

# Idempotent $n$ -permutable varieties

M. Valeriote and R. Willard

## ABSTRACT

One of the important classes of varieties identified in tame congruence theory is the class of varieties which are  $n$ -permutable for some  $n$ . In this paper, we prove two results: (1) for every  $n > 1$ , there is a polynomial-time algorithm that, given a finite idempotent algebra  $\mathbf{A}$  in a finite language, determines whether the variety generated by  $\mathbf{A}$  is  $n$ -permutable and (2) a variety is  $n$ -permutable for some  $n$  if and only if it interprets an idempotent variety that is not interpretable in the variety of distributive lattices.

## 1. Introduction

This paper is concerned with varieties (that is, equationally axiomatizable classes) of general algebraic structures, the interpretability quasi-order relating varieties, and polynomial-time algorithms for testing congruence properties of finite algebras. For general background, see, for example, [2].

By an algebra, we mean any structure  $\mathbf{A} = \langle A, f_i (i \in I) \rangle$  consisting of a nonvoid set  $A$ , called the universe of  $\mathbf{A}$ , and a system of finitary operations  $f_i$  over the set  $A$ , called the basic operations of  $\mathbf{A}$ . The signature of  $\mathbf{A}$  is the indexed family  $\tau = (n_i : i \in I)$  stipulating the number of variables admitted by each operation  $f_i$ . A subset of  $A$  that is closed under the basic operations of  $\mathbf{A}$  is called a subuniverse of  $\mathbf{A}$ , and if it is nonempty will form the universe of a subalgebra of  $\mathbf{A}$ . A variety is a class of algebras over a common signature that is closed under direct products, subalgebras, and homomorphic images.

If  $\mathbf{A}$  is an algebra, then we say that a binary relation on  $A$  is compatible (with  $\mathbf{A}$ ) if it is a subuniverse of  $\mathbf{A}^2$ . A congruence of an algebra  $\mathbf{A}$  is an equivalence relation  $\theta$  on  $A$  that is compatible with  $\mathbf{A}$ . If  $\theta$  is a congruence of  $\mathbf{A}$ , then an algebra of the same signature as  $\mathbf{A}$  can be defined in a natural way on the set  $A/\theta$  of the  $\theta$  equivalence classes. The collection of congruences of an algebra forms a lattice, denoted by  $\text{Con } \mathbf{A}$ , with lattice operations  $\alpha \wedge \beta = \alpha \cap \beta$  and  $\alpha \vee \beta$  the transitive closure of  $\alpha \cup \beta$ . To a large degree, the congruence lattices of algebras in a given variety  $\mathcal{V}$  determine the structure of the members of  $\mathcal{V}$ .

If  $\mathbf{A}$  is an algebra and  $R, S$  are reflexive subuniverses of  $\mathbf{A}^2$ , then  $R \circ S$  denotes the subuniverse  $\{(a, b) : \exists x \in A \text{ with } (a, x) \in R \text{ and } (x, b) \in S\}$ . The operation  $\circ$  is associative on reflexive subuniverses of  $\mathbf{A}^2$  and satisfies  $R \cup S \subseteq R \circ S$ . Define  $R \circ_1 S = R$  and  $R \circ_{k+1} S = R \circ (S \circ_k R)$  for  $k > 1$ . The utility and importance of the operation  $\circ$  are partly explained by the fact that, for any congruences  $\alpha, \beta$  of  $\mathbf{A}$ , their join in the congruence lattice of  $\mathbf{A}$  is given by  $\alpha \vee \beta = \bigcup_n \alpha \circ_n \beta$ .

For  $n \geq 2$ , an algebra  $\mathbf{A}$  is said to be (congruence)  $n$ -permutable if for all  $\alpha, \beta \in \text{Con } \mathbf{A}$  we have  $\alpha \vee \beta = \alpha \circ_n \beta$ . Hagemann and Mitschke [11], generalizing Mal'cev [18] and improving [9, 21] provided the following 'classical' characterization of  $n$ -permutable varieties.

PROPOSITION 1.1 [11]. Fix  $n \geq 1$ . A variety  $\mathcal{V}$  is  $(n + 1)$ -permutable if and only if it satisfies the following condition:

There exist terms  $p_1(x, y, z), \dots, p_n(x, y, z)$  in the language of  $\mathcal{V}$  so that the following are identities of  $\mathcal{V}$  :

$$\begin{aligned} p_1(x, y, y) &\approx x, \\ p_i(x, x, y) &\approx p_{i+1}(x, y, y) \quad \text{for } 1 \leq i < n, \\ p_n(x, x, y) &\approx y. \end{aligned}$$

Recall that an algebra  $\mathbf{A}$  is *idempotent* if each of its fundamental operations  $f$  satisfies the *idempotent law*  $f(x, x, \dots, x) \approx x$  (equivalently, if each 1-element subset of  $A$  is a subalgebra of  $\mathbf{A}$ ), and a variety is idempotent if each of its members is. Idempotent algebras and varieties are important for a number of reasons, not the least of which is the role of finite idempotent algebras in the algebraic approach to the Constraint Satisfaction Dichotomy Conjecture (see, for example, [1, 4, 17]).

