

A STABILITY RANGE FOR TOPOLOGICAL 4-MANIFOLDS

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ABSTRACT. We introduce a new stable range invariant for the classification of closed, oriented topological 4-manifolds (up to s -cobordism), after stabilization by connected sum with a uniformly bounded number of copies of $S^2 \times S^2$.

1. INTRODUCTION

Due to recent work on the stable classification of topological 4-manifolds, the outline of a general theory is emerging (see [22], [23], [24], [25], [26]). The most effective approach so far is a development of the original results of Wall [45, Theorem 3], [44, Theorem 1]: if M and N are closed, simply connected, smooth 4-manifolds with isomorphic intersection forms, then $M\#r(S^2 \times S^2)$ is diffeomorphic to $N\#r(S^2 \times S^2)$, for some $r \geq 0$. If this conclusion holds, we say that M and N are *stably diffeomorphic*. The analogous notion for topological 4-manifolds is *stable homeomorphism*.

The following result of Kreck [30] provides a fruitful starting point for studying the stable classification problem in general:

Theorem (Kreck [30, Theorem 2]). *Suppose that M and N are closed, smooth, spin 4-manifolds, with the same fundamental group π and equal Euler characteristics. If M and N are spin bordant over $K(\pi, 1)$, then $M\#r(S^2 \times S^2)$ is diffeomorphic to $N\#r(S^2 \times S^2)$, for some $r \geq 0$.*

In this note, we consider the *computability* of the number of stabilizations.

Question. If $M\#r(S^2 \times S^2)$ is homeomorphic to $N\#r(S^2 \times S^2)$, can one determine the minimum value of r needed? Is there a uniform estimate for the number of stabilizations, depending only on the fundamental group as M and N vary?

The case of simply connected smooth 4-manifolds is still not completely settled: no examples are known which require at least two copies of $S^2 \times S^2$ (instead of one copy) to achieve stable diffeomorphism. For stable homeomorphism of topological 4-manifolds with finite fundamental group, one copy of $S^2 \times S^2$ will suffice (see [16, Theorem B]).

Remark 1.1. One obstacle to determining optimal stabilization bounds is the failure of the 5-dimensional s -cobordism theorem for smooth manifolds, and its unknown status (in general) for topological manifolds. To avoid this problem, we will aim for stability bounds for s -cobordisms rather than for homeomorphisms or diffeomorphisms.

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Our main result uses a new stable range (integer) invariant $\mathfrak{sr}(\pi)$, depending only on a given finitely presented group π (see Definition 4.1). We will assume the assembly map properties (W-AA) for π given in Definition 3.1, and restrict attention to groups of *type F*, meaning that there exists a *finite* aspherical n -complex with fundamental group π , for some $n \geq 0$. In this case, we say that π is *geometrically n -dimensional* ($\text{g-dim}(\pi) \leq n$).

Theorem A. *Let π be an discrete group of type F satisfying properties (W-AA). Let M and N be closed, smooth, spin 4-manifolds with fundamental group π , which are oriented homotopy equivalent. Then $M\#r(S^2 \times S^2)$ is smoothly s -cobordant to $N\#r(S^2 \times S^2)$, provided that $r \geq \mathfrak{sr}(\pi)$.*

Remark 1.2. A similar result holds for topological 4-manifolds. If M and N are smooth and simply-connected, Theorem A (with $r = 0$) was proved by Wall [45, Theorem 2]. In this case, the homotopy type is determined by the intersection form.

Example 1.3. For π a right-angled Artin group with $\text{g-dim}(\pi) \leq 4$ the assembly map conditions hold, and $\mathfrak{sr}(\pi) \leq 6$ by Proposition 4.5.

For a non-simply connected 4-manifold M , the basic homotopy invariants are the fundamental group $\pi := \pi_1(M)$, the second homotopy group $\pi_2(M)$, the equivariant intersection form s_M on $\pi_2(M)$, and the first k -invariant, $k_M \in H^3(\pi; \pi_2(M))$. These invariants give the *quadratic 2-type*

$$Q(M) := [\pi_1(M), \pi_2(M), k_M, s_M]$$

whose *isometry type* largely determines the classification up to s -cobordism of closed oriented topological 4-manifolds with geometrically 2-dimensional fundamental groups (see [19] for the precise conditions). The appropriate notion of (oriented) isometry is given in Definition 2.3.

Question. How strong an invariant is the quadratic 2-type ? Does $Q(M)$ determine the homotopy type of M ? The stable homeomorphism type (if M is spin) ?

