steep bathymetry near coastal margins or elsewhere, topographic stretching expressed by BPT becomes dominant in balancing BETA (Couvelard et al., 2008) — The Munk viscous torque is an avatar of BPT in simple models for closing the basin-scale vorticity budget. This balance between BPT and BETA is particularly appropriate in the GS region and the vorticity budget presented in Table 1 confirms others (Schoonover et al., 2016; Ezer, 2016).

Table 1

The GS barotropic vorticity budget ($10^{12} \text{ m}^3/\text{s}^2$) averaged over the GS region, defined between the 1- and 30-Sv contours, upstream of the separation.

	BPT	BETA	WSC	BSC	ADV
σ , $1/8^\circ$	0.93	-1.78	0.05	0.24	0.54
σ , $1/12^\circ$	1.83	-2.48	0.09	0.27	0.29
σ , $1/12^\circ$ smooth	1.13	-2.44	0.05	0.30	0.96
Penalized, $1/8^\circ$	1.77	-2.65	0.08	0.48	0.26
Penalized, $1/12^\circ$	2.24	-2.75	0.08	0.45	0.04
Penalized, $1/12^\circ$ smooth	2.16	-2.90	0.07	0.34	0.09

The budget terms are integrated over the GS region, defined as in Schoonover et al. (2016) between the 1- and 30-Sv contours, upstream of the separation. It shows that the wind stress curl and the bottom stress curl play only a minor role, while the nonlinear torque is a secondary, but significant, player. The sensitivity to resolution is particularly interesting (we do not present the $1/4^\circ$ results as the GS region is more difficult to define in this case). The topographic effect grows with increasing numerical resolution from $1/8^\circ$ to $1/12^\circ$. There is no sign of convergence at $1/12^\circ$, but the topographic slope is steep enough at this resolution to drive a realistic GS separation.

When penalization is used, the vorticity budget is generally similar, but BPT is boosted. At $1/8^\circ$, BPT is already very close to the $1/12^\circ$ standard model budget. Also, the rate of increase in BPT between $1/8^\circ$ and $1/12^\circ$ is lower than for the σ -coordinates run. This suggests the topographic effect converges faster with decreasing resolution when using penalization. These numbers confirm quantitatively the interpretation drawn from the SSH maps: penalization produces a physically correct GS separation at lower resolutions than σ -coordinates. With penalization, only a slight overshoot is observed at a $1/4^\circ$ resolution,