For each  $n > 1$ , Proposition 1.1 suggests the following algorithm for determining whether an algebra  $\mathbf{A}$  generates an  $n$ -permutable variety: generate all ternary term operations of  $\mathbf{A}$  and search through them for operations satisfying the Hagemann–Mitschke identities. On finite algebras in finite signatures, this algorithm can be implemented in exponential time, and Horowitz has proved [13, 14] that the problem of determining whether a finite algebra generates an  $n$ -permutable variety for fixed  $n > 2$  is EXPTIME-complete. However, for finite *idempotent* algebras, Freese and the first author [7] proved that testing whether  $\mathbf{V}(\mathbf{A})$  is 2-permutable, or whether  $\mathbf{V}(\mathbf{A})$  is  $n$ -permutable for some  $n$ , can both be accomplished in polynomial time, and they asked [7, Problem 8.5] whether, for each fixed  $n > 2$ , there similarly exists a polynomial-time algorithm for  $n$ -permutability in the idempotent case. In Section 3, we answer this question affirmatively.

The interpretability quasi-ordering between varieties can be defined as follows. If  $\mathcal{V}$  is a variety and  $\Sigma$  is a set of identities in a signature  $\tau$ , then we say that  $\mathcal{V}$  *interprets*  $\Sigma$  if there is a function  $f \mapsto t_f$  from  $\tau$  to terms in the language of  $\mathcal{V}$ , so that  $(A, (t_f^{\mathbf{A}} : f \in \tau))$  is a model of  $\Sigma$  for all  $\mathbf{A} \in \mathcal{V}$ . If  $\mathcal{W}$  is another variety, then we write  $\mathcal{W} \leq \mathcal{V}$  and say that  $\mathcal{W}$  is *interpretable in*  $\mathcal{V}$  if  $\mathcal{V}$  interprets some (equivalently every) set of identities  $\Sigma$  axiomatizing  $\mathcal{W}$ . (In this definition, we have glossed over a fine point regarding nullary operations; for details, see [2, 8, 19].) Roughly speaking,  $\mathcal{W} \leq \mathcal{V}$  means that every member of  $\mathcal{V}$  carries the structure of a member of  $\mathcal{W}$ . The relation  $\leq$  is a quasi-order on the class of all varieties; varieties that are ‘higher’ in this quasi-ordering can be considered as having ‘more structure’.

The class  $\mathcal{P}$  of varieties that are  $n$ -permutable for some  $n$  is one of four classes identified in the work of Hobby and McKenzie [12] in the locally finite case, and the work of Kearnes and Kiss [16] in general, as being particularly significant from a structural point of view. The other three classes are:

- (1)  $\mathcal{T}$ , the class of varieties having a Taylor term;
- (2)  $\mathcal{HM}$ , the class of all varieties having a Hobby–McKenzie term;
- (3)  $\mathcal{SD}(\wedge)$ , the class of congruence meet-semidistributive varieties.

Each of  $\mathcal{P}$ ,  $\mathcal{T}$ ,  $\mathcal{HM}$ , and  $\mathcal{SD}(\wedge)$  is an order-filter with respect to the interpretability quasi-order, and each has the property that a variety is in the class if and only if its idempotent reduct is. Each of these classes is definable by a linear idempotent Maltsev condition, has a characterization involving congruence properties, and (for locally finite varieties) has an omitting-types tame congruence-theoretic characterization.

For idempotent varieties, membership in each of  $\mathcal{T}$ ,  $\mathcal{HM}$ ,  $\mathcal{SD}(\wedge)$  has a strikingly simple characterization in the interpretability quasi-order. Let *Sets* denote the variety of nonempty

sets (that is, algebras with no operations), let *Semilattices* denote the variety of semilattices, and for each ring  $R$  with unit let  ${}_R\mathcal{M}$  denote the variety of all unital left  $R$ -modules.

PROPOSITION 1.2. *Let  $\mathcal{E}$  be an idempotent variety:*

- (1)  $\mathcal{E} \in \mathcal{T}$  if and only if  $\mathcal{E} \not\leq \text{Sets}$ ;
- (2)  $\mathcal{E} \in \mathcal{HM}$  if and only if  $\mathcal{E} \not\leq \text{Semilattices}$ ;
- (3)  $\mathcal{E} \in \mathcal{SD}(\wedge)$  if and only if  $\mathcal{E} \not\leq {}_R\mathcal{M}$  for every simple unital ring  $R$ .

*Proof.* Statement (1) is due to Taylor [20, Corollary 5.3]; see [12, Lemma 9.4] for a detailed proof. Statement (2) is due to Hobby and McKenzie [12, Lemma 9.5]. Statement (3) is essentially due to Kearnes and Kiss as we now explain. The  $(\Rightarrow)$  implication is easy since nontrivial varieties of modules are never congruence meet-semidistributive. For the opposite implication, assume that  $\mathcal{E} \notin \mathcal{SD}(\wedge)$ . The proof of (10)  $\Rightarrow$  (4) in [16, Theorem 8.1] gives a nontrivial module  $\widehat{\mathbf{B}}$  over some ring  $S$  and an algebra  $\mathbf{B} \in \mathcal{E}$  such that  $\mathbf{B}$  is a term reduct of  $\widehat{\mathbf{B}}$ . We can assume that  $\widehat{\mathbf{B}}$  is a faithful  $S$ -module and so  $\mathbf{HSP}(\widehat{\mathbf{B}}) = {}_S\mathcal{M}$ . Then  $\mathbf{B} \in \mathcal{E}$  implies  $\mathcal{E} \leq \mathbf{HSP}(\widehat{\mathbf{B}}) = {}_S\mathcal{M}$ . Let  $R$  be a simple homomorphic image of  $S$ ; then  ${}_S\mathcal{M} \leq {}_R\mathcal{M}$ , proving  $\mathcal{E} \leq {}_R\mathcal{M}$ .  $\square$

What is missing is a correspondingly simple result for the class  $\mathcal{P}$ . For locally finite varieties, one can easily deduce from [12, Theorem 9.14] that if  $\mathcal{E}$  is locally finite and idempotent, then  $\mathcal{E} \in \mathcal{P}$  if and only if  $\mathcal{E} \not\leq \text{DistLat}$ , where *DistLat* denotes the variety of distributive lattices. Kearnes and Kiss [16, Problem P6] have asked whether this characterization is valid for all idempotent varieties. Freese [6, Theorem 8] has recently given a partial confirmation by verifying the equivalence for idempotent *linear* varieties. In Section 3, we answer the question of Kearnes and Kiss affirmatively. Equivalently, we prove that if an idempotent algebra  $\mathbf{A}$  has a nontrivial compatible partial order, then the variety generated by  $\mathbf{A}$  contains a two-element algebra having a compatible total order.

