

# Solutions to Assignment 5

1. We show that every formula is equivalent to a quantifier free formula modulo the theory of infinite sets.

The only terms are variables and the only relation is = so the only atomic formulas look like  $x_i = x_j$  for variables  $x_i$  and  $x_j$ .

Now every quantifier-free formula with free variables  $x_1, \dots, x_n$  has the form  $\bigvee_{i \in I} \bigwedge_{j \in J_i} \phi_{ij}$  where

each  $\phi_{ij}$  is of the form either  $x_i = x_j$  or  $x_i \neq x_j$ .

Using the same logic as with dense linear orders, since for every  $i, j$  we have  $(x_i = x_j \vee x_i \neq x_j)$  is always true, we can, after rearranging the DNF, assume that every conjunct contains exactly one of  $x_i = x_j$  or  $x_i \neq x_j$  for every  $i, j \leq n$ . Call such a conjunct complete.

Define a relation  $i \sim j$  iff  $x_i = x_j$  is present in the conjunct. If  $\sim$  is not an equivalence relation then the conjunct is equivalent to false and we can ignore it.

So each quantifier free formula looks like

$$\bigvee_{i \in I} \bigwedge_{j \in J_i} \phi_{ij}$$

where the  $\phi_{ij}$ 's are  $\pm$  atomic formulas, each conjunct is complete and the  $\sim$  relation on the conjunct is an equivalence relation.

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Now we look at arbitrary formulas by induction:  
The only case we need to consider is one that looks like.

$$\exists x_k \bigvee_{i \in I} \bigwedge_{j \in J_i} \varphi_{ij} \quad \text{with the DNF as above.}$$

$$\text{This is logically equivalent to } \bigvee_{i \in I} \exists x_k \bigwedge_{j \in J_i} \varphi_{ij}$$

So we are done if we see that  $\exists x_k \bigwedge_{j \in J} \varphi_j$  is equivalent

to a quantifier-free formula where the conjunct is complete and  $\sim$  is an equivalence relation. If  $x_i = x_k$  for  $i \neq k$  is present then the truth of  $\bigwedge_{j \in J} \varphi_j$  is equivalent to the formula where you substitute  $x_i$  for  $x_k$  uniformly. This is quantifier-free. If  $x_k \neq x_i$  is present for every  $i$  then the truth of this formula in the theory of infinite sets depends only on the conjuncts that don't involve  $x_k$ . (There will always be enough elements to witness  $x_k$ ).

So every formula is equivalent to a quantifier-free formula.

2. Suppose  $\phi$  is a propositional formula; write  $\phi(p_1, \dots, p_n)$  to represent the propositional variables used in  $\phi$ .

Suppose  $\psi_1, \dots, \psi_n$  are first order formulas. Define  $\phi(\psi_1, \dots, \psi_n)$  inductively as follows:

If  $\phi := \phi(p_1) = p_1$ , then  $\phi(\psi_1) = \psi_1$

If  $\phi := \neg \theta(p_1, \dots, p_n)$  then  $\phi(\psi_1, \dots, \psi_n) = \neg \theta(\psi_1, \dots, \psi_n)$

If  $\phi := (\theta \square \chi)$  for some binary connective  $\square$  and formulas  $\theta, \chi$  then

$\phi(\psi_1, \dots, \psi_n) := (\theta(\psi_1, \dots, \psi_n) \square \chi(\psi_1, \dots, \psi_n))$ .

Now if  $\phi := \phi(p_1, \dots, p_n)$  is a tautology i.e.  $\vDash \phi$  then by the completeness theorem,  $\vdash \phi$  i.e. there is a formula proof of  $\phi$  from the propositional axioms and rules.

Suppose that proof was  $\phi_1, \phi_2, \dots, \phi_n = \phi$ .

Claim: For first order formulas  $\psi_1, \dots, \psi_n$ ,

$\phi_1(\psi_1, \dots, \psi_n), \dots, \phi_n(\psi_1, \dots, \psi_n)$  is a proof in the first order proof system.