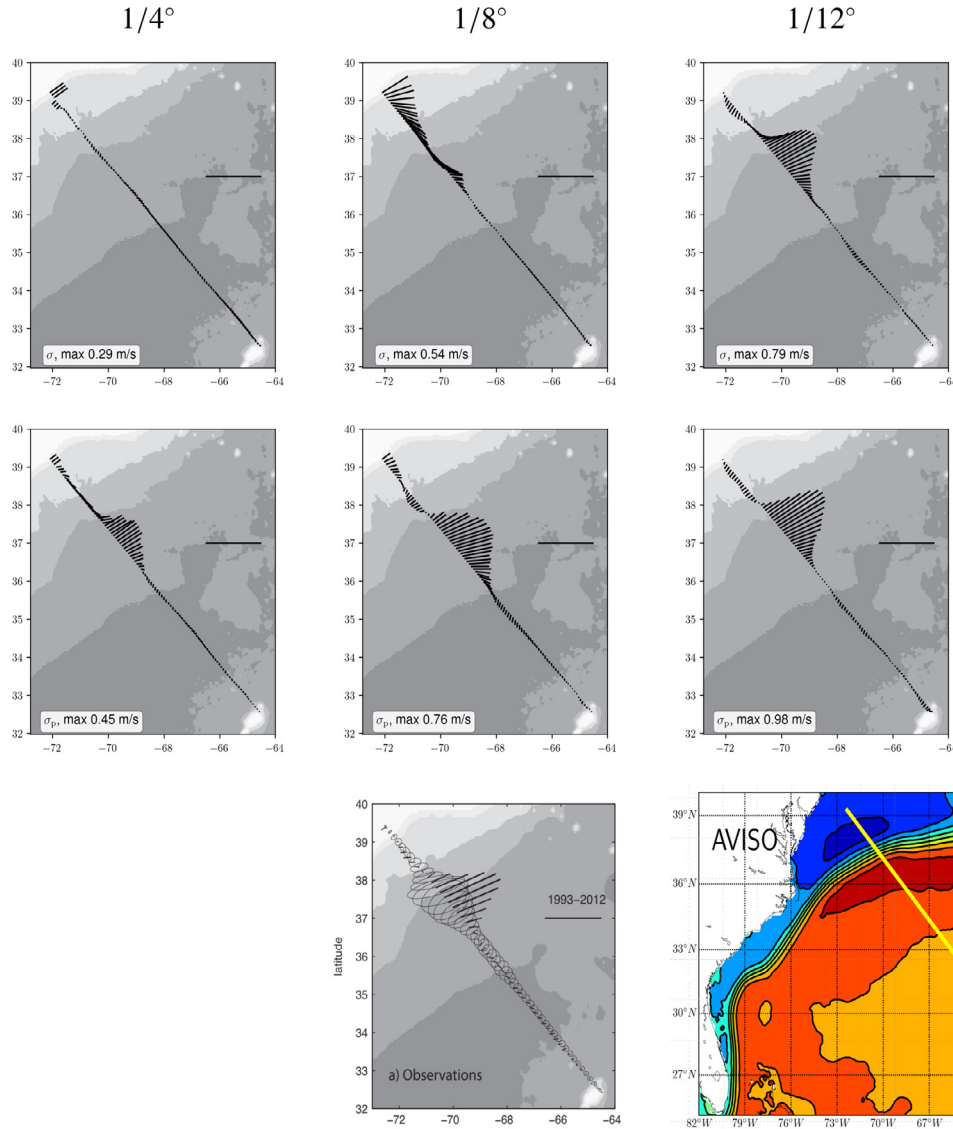


Fig. 7. Mean surface velocity across the Oleander transect for the standard case with terrain-following (σ) coordinates (top) and penalization (σ_p) (middle). The bottom (middle) figure shows the mean near-surface velocity as measured by the Oleander (1993–2012) with shipboard ADCP (from Rossby et al., 2014). The location of the transect is indicated by the yellow line of the bottom right figure.

and this is entirely corrected at $1/8^\circ$. Ocean circulation is less sensitive to resolution when using penalization for vertical coordinates than when using σ -coordinates. The remaining sensitivity is due to more active mesoscale activity at higher resolutions.

The planetary vorticity advection (BETA) term in the penalized runs is almost as strong at $1/8^\circ$ as it is at $1/12^\circ$. This is consistent with the fact that the mean slope current has more inertia at $1/8^\circ$ than in the standard case, which favors its separation from the coast. The increased inertia of the slope current probably results from the steering and stabilizing effect of steeper bathymetry. This stabilizing effect, already suggested by the EKE maps (Section 4.2), is confirmed by the ADV term (mostly representing mean eddy advection over the slope region), which tends to decrease with resolution and is similar in the penalized $1/8^\circ$ run and the σ -coordinate $1/12^\circ$ run.

When using interpolated $1/4^\circ$ bathymetry in the $1/12^\circ$ σ -coordinate run, the result is largely degraded, with BPT losing about 40% of its value. In addition, the GS overshoots even more than in the $1/4^\circ$ penalized run, despite stronger mesoscale activity. On the contrary, using a smoother base bathymetry h_{base} in the penalized $1/12^\circ$ simulation does not significantly change the value of BPT, the structure of GS, or its separation.

Note that the bottom friction appears higher in the penalized run than in the standard run. This may be an artefact, as the value of the bottom friction term is particularly sensitive to the definition of the penalized solid region using smooth mask functions (see Section 3.2.2). The exact diagnosis of bottom friction is not essential to the purpose of this paper, but it should be addressed in the future.