## 2. Polynomial-time algorithm

In this section, we show that for any integer  $n \geq 1$ , there is a polynomial-time algorithm to determine if a given finite idempotent algebra generates a congruence  $(n+1)$ -permutable variety. This generalizes results for congruence 2-permutability from [7, 13] that were also observed by McKenzie. Our result is in contrast to the general, nonidempotent case, where this problem is exponential time complete for  $n > 1$  (see [13]). Throughout this section, fix  $n$  to be a positive integer and let  $\mathbf{A}$  be a finite algebra.

DEFINITION 2.1. Let  $\vec{p} = (p_1(x, y, z), p_2(x, y, z), \dots, p_n(x, y, z))$  be a sequence of idempotent ternary operations on  $A$ . For such sequences, we set  $p_0(x, y, z)$  and  $p_{n+1}(x, y, z)$  to be the first and third projection functions, respectively, in the following.

(1) For  $a, b \in A$  and  $0 \leq i \leq n$ , we call  $(a, b, i)$  an  $\mathbf{A}$ -triple of sort  $i$  and we say that  $\vec{p}$  is a local Hagemann–Mitschke sequence of operations for the triple  $(a, b, i)$  if the equality  $p_i(a, a, b) = p_{i+1}(a, b, b)$  holds.

(2) For  $S$  a collection of  $\mathbf{A}$ -triples, we say that  $\vec{p}$  is a local Hagemann–Mitschke sequence of operations for  $S$  if it is a local Hagemann–Mitschke sequence for each triple in  $S$ .

**THEOREM 2.2.** *For  $n \geq 1$ , a finite algebra  $\mathbf{A}$  generates an  $(n + 1)$ -permutable variety if and only if for each set  $S$  of  $\mathbf{A}$ -triples of size  $n + 1$  there is a local Hagemann–Mitschke sequence of term operations of  $\mathbf{A}$  for  $S$ .*

*Proof.* One direction follows from Proposition 1.1. For the other direction, we show by induction on  $|S|$  that for every collection  $S$  of  $\mathbf{A}$ -triples there is a local Hagemann–Mitschke sequence of term operations of  $\mathbf{A}$  for  $S$ . For  $S$  the set of all  $\mathbf{A}$ -triples, the corresponding sequence of term operations is a sequence of Hagemann–Mitschke terms for  $\mathbf{A}$ .

The base of the induction, when  $|S| \leq n + 1$ , is given, and so suppose that  $S$  is a set of  $\mathbf{A}$ -triples with  $|S| > n + 1$  and that for every strictly smaller set of  $\mathbf{A}$ -triples, there is a local Hagemann–Mitschke sequence of term operations for it. Since  $|S| > n + 1$ , there is some  $i$  such that there is more than one  $\mathbf{A}$ -triple of sort  $i$  in  $S$ . Let  $(a, b, i)$  be one such triple and let  $U = S \setminus \{(a, b, i)\}$ . Since  $|U| < |S|$ , it follows that there is a local Hagemann–Mitschke sequence of term operations  $\vec{u}$  for  $U$ .

We now define  $V$  to be the following set of  $\mathbf{A}$ -triples:

$$\begin{aligned} V = & \{(u_j(c, c, d), d, j) : 0 \leq j < i \text{ and } (c, d, j) \in S\} \\ & \cup \{(u_i(a, a, b), u_{i+1}(a, b, b), i)\} \\ & \cup \{(c, u_j(c, c, d), j) : i < j \leq n \text{ and } (c, d, j) \in S\}. \end{aligned}$$

Since  $S$  contains more than one  $\mathbf{A}$ -triple of sort  $i$ , it follows that  $|V| < |S|$  and so there is a local Hagemann–Mitschke sequence of term operations  $\vec{v}$  for  $V$ . Let  $\vec{s}$  be the following sequence of ternary term operations of  $\mathbf{A}$ :

$$\begin{aligned} s_j(x, y, z) &= v_j(u_j(x, y, z), u_j(y, y, z), z) \quad \text{for } 1 \leq j < i, \\ s_i(x, y, z) &= v_i(u_i(x, y, z), u_i(y, y, z), u_{i+1}(y, z, z)), \\ s_{i+1}(x, y, z) &= v_{i+1}(u_i(x, x, y), u_{i+1}(x, y, y), u_{i+1}(x, y, z)), \end{aligned}$$

and

$$s_j(x, y, z) = v_j(x, u_j(x, y, y), u_j(x, y, z)) \quad \text{for } i + 1 < j \leq n.$$

We claim that  $\vec{s}$  is a local Hagemann–Mitschke sequence of term operations for  $S$ . First note that since  $\vec{u}$  and  $\vec{v}$  are local Hagemann–Mitschke sequences of term operations, it follows that, by definition, the term operations in these two sequences are idempotent. It follows that the term operations in the sequence  $\vec{s}$  are also idempotent. The following calculations establish the rest of our claim.

