

## Lecture 8

We introduced the Kauffman bracket  $\langle D \rangle$  and Jones polynomial  $V_K(t)$ .

The Kauffman bracket is an invariant of unoriented framed diagrams and  $\langle D \rangle \in \mathbb{Z}[A, A^{-1}]$ . The Jones polynomial is an invariant of oriented knots and links and  $V_K \in \mathbb{Z}[t, t^{-1}]$ . The two are related by

$$V_K(t) = \left[ (-A)^{-3w(D)} \langle D \rangle \right]_{A=t^{-1/4}}$$

where  $D$  is a diagram for  $K$ .

The Jones polynomial satisfies

- (i)  $V_U(t) = 1$  for  $U = \text{unknot}$
- (ii)  $V_{K \# J}(t) = V_K(t) V_J(t)$   
for connected sums
- (iii)  $V_{K^*}(t) = V_K(t^{-1})$  where  $K^*$  is the mirror image of  $K$ .

Remarks.

- The connected sum is a well-defined operation on oriented knots.



K



- The mirror image of a knot is the one obtained by changing all the crossings.



We saw how to compute  $\langle D \rangle$  and  $V_K(t)$  using the skein relation, and while this method works in general it is sometimes cumbersome to implement.

There is an alternative formulation for computing  $\langle D \rangle$  which shows it to be a well-defined function of unoriented framed knots (and links).

- $\langle \bigcirc \rangle = 1$
- $\langle D \cup \bigcirc \rangle = (-A^2 - A^{-2}) \langle D \rangle$
- $\langle \text{crossing} \rangle = A \langle \text{right crossing} \rangle + A^{-1} \langle \text{left crossing} \rangle$

Lemma  $\langle \underbrace{\bigcirc \cup \dots \cup \bigcirc}_{n \text{ components}} \rangle = (-1)^{n-1} (A^2 + A^{-2})^{n-1}$

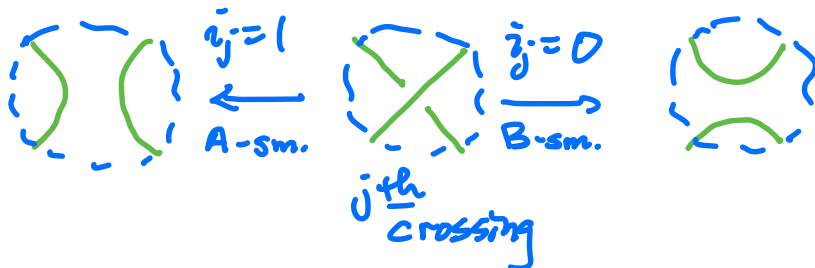
Proof Easy induction using 2<sup>nd</sup> property.

We can apply this lemma to any link

diagram  $\hat{D}$  without crossings to compute  $\langle \hat{D} \rangle$  by simply counting the number of components of  $\hat{D}$ .

By applying the Kauffman relation to each crossing in a given diagram  $D$ , we can replace  $\langle D \rangle$  by a linear combination with coefficients in  $\mathbb{Z}[A, A^{-1}]$  with diagrams  $\langle \hat{D}_I \rangle$ , where each  $\hat{D}_I$  is a diagram without crossings.

Here  $I = (i_1, \dots, i_n)$  is a multi-index with  $i_j = 0$  or  $1$ .



If the diagram  $D$  has  $n$  crossings, then there are a total of  $2^n$  smoothings.

A state  $S$  is the result of performing smoothings at each crossing of  $D$ .

Let  $a(S) = \# \text{ A smoothings in } S$

$b(S) = \# \text{ B smoothings in } S$

$|S| = \text{number of components of } S$

Example



AAB smoothing (110)



$a(S) = 2, b(S) = 1$   
 $|S| = 2$

$$\langle D \rangle = \sum_s A^{a(s)-b(s)} (-A^2 - A^{-2})^{|s|-1}$$

This is a sum over all  $2^n$  smoothings of  $D$ .

### Applications of Jones polynomial

The first applications of  $V_K(t)$  to knot theory were toward detecting amphicheirality of knots and determining the crossing number of a knot.

For a knot  $K$ , the crossing number is the minimum, over all diagrams  $D$  for  $K$ , of the number of crossings of  $D$ .