Pf/ If any of the  $\phi_i$ 's are instances of axioms that  $\phi_i(\psi_1, \dots, \psi_n)$  is a substitution instance of that axiom

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which is a first order axiom. If  $\varphi_k := (\varphi_j \rightarrow \varphi_i)$   
for  $k, j < i$  then  $\varphi_j(\varphi_1, \dots, \varphi_n)$ ,  $(\varphi_j(\varphi_1, \dots, \varphi_n) \rightarrow \varphi_i(\varphi_1, \dots, \varphi_n))$   
are by induction already legitimate lines in the proof so  
by Modus Ponens in first order logic, so is

$$\varphi_i(\varphi_1, \dots, \varphi_n)$$

and so  $\vdash \varphi(\varphi_1, \dots, \varphi_n)$  in the first order proof system.

3. Suppose  $\mathcal{M}, \mathcal{N}$  are two countable structures with  
equivalence relations, each with infinitely many classes  
and each class is infinite.

Since  $\mathcal{M}$  is cble there are cble many equivalence  
classes  $A_0, A_1, A_2, \dots$  and each  $A_i$  is cble infinite.  
Similarly for  $\mathcal{N}$ , there are equivalence classes  
 $B_0, B_1, \dots$  and each  $B_i$  is ~~not~~ cble infinite.

Now pick any bijection  $f_i: A_i \rightarrow B_i$  and let

$f: \mathcal{M} \rightarrow \mathcal{N}$  be defined by  $f(a) = f_i(a)$  if  $a \in A_i$ .

$f$  is a bijection since each  $f_i$  is and the  $A_i$ 's and  $B_i$ 's  
partition  $\mathcal{M}$  and  $\mathcal{N}$  respectively. If  $a \overset{\mathcal{M}}{E} b$  then  
 $a, b \in A_i$  for some  $i$  so  $f(a), f(b) \in B_i$  and so  
 $f(a) \overset{\mathcal{N}}{E} f(b)$ . If ~~ask~~  $a \overset{\mathcal{N}}{E} b$  then  $a, b \in B_i$  for  
some  $i$  so  $f^{-1}(a), f^{-1}(b) \in A_i$  so  $f^{-1}(a) \overset{\mathcal{M}}{E} f^{-1}(b)$ .

So  $f$  is an isomorphism.

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Now suppose  $\mathcal{M}, \mathcal{N}$  are two models of the theory of an equivalence relation with inf. classes all inf.

There are  $\mathcal{M}_0, \mathcal{N}_0$  c.t.b.e so that  $\mathcal{M} \equiv \mathcal{M}_0$  and  $\mathcal{N} \equiv \mathcal{N}_0$ .

But we just showed that  $\mathcal{M}_0 \cong \mathcal{N}_0$  so

$$\mathcal{M} \equiv \mathcal{M}_0 \cong \mathcal{N}_0 \equiv \mathcal{N} \text{ so } \mathcal{M} \equiv \mathcal{N}.$$

4. Add a constant symbol  $c$  to the language of  $\mathbb{R}$  and consider the set of sentences

$$\Sigma = \mathcal{T}(\mathbb{R}) \cup \{n < c : n \in \mathbb{N}\} \text{ where } n = \underbrace{1 + \dots + 1}_{n \text{ times}}$$

If  $\Sigma_0 \in \Sigma$  is finite then there is  $N$  s.t.

$\Sigma_0 \in \mathcal{T}(\mathbb{R}) \cup \{n < c : n \leq N\}$  and so  $(\mathbb{R}, N+1)$  satisfies  $\Sigma_0$ . By compactness, then  $\Sigma$  is satisfiable by some c.t.b.e model  $\mathbb{R}^*$ .

$\mathbb{R}$  is  $\mathbb{R}^*$  in the language of  $\mathbb{R}$  satisfies  $\mathcal{T}(\mathbb{R})$  and is not contained in  $\mathbb{R}$  since the realization of the constant is greater than  $n$  for all  $n \in \mathbb{N}$ .

( $\mathbb{R}^*$  is <sup>c.t.b.e</sup> a real ordered field which is not Archimedean.)