(1) Let  $(c, d, j) \in S$  with  $0 \leq j < i - 1$ . Then

$$\begin{aligned} s_j(c, c, d) &= v_j(u_j(c, c, d), u_j(c, c, d), d) \\ &= v_{j+1}(u_j(c, c, d), d, d) \\ &= v_{j+1}(u_{j+1}(c, d, d), d, d) \\ &= s_{j+1}(c, d, d). \end{aligned}$$

(2) Let  $(c, d, i - 1) \in S$  (assuming that  $i \neq 0$ ). Then

$$\begin{aligned} s_{i-1}(c, c, d) &= v_{i-1}(u_{i-1}(c, c, d), u_{i-1}(c, c, d), d) \\ &= v_i(u_{i-1}(c, c, d), d, d) \\ &= v_i(u_i(c, d, d), d, d) \\ &= v_i(u_i(c, d, d), u_i(d, d, d), u_{i+1}(d, d, d)) \\ &= s_i(c, d, d). \end{aligned}$$

(3) Let  $(c, d, i) \in S \setminus \{(a, b, i)\} = U$ . Then

$$\begin{aligned} s_i(c, c, d) &= v_i(u_i(c, c, d), u_i(c, c, d), u_{i+1}(c, d, d)) \\ &= u_{i+1}(c, d, d) \\ &= v_{i+1}(u_i(c, c, d), u_{i+1}(c, d, d), u_{i+1}(c, d, d)) \\ &= s_{i+1}(c, d, d). \end{aligned}$$

(4) Since  $(u_i(a, a, b), u_{i+1}(a, b, b), i) \in V$ , it follows that

$$\begin{aligned} s_i(a, a, b) &= v_i(u_i(a, a, b), u_i(a, a, b), u_{i+1}(a, b, b)) \\ &= v_{i+1}(u_i(a, a, b), u_{i+1}(a, b, b), u_{i+1}(a, b, b)) \\ &= s_{i+1}(a, b, b). \end{aligned}$$

(5) Let  $(c, d, i + 1) \in S$ . Then

$$\begin{aligned} s_{i+1}(c, c, d) &= v_{i+1}(u_i(c, c, c), u_{i+1}(c, c, c), u_{i+1}(c, c, d)) \\ &= v_{i+1}(c, c, u_{i+1}(c, c, d)) \\ &= v_{i+2}(c, u_{i+1}(c, c, d), u_{i+1}(c, c, d)) \\ &= v_{i+2}(c, u_{i+2}(c, d, d), u_{i+2}(c, d, d)) \\ &= s_{i+2}(c, d, d). \end{aligned}$$

(6) Let  $(c, d, j) \in S$  with  $i + 1 < j < n$ . Then

$$\begin{aligned} s_j(c, c, d) &= v_j(c, u_j(c, c, c), u_j(c, c, d)) \\ &= v_j(c, c, u_j(c, c, d)) \\ &= v_{j+1}(c, u_j(c, c, d), u_j(c, c, d)) \\ &= v_{j+1}(c, u_{j+1}(c, d, d), u_{j+1}(c, d, d)) \\ &= s_{j+1}(c, d, d). \end{aligned}$$

(7) Let  $(c, d, n) \in S$  (assuming that  $i \neq n$ ). Then

$$\begin{aligned} s_n(c, c, d) &= v_n(c, u_n(c, c, c), u_n(c, c, d)) \\ &= v_n(c, c, u_n(c, c, d)) \\ &= v_n(c, c, d) = d. \end{aligned} \quad \square$$

**COROLLARY 2.3.** *A finite idempotent algebra  $\mathbf{A}$  generates a congruence  $(n + 1)$ -permutable variety if and only if for every pair of  $(n + 1)$ -tuples  $(a_0, a_1, \dots, a_n)$ ,  $(b_0, b_1, \dots, b_n)$  of elements from  $A$ , the pair  $(a_0, b_n)$  is in the relational product  $R_1 \circ R_2 \circ \dots \circ R_n$ , where  $R_i$  is the subuniverse of  $\mathbf{A}^2$  generated by the pairs  $(a_{i-1}, a_i)$ ,  $(b_{i-1}, a_i)$ , and  $(b_{i-1}, b_i)$ .*

*Proof.* By the theorem, we need to ensure that for every set of  $n + 1$   $\mathbf{A}$ -triples, the algebra  $\mathbf{A}$  has a local Hagemann–Mitschke sequence of term operations for that set. If any two of the  $\mathbf{A}$ -triples in the set have the same sort, then the projection operations onto the first or third variable can be used to construct a local Hagemann–Mitschke sequence of term operations for the  $n + 1$   $\mathbf{A}$ -triples. So, the only type of sets of  $n + 1$   $\mathbf{A}$ -triples that need to be considered are of the form  $\{(a_0, b_0, 0), (a_1, b_1, 1), \dots, (a_n, b_n, n)\}$  for some pair of  $(n + 1)$ -tuples  $(a_0, a_1, \dots, a_n)$ ,  $(b_0, b_1, \dots, b_n)$  over  $A$ . It is not hard to see that there will be a local Hagemann–Mitschke sequence of term operations for such a set if and only if the condition stated in the corollary holds.  $\square$

**COROLLARY 2.4.** *For a fixed  $n \geq 1$ , there is a polynomial-time algorithm to determine if a given finite idempotent algebra  $\mathbf{A}$  generates a congruence  $(n + 1)$ -permutable variety.*

*Proof.* The condition from the previous corollary can be tested in polynomial time (as a function of the size of the algebra  $\mathbf{A}$ ). We use the fact that the 3-generated subalgebras  $R_i$  of  $\mathbf{A}^2$  from the corollary can be efficiently generated.  $\dagger$  □