5. Conclusion

The volume penalization method studied here is a modified version of the one introduced in Debreu et al. (2020). The main changes concern the calculation of the sublayer thicknesses in the penalized region and the temporal discretization of the penalization term in the momentum equations. In addition, the traditional splitting of barotropic and baroclinic modes is modified to account for the variable porosity. These modifications generally simplify the numerical implementation of the penalization, bring more robustness and improve its computational performance for a realistic long-term simulation, while preserving its accuracy.

In this study we applied the volume penalization method to the Gulf Stream (GS) separation problem that has perplexed ocean modelers

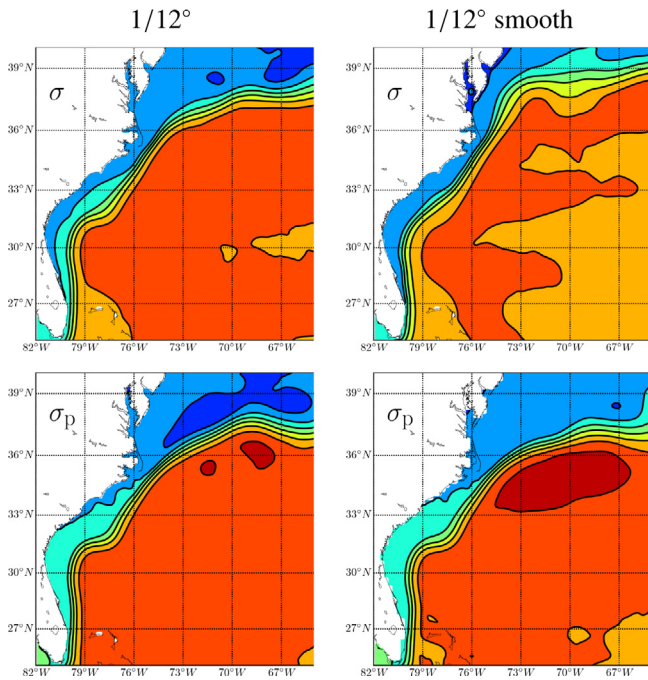


Fig. 8. Mean SSH for the for terrain-following (σ) coordinates (top) and penalization (σ_p) (bottom). The left column shows results using the usual $1/12^\circ$ bathymetry for each case and the right column shows results using smooth bathymetry interpolated from the respective $1/4^\circ$ configurations.

for decades. Penalization improves the representation of the flow-bathymetry interaction, allowing realistic separation of the GS even at relatively coarse resolutions. In addition, it provides a tool to separate the effects of eddy activity and topographic slope when changing grid resolution. This has never before been possible, because at coarse resolution none of the usual coordinate systems can properly represent a steep continental slope (e.g., neither z -level systems nor the terrain following σ -coordinates). Our results show that realistic bathymetry is more important than eddy activity in ensuring a realistic GS separation at the intermediate resolutions ($1/4^\circ$, $1/8^\circ$ and $1/12^\circ$) employed. This is in contrast to many recent studies, which tend to focus on eddy activity as the most important factor. A steep slope can exert a stabilizing influence that promotes a strong mean slope current with strong inertia that helps its separation from the coast at the topographic curvature of Cape Hatteras. Therefore, with penalization, the resolution required for a correct representation of the GS trajectory can be coarser than the usual recommendation for resolutions as fine as $1/50^\circ$ (Chassignet and Xu, 2017). We present penalized results that show a realistic GS structure at $1/8^\circ$ and a separation that already occurs at $1/4^\circ$.

We anticipate that a successful topographic slope correction will be especially valuable to climate models, as their current resolution is far from sufficient to represent western boundary currents using z -level coordinate systems. The error of climate models in the Gulf Stream separation and its path plays an important role in affecting the atmospheric circulation in the North Atlantic (Keeley et al., 2012; Minobe et al., 2008), and also in remote regions via a planetary Rossby wave response (Lee et al., 2018). Our results suggest that a climate model with a $1/4^\circ$ or $1/8^\circ$ resolution using volume penalization — and perhaps also some parameterization of the eddy-mean flow interaction to energize the WBCs — would produce much more realistic simulations of ocean circulation. This would thus improve the atmospheric circulation as well as the poleward oceanic heat transport and the Atlantic Meridional Overturning Circulation (AMOC), which are also critical to the large-scale climate response (Hewitt et al., 2017).