OPEN PROBLEM Is the crossing number additive under connected sum?

i.e. is  $c(K \# J) = c(K) + c(J)$ ?

Remarks: • Let  $c(K)$  = crossing number of a knot  $K$ . (notation)

• We know by construction that

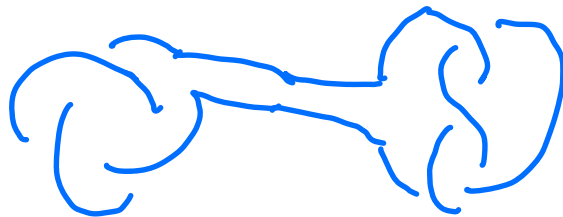
$$c(K \# J) \leq c(K) + c(J).$$

Indeed, if  $D, E$  are diagrams for  $K, J$  respectively, then  $D \# E$  is a diagram for  $K \# J$ .

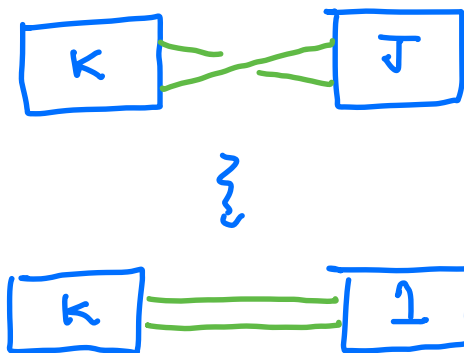
Defn A knot diagram is alternating if the crossings alternate between over and under crossings as one traverses the knot.

A knot is alternating if it can be represented by an alternating diagram.

Remark Not all knots are alternating.  
The first non-alternating knot in the tables is  $8_{17}$ .



Notice if  $D, E$  are two alternating diagrams, then we can always construct the connected sum  $D \# E$  to be an alternating diagram



The crossing on the left is a negatory crossing. It is removable, and this decreases the crossing number.

Defn A knot or link diagram is said to be reduced if it does not contain a nugatory crossing.

Theorem 1 [Kauffman - Murasugi - Thistlethwaite]  
If the knot  $K$  has a reduced alternating diagram  $D$  with  $n$  crossings, then  $K$  has crossing number  $n$ .

In other words, any reduced alternating diagram has minimal crossing number.

Corollary If  $K$  and  $J$  are alternating knots, then  $c(K \# J) = c(K) + c(J)$ .

Proof of Corollary Let  $D, E$  be reduced alternating diagrams for  $K, J$  resp.

Then Thm 1 implies  $c(K) = c(D)$  and  $c(J) = c(E)$ . Further, observe that  $D \# E$  is also reduced and alternating, or at least we can construct it to be, and then again by Thm 1 we have

$$c(K \# J) = c(D \# E) = c(D) + c(E) = c(K) + c(J)$$

It remains to prove Theorem 1. To do that we will use the Jones polynomial to obtain

a bound on the crossing number.

Defn If  $f \in \mathbb{Z}[t, t^{-1}]$  is a Laurent polynomial, then set  
 $\text{span}(f) = \max \deg_t(f) - \min \deg_t(f)$ .

Example  $f = t + t^3 - t^4 \Rightarrow \text{span}(f) = 4 - 1 = 3$   
 $g = \frac{1}{t^2} - \frac{1}{t} + 1 - t + t^2 \Rightarrow \text{span}(g) = 2 - (-2) = 4$

Note:  $f$  is the Jones polynomial of 3,  
and  $g$  is the Jones poly for 4,

Theorem 2 1. For any knot diagram  $D$  with  $n$  crossings,  $\text{span}(V_D) \leq n$ .  
2. If  $D$  is a reduced alternating diagram then  $\text{span}(V_D) = n$ .

Remark Note that Thm 1 is a consequence of Thm 2. To see this, we can argue by contradiction as follows.

Suppose  $K$  admits a reduced alternating diagram  $D$ , and suppose that  $D$  is not a minimal crossing diagram.

So  $K$  also admits a diagram  $D'$  with  $c(D') < c(D)$ .

Let  $n = c(D)$

Then  $\text{span}(V_D) = n$

$$\text{span}(V_{D'}) \leq c(D') < n.$$

But  $V_K = V_D = V_{D'}$  is an invariant of  $K$ ,

so  $\text{span } V_{D'} = \text{span } V_D$   ~~$\rightarrow$~~