**COROLLARY 2.5.** *For  $n \geq 1$ , a finite idempotent algebra  $\mathbf{A}$  generates a congruence  $(n + 1)$ -permutable variety if and only if every  $(n + 2)$ -generated subalgebra of  $\mathbf{A}^{(n+1)}$  is congruence  $(n + 1)$ -permutable.*

*Proof.* We show that the condition of Corollary 2.3 can be met under the assumption that every  $(n + 2)$ -generated subalgebra of  $\mathbf{A}^{(n+1)}$  is congruence  $(n + 1)$ -permutable. Let  $\bar{a} = (a_0, a_1, \dots, a_n)$  and  $\bar{b} = (b_0, b_1, \dots, b_n)$  be a pair of  $(n + 1)$ -tuples of elements from  $A$  and let the  $R_i$  be the subalgebras of  $\mathbf{A}^2$  from the corollary.

For  $0 \leq i \leq n + 1$ , let  $\bar{c}_i = (b_0, b_1, \dots, b_{i-1}, a_i, a_{i+1}, \dots)$  and let  $\mathbf{C}$  be the subalgebra of  $\mathbf{A}^{(n+1)}$  generated by the  $\bar{c}_i$ . In  $\mathbf{C}$ , let  $\alpha$  and  $\beta$  be the congruences generated by the sets of pairs  $\{(c_i, c_{i+1}) : i \text{ even}\}$  and  $\{(c_i, c_{i+1}) : i \text{ odd}\}$ , respectively. By construction, we have that  $(\bar{a}, \bar{b}) \in \alpha \circ_{n+1} \beta$  and so by assumption,  $(\bar{a}, \bar{b}) \in \beta \circ_{n+1} \alpha$ .

For  $0 \leq i \leq n + 1$ , let  $\bar{d}_i = (d_0^i, d_1^i, \dots, d_n^i)$  be elements of  $C$  such that

- (1)  $\bar{d}_0 = \bar{a}$ ;
- (2)  $(\bar{d}_i, \bar{d}_{i+1}) \in \beta$ , for  $i$  even;
- (3)  $(\bar{d}_i, \bar{d}_{i+1}) \in \alpha$ , for  $i$  odd;
- (4)  $\bar{d}_{n+1} = \bar{b}$ ;

and for  $1 \leq i \leq n$ , let  $t_i(x_0, \dots, x_{n+1})$  be a term such that

$$t_i^{\mathbf{C}}(\bar{c}_0, \dots, \bar{c}_{n+1}) = \bar{d}_i.$$

By examining this equality in the coordinates  $i - 1$  and  $i$ , we see that the pair  $(d_{i-1}^i, d_i^i)$  belongs to  $R_i$ . Since for  $i$  even  $\beta$  is contained in the kernel of the projection of  $C$  onto its  $i$ th coordinate and for  $i$  odd  $\alpha$  is contained in the kernel of the projection of  $C$  onto its  $i$ th coordinate, it follows that  $d_i^i = d_{i+1}^i$  for  $0 \leq i \leq n$ . These elements witness that the pair  $(a_0, b_n)$  is in the relational product  $R_1 \circ R_2 \circ \dots \circ R_n$ , as required. □

The exponent  $(n + 1)$  in the previous corollary is tight, since the two-element distributive lattice  $\mathbf{2}$  generates a variety that is not  $n$ -permutable for any  $n$ . Yet we have the following proposition.

**PROPOSITION 2.6.** *For every  $n \geq 1$ , every sublattice of  $\mathbf{2}^n$  is congruence  $(n + 1)$ -permutable.*

*Proof.* Suppose  $\mathbf{L} \leq \mathbf{2}^n$ ,  $\alpha, \beta \in \text{Con } \mathbf{L}$ ,  $a_0, a_1, \dots, a_{n+1} \in L$ ,  $(a_i, a_{i+1}) \in \alpha$  for even  $i$ , and  $(a_i, a_{i+1}) \in \beta$  for odd  $i$ . It suffices to show  $(a_0, a_{n+1}) \in \beta \circ_{n+1} \alpha$ .

$\dagger$ More generally, for each fixed  $k$ , the problem that takes as input a finite algebra  $\mathbf{A}$  in a finite signature, a subset  $X \subseteq A^k$ , and an element  $\bar{a} \in A^k$  and decides whether  $\bar{a}$  is in the subalgebra of  $\mathbf{A}^k$  generated by  $X$ , is solvable in polynomial time. This fact is an easy generalization of an observation of [15]; see also [3].

Define the binary polynomial operation  $\sqcap$  on  $\mathbf{L}$  by  $x \sqcap y = m(x, y, a_{n+1})$  where  $m(x, y, z) = (x \wedge y) \vee (x \wedge z) \vee (y \wedge z)$ . Also define the binary relation  $\sqsubseteq$  on  $L$  by  $x \sqsubseteq y$  if and only if  $x \sqcap y = x$ . Then  $\sqcap$  is a (meet) semilattice operation on  $L$  and  $\sqsubseteq$  is its corresponding partial order with least element  $a_{n+1}$ . Define  $b_0 = a_0$  and  $b_{i+1} = b_i \sqcap a_{i+1}$  for  $i \leq n$ . Then  $a_0 = b_0 \sqsupseteq b_1 \sqsupseteq \cdots \sqsupseteq b_{n+1} = a_{n+1}$ ,  $(b_i, b_{i+1}) \in \alpha$  for even  $i$ , and  $(b_i, b_{i+1}) \in \beta$  for odd  $i$ .