Currently, most if not all climate models are written in vertical z -level coordinates. The extension of the penalization method to this

type of models is a work in progress. Preliminary experiments with NEMO show very convincing results in idealized configurations. In particular, numerical simulations of the overflow test case (Ilıcak et al., 2012) show the possibility of making the solutions of a penalized z -coordinate model close to those of a σ -coordinate model. In this case, the penalization method is used to mitigate the staircase effect of z levels (these results will be the subject of an upcoming publication). In the future, it may be interesting to explore two alternative penalization approaches for climate models: (1) starting from σ coordinates that are smooth enough to limit pressure gradient error and diapycnal diffusion, and then recovering bathymetry details by penalization (as in this paper); (2) starting from z coordinates and limiting staircase effects by the penalization method.

Another area of application in the coastal ocean is a more realistic representation of the seafloor substrate, for example, the vegetation in deltas and marshes, or the structures of roads and buildings in flooded urban areas. This could be achieved by carefully optimizing the porosity and permeability parameters of the penalization model.

CRediT authorship contribution statement

L. Debreu: Conceptualization, Methodology, Software, Investigation, Writing – original draft, Writing – review & editing. **N.K.-R. Kevlahan:** Conceptualization, Methodology, Investigation, Writing – original draft, Writing – review & editing. **P. Marchesiello:** Conceptualization, Methodology, Investigation, Writing – original draft, Writing – review & editing.

Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

Data availability

No data was used for the research described in the article.

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Appendix. Computation of high resolution discrete porosity $\phi_{k_p}^0$

We first define a high resolution vertical grid with $N^{\text{poro}} \gg N$ layers, between $z = -h$ and $z = 0$.

$$z_{k_p}^{\text{poro}} = -h + h \frac{p}{N^{\text{poro}}}.$$

Then for each high resolution level $k_p \in [1, \dots, N^{\text{poro}}]$, we find the layer k of the computational grid which includes $z_{k_p}^{\text{poro}}$ (see Fig. A.9), and compute its thickness Δz_{k_p} . We can then compute the smoothed mask function associated with the high resolution layer k_p as

$$\mathbb{1}_{k_p} = 1 - \frac{1}{2} \left(1 + \tanh \left(\frac{1}{2} \lambda (r_{k_p} - r_0) \right) \right),$$

where

$$r_{k_p} = - \frac{\frac{1}{2}(z_{k_p-1} + z_{k_p}) + h_{\text{true}}}{\Delta z_{k_p}}.$$

Note that the smoothing scale is the thickness of the computational grid Δz_{k_p} . Finally, the porosity of layer k_p is

$$\phi_{k_p}^0 = 1 + \mathbb{1}_{k_p} (\alpha - 1).$$

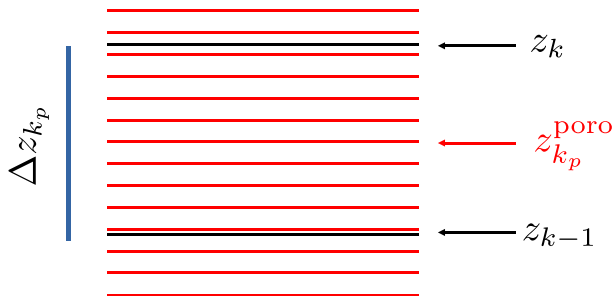


Fig. A.9. The computational level k containing the high resolution interface z_k^{poro} . The mask function associated with high resolution level k_p is smoothed on the scale of computational level k where it is located.

The porosity of the computational layer k is found by integrating the high resolution porosities $\phi_{k_p}^0$ included in the computational layer of thickness Δz_{k_p} .

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