Because  $\sqsubseteq$  has a coordinate-wise definition in  $L \subseteq \{0, 1\}^n$ , the poset  $(L, \sqsubseteq)$  has height at most  $n + 1$ . Hence, there exists  $i \leq n$  such that  $b_i = b_{i+1}$ , which implies  $(a_0, a_{n+1}) \in (\alpha \circ_n \beta) \cup (\beta \circ_n \alpha) \subseteq \beta \circ_{n+1} \alpha$ , as required.  $\square$

### 3. Interpretability characterization

In this section, we prove that an idempotent variety is  $n$ -permutable for some  $n > 1$  if and only if it is not interpretable in the variety of distributive lattices. Our proof depends on the following lemma connecting the failure of  $n$ -permutability to the existence of algebras with a compatible partial order. The lemma follows easily from an unpublished result of Hagemann, that a variety is  $n$ -permutable for some  $n$  if and only if every compatible quasi-order in any member of the variety is an equivalence relation [10, Corollary 4], and is proved explicitly in [6, Theorem 3].

LEMMA 3.1. *The following are equivalent for an idempotent variety  $\mathcal{E}$ :*

- (1)  $\mathcal{E}$  is not  $n$ -permutable for any  $n > 1$ ;
- (2)  $\mathcal{E}$  contains a nontrivial member having a compatible bounded partial order.

The heart of our argument is contained in the following claim.

PROPOSITION 3.2. *Suppose that  $\mathbf{P}$  is an idempotent algebra having a compatible bounded partial order. Then the variety generated by  $\mathbf{P}$  contains a two-element algebra having a compatible total order.*

*Proof.* Let  $\leq$  be a compatible bounded partial order of  $\mathbf{P}$  with least element 0 and greatest element 1.

DEFINITION 3.3. (1) Let  $P_0 = P \setminus \{0\}$ .

(2) For any  $A \subseteq P$ , define:

- (a)  $A \uparrow = \{x \in P : a \leq x \text{ for some } a \in A\}$ ;
- (b)  $A \downarrow = \{x \in P : x \leq a \text{ for some } a \in A\}$ ;
- (c)  $N_A = A \uparrow \setminus A \downarrow$ .

(3) Let  $\mathcal{J} = \{N_A : A \subseteq P_0\} \cup \{\{0\}\}$ .

LEMMA 3.4. *The set  $P$  is not the union of any finite subset of  $\mathcal{J}$ .*

*Proof.* Suppose  $\mathcal{J}_0 \subseteq \mathcal{J}$  and  $\bigcup \mathcal{J}_0 = P$ . Set  $b_0 = 1$ . As  $b_0 \neq 0$ , there must exist  $N_{A_0} \in \mathcal{J}_0$  with  $b_0 \in A_0 \uparrow \setminus A_0 \downarrow$ . Thus, there exists  $b_1 \in A_0$  with  $b_1 \leq b_0$ , and since  $b_0 \notin A_0 \downarrow$ , we in fact have  $b_1 < b_0$ . Finally,  $0 < b_1$  because  $b_1 \in A_0 \subseteq P_0$ .

Repeat: as  $b_1 \neq 0$  there exists  $N_{A_1} \in \mathcal{J}_0$  with  $b_1 \in A_1 \uparrow \setminus A_1 \downarrow$ . Note that  $b_1 \in A_0 \subseteq A_0 \downarrow$  implies  $A_1 \neq A_0$ . As before, we obtain  $b_2 \in A_1$  with  $0 < b_2 < b_1$ . Let us try it again: as  $b_2 \neq 0$  there exists  $N_{A_2} \in \mathcal{J}_0$  with  $b_2 \in A_2 \uparrow \setminus A_2 \downarrow$ . Note that  $b_2 \in A_1 \subseteq A_1 \downarrow$  implies  $A_2 \neq A_1$ , and  $b_2 < b_1 \in A_0$  implies  $b_2 \in A_0 \downarrow$ , implying  $A_2 \neq A_0$ . As before, we obtain  $b_3 \in A_2$  with  $0 < b_3 < b_2$ . Clearly, this goes on forever, implying  $\mathcal{J}_0$  must be infinite.  $\square$

Hence, we can fix an ultrafilter  $\mathcal{U}$  on  $P$  with the property that  $\mathcal{U} \cap \mathcal{J} = \emptyset$ .

LEMMA 3.5. *For every  $Z \in \mathcal{U}$ , there exists  $x \in Z$  such that  $x \neq 0$  and  $Z \cap \{x\} \downarrow$  is downward-dense above 0; that is, for all  $u \in P$  satisfying  $0 < u \leq x$  there exists  $y \in Z$  satisfying  $0 < y \leq u$ .*

*Proof.* Suppose that there is no such  $x \in Z$ . Then for each  $x \in Z \setminus \{0\}$ , we can choose  $u_x$  satisfying  $0 < u_x < x$  and  $Z \cap \{u_x\} \downarrow \subseteq \{0\}$ . Define  $A = \{u_x : x \in Z \setminus \{0\}\}$ . Then  $Z \subseteq N_A \cup \{0\}$ , contradicting the fact that  $N_A \cup \{0\} \notin \mathcal{U}$ .  $\square$

DEFINITION 3.6. Let  $\mathbf{U}$  denote the ultrapower  $\mathbf{P}^P / \mathcal{U}$ .

Note that the order relation  $\leq$  is defined naturally in  $\mathbf{U}$ , and each operation of  $\mathbf{U}$  is compatible with  $\leq$ . We will use the following notation (cf. [5, Definition V.2.4]): if  $a, b, c, \dots \in P^P$  and  $f \in \text{Clo}(\mathbf{P})$ , then

$$\begin{aligned} a_{\mathcal{U}} &\text{ denotes the image of } a \text{ in } \mathbf{U}, \\ \llbracket a = b \rrbracket &\text{ denotes } \{x \in P : a(x) = b(x)\}, \\ \llbracket f(a, b, \dots) < c \rrbracket &\text{ denotes } \{x \in P : f(a(x), b(x), \dots) < c(x)\}, \end{aligned}$$

etc.

Let  $\bar{0}$  denote the constant function  $P \rightarrow P$  with value 0, let  $\text{id}$  denote the identity function  $P \rightarrow P$ , and let  $\mathbf{0} = \bar{0}_{\mathcal{U}}$  and  $\mathbf{1} = \text{id}_{\mathcal{U}}$ . If  $f \in \text{Clo}_2(\mathbf{P})$ , then let  $f^{[0]}$  denote the function  $f(0, \_)$ :  $P \rightarrow P$ . Finally, let  $\mathbf{S}$  be the subalgebra of  $\mathbf{U}$  generated by  $\{\mathbf{0}, \mathbf{1}\}$ .

LEMMA 3.7. (1) *The set  $S$  satisfies:  $S = \{f^{[0]}_{\mathcal{U}} : f \in \text{Clo}_2(\mathbf{P})\}$ .*  
 (2) *The set  $S$  satisfies:  $|S| > 1$ , and  $\mathbf{0} \leq a \leq \mathbf{1}$  for all  $a \in S$ .*

*Proof.* Clearly  $\llbracket \bar{0} = \text{id} \rrbracket = \{0\} \notin \mathcal{U}$ ; hence  $\mathbf{0} \neq \mathbf{1}$ . The other claims are routine.  $\square$

LEMMA 3.8. *For all  $h \in \text{Clo}_3(\mathbf{P})$  and all  $a \in S$ , if  $a > \mathbf{0}$  and  $h^{\mathbf{S}}(\mathbf{0}, \mathbf{1}, a) = \mathbf{0}$ , then  $h^{\mathbf{S}}(\mathbf{0}, \mathbf{1}, \mathbf{1}) = \mathbf{0}$ .*

*Proof.* Assume instead that  $h^{\mathbf{S}}(\mathbf{0}, \mathbf{1}, \mathbf{1}) > \mathbf{0}$ . Pick  $f \in \text{Clo}_2(\mathbf{P})$  with  $f^{[0]}_{\mathcal{U}} = a$ . Let  $Z = \llbracket f^{[0]} > \bar{0} \text{ and } h(\bar{0}, \text{id}, f^{[0]}) = \bar{0} \text{ and } h(\bar{0}, \text{id}, \text{id}) > \bar{0} \rrbracket$  and note that our assumptions imply  $Z \in \mathcal{U}$ . Clearly,

$$Z = \{x \in P : f(0, x) > 0 \text{ and } h(0, x, f(0, x)) = 0 \text{ and } h(0, x, x) > 0\}.$$

Pick  $x \in Z$  witnessing Lemma 3.5. Because  $x \in Z$ , we have

$$0 < f(0, x), \quad h(0, x, f(0, x)) = 0, \quad 0 < h(0, x, x).$$

Let  $u = f(0, x)$ . Because  $f \in \text{Clo}_2(\mathbf{P})$  and  $0 < x$ , we have  $u \leq f(x, x) = x$ . By our choice of  $x$ , there exists  $y \in Z$  with  $y \leq u$ . As  $y \in Z$ , we have

$$0 < f(0, y), \quad h(0, y, f(0, y)) = 0, \quad 0 < h(0, y, y).$$

But  $h \in \text{Clo}_3(\mathbf{P})$ , so  $h$  is order-preserving, so  $0 < h(0, y, y) \leq h(0, x, u) = 0$ , a contradiction.  $\square$

Define  $E = \{(a, b) \in S^2 : a = \mathbf{0} \text{ or } b \neq \mathbf{0}\}$ .

LEMMA 3.9. *The set  $E$  satisfies  $E \leq \mathbf{S}^2$ .*

*Proof.* Suppose not. Then there exist  $n \geq 1$ ,  $h \in \text{Clo}_n(\mathbf{P})$ , and  $(a_1, b_1), \dots, (a_n, b_n) \in E$  such that  $h^{\mathbf{S}}(a_1, \dots, a_n) > \mathbf{0}$  while  $h^{\mathbf{S}}(b_1, \dots, b_n) = \mathbf{0}$ . We can assume (by rearranging and possibly collapsing coordinates) that  $(a_1, b_1) = (\mathbf{0}, \mathbf{0})$  and  $b_j > \mathbf{0}$  for all  $j \geq 2$ . Because  $h^{\mathbf{S}}$  is order-preserving, we can further assume that  $a_2 = \dots = a_n = \mathbf{1}$ . Thus,

$$h^{\mathbf{S}}(\mathbf{0}, b_2, \dots, b_n) = \mathbf{0} \quad \text{and} \quad h^{\mathbf{S}}(\mathbf{0}, \mathbf{1}, \dots, \mathbf{1}) > \mathbf{0}.$$

Thus, there must exist  $1 \leq k < n$  such that

$$h^{\mathbf{S}}(\mathbf{0}, \underbrace{\mathbf{1}, \dots, \mathbf{1}}_{k-1}, b_{k+1}, b_{k+2}, \dots, b_n) = \mathbf{0}$$

and

$$h^{\mathbf{S}}(\mathbf{0}, \underbrace{\mathbf{1}, \dots, \mathbf{1}, \mathbf{1}}_k, b_{k+2}, \dots, b_n) > \mathbf{0}.$$

For  $k < j \leq n$ , choose  $f_j \in \text{Clo}_2(\mathbf{P})$  such that  $b_j = (f_j^{[0]})_{\mathcal{U}}$  and define

$$\bar{h}(x, y, z) = h(x, \underbrace{y, \dots, y}_{k-1}, z, f_{k+2}(x, y), \dots, f_n(x, y)) \in \text{Clo}_3(\mathbf{P}).$$

Also let  $b = b_{k+1}$  and  $f = f_{k+1}$ . Then

$$\llbracket \bar{h}(\bar{0}, \text{id}, f^{[0]}) = \bar{0} \rrbracket = \llbracket h(\bar{0}, \underbrace{\text{id}, \dots, \text{id}}_{k-1}, f^{[0]}, f_{k+2}^{[0]}, \dots, f_n^{[0]}) = \bar{0} \rrbracket \in \mathcal{U},$$

$$\llbracket \bar{h}(\bar{0}, \text{id}, \text{id}) > \bar{0} \rrbracket = \llbracket h(\bar{0}, \underbrace{\text{id}, \dots, \text{id}}_{k-1}, \text{id}, f_{k+2}^{[0]}, \dots, f_n^{[0]}) > \bar{0} \rrbracket \in \mathcal{U}.$$

Hence,  $b > \mathbf{0}$ ,  $\bar{h}^{\mathbf{S}}(\mathbf{0}, \mathbf{1}, b) = \mathbf{0}$ , and  $\bar{h}^{\mathbf{S}}(\mathbf{0}, \mathbf{1}, \mathbf{1}) > \mathbf{0}$ , contradicting Lemma 3.8.  $\square$

Now let  $\theta = E \cap E^{-1}$ . It follows that  $\theta \in \text{Con } \mathbf{S}$ , and that if  $\mathbf{T} = \mathbf{S}/\theta$ , then  $|\mathbf{T}| = 2$  and  $E/\theta$  is a compatible total ordering of  $\mathbf{T}$ . As  $\mathbf{T}$  is in the variety generated by  $\mathbf{P}$ , we have proved Proposition 3.2.  $\square$

COROLLARY 3.10. *The following are equivalent for an idempotent variety  $\mathcal{E}$ :*

- (1)  $\mathcal{E}$  is  $n$ -permutable for some  $n > 1$ ;
- (2)  $\mathcal{E} \not\leq \text{DistLat}$ .

*Proof.* The implication (1)  $\Rightarrow$  (2) is well known and can be deduced from Proposition 1.1 by noting that the two-element distributive lattice does not support Hagemann–Mitschke terms.

For the opposite implication, assume that  $\mathcal{E}$  is not  $n$ -permutable for any  $n > 1$ . Then, by Lemma 3.1 and Proposition 3.2,  $\mathcal{E}$  contains a two-element algebra  $\mathbf{T}$  having a compatible

total order. Thus,  $\mathbf{T}$  is a term reduct of the two-element distributive lattice  $\mathbf{2}$ , which proves  $\mathcal{E} \leq \mathbf{HSP}(\mathbf{2}) = \text{DistLat}$ .  $\square$

We note that without the assumption of idempotence, Proposition 3.2 can fail badly. The following is an example of a variety  $\mathcal{V}$  that is not  $n$ -permutable for any  $n > 1$  but such that if  $\mathbf{A}$  is a member of  $\mathcal{V}$  having a nontrivial compatible partial order  $\leq$ , then  $\leq$  has arbitrarily large finite chains.

**EXAMPLE 3.11.** Let  $\mathcal{V}$  be the variety defined by the identities for a pairing function. That is, the language of  $\mathcal{V}$  consists of a binary operation  $p$  and two unary operations  $f, g$ , and  $\mathcal{V}$  is defined by  $p(f(x), g(x)) \approx x$ ,  $f(p(x, y)) \approx x$ , and  $g(p(x, y)) \approx y$ .

Consider the poset  $(2^\omega, \leq)$  with the pointwise order. Define  $f, g : 2^\omega \rightarrow 2^\omega$  and  $p : 2^\omega \times 2^\omega \rightarrow 2^\omega$  by

$$\begin{aligned} f(a)(i) &= a(2i), \\ g(a)(i) &= a(2i + 1), \\ p(a, b)(i) &= \begin{cases} a(i/2) & \text{if } i \text{ is even,} \\ b((i - 1)/2) & \text{otherwise.} \end{cases} \end{aligned}$$

Define the algebra  $\mathbf{P} = (2^\omega; f, g, p)$ . Then  $\mathbf{P} \in \mathcal{V}$  and  $\leq$  is a compatible partial order for  $\mathbf{P}$ . As  $\leq$  is a compatible quasi-order that is not an equivalence relation,  $\mathcal{V}$  is not  $n$ -permutable for any  $n$ , by Hagemann's result.

Now suppose that  $\mathbf{A}$  is any member of  $\mathcal{V}$  having a nontrivial compatible partial order  $\leq$ . If  $a_1 < a_2 < \dots < a_k$  is a chain of length  $k$  in  $\mathbf{A}$ , then by the defining identities of  $\mathcal{V}$  it follows that

$$p(a_1, a_1) < p(a_1, a_2) < \dots < p(a_1, a_k) < p(a_2, a_k) < \dots < p(a_k, a_k)$$

is a chain of length  $2k - 1$ .

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*M. Valeriote*

*Department of Mathematics and Statistics  
McMaster University  
1280 Main Street West  
Hamilton, Ontario  
Canada L8S 4L8*

*matt@math.mcmaster.ca*

*R. Willard*

*Department of Pure Mathematics  
University of Waterloo  
200 University Avenue West  
Waterloo, Ontario  
Canada N2L 3G1*

*rdwillar@uwaterloo.ca*