We will concentrate on geometrically finite fundamental groups, which in particular are torsion-free (see [25, Proposition 9.2] for an example with $\pi = \mathbb{Z} \times \mathbb{Z}/p$, showing that $Q(M)$ does not determine the homotopy type for $M = L^3(p, q) \times S^1$).

Here is a sample application of the stable range invariant for manifolds M with a given quadratic 2-type. In the statement, $d(\pi)$ denotes the minimal number of generators for π a finitely generated group.

Theorem B. *Let π be the fundamental group of a closed, oriented, aspherical 3-manifold. Suppose that M and N are closed, topological, spin 4-manifolds with fundamental group π , and isometric oriented quadratic 2-types. If M and N are stably homeomorphic, then $M\#r(S^2 \times S^2)$ is s -cobordant to $N\#r(S^2 \times S^2)$, provided that $r \geq 2d(\pi)$.*

For manifolds with fundamental groups in this class, the stable classification was completely carried out by Kasprowski, Land, Powell and Teichner [22] (compare [13, Theorem B]). We remark that stable range invariants for noetherian rings due to Bass [4], Stafford [39] and Vaserstein [43] have previously been used to obtain bounds on the number of stabilizations required (for example, see [16, Theorem B] for finite fundamental

group, and [9, Theorem 1.1], [27, Theorem 2.1]). It is not clear at present how these more “arithmetic” stability bounds are related to the L -theory bound used here. Another kind of “non-cancellation” result arises from relating invariants of finite 2-complexes to the stabilization of their 4-manifold thickened doubles (see [29]).

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2. THE QUADRATIC 2-TYPE

Here is a brief summary of the definitions in [15] and [19].

Definition 2.1. For an oriented 4-manifold M , the *equivariant intersection form* is the triple $(\pi_1(M, x_0), \pi_2(M, x_0), s_M)$, where $x_0 \in M$ is a base point and

$$s_M: \pi_2(M, x_0) \otimes_{\mathbb{Z}} \pi_2(M, x_0) \rightarrow \Lambda,$$

where $\Lambda := \mathbb{Z}[\pi_1(M, x_0)]$. This pairing is derived from the cup product on $H_c^2(\widetilde{M}; \mathbb{Z})$, where \widetilde{M} is the universal cover of M ; we identify $H_c^2(\widetilde{M}; \mathbb{Z})$ with $\pi_2(M)$ via Poincaré duality and the Hurewicz Theorem, and so s_M is defined by

$$s_M(x, y) = \sum_{g \in \pi} \varepsilon_0(\tilde{x} \cup \tilde{y}g^{-1}) \cdot g \in \mathbb{Z}[\pi],$$

where $\tilde{x}, \tilde{y} \in H_c^2(\widetilde{M}; \mathbb{Z})$ are the images of $x, y \in \pi_2(M)$ under the composite isomorphism $\pi_2(M) \rightarrow H_2(\widetilde{M}; \mathbb{Z}) \rightarrow H_c^2(\widetilde{M}; \mathbb{Z})$ and ε_0 is given by $\varepsilon_0: H_c^4(\widetilde{M}; \mathbb{Z}) \rightarrow H_0(\widetilde{M}; \mathbb{Z}) = \mathbb{Z}$.

Alternately, we can identify $H_c^2(\widetilde{M}; \mathbb{Z}) = H^2(M; \Lambda)$, and define s_M via cup product and evaluation on the image $tr[M] \in H_4^{LF}(M; \mathbb{Z})$ of the fundamental class of M under transfer.

Unless otherwise mentioned, we work with pointed spaces and maps, and our modules are *right* Λ -modules. The pairing s_M is Λ -hermitian, meaning that for all $\lambda \in \Lambda$, we have

$$s_M(x, y \cdot \lambda) = s_M(x, y) \cdot \lambda \quad \text{and} \quad s_M(y, x) = \overline{s_M(x, y)},$$

where $\lambda \mapsto \bar{\lambda}$ is the involution on Λ given by the orientation character of M . This involution is determined by $\bar{g} = g^{-1}$ for $g \in \pi_1(M, x_0)$. For later reference, we note that when M is spin the term $\varepsilon_0(\tilde{x}, \tilde{y}) \equiv 0 \pmod{2}$, so s_M is an *even* hermitian form.

Let $B := B(M)$ denote the algebraic 2-type of a closed oriented topological 4-manifold M with infinite fundamental group π . In particular, the classifying map $c: M \rightarrow B$ is 3-connected and B is 3-co-connected. The space B is determined up to homotopy equivalence by the algebraic data $[\pi_1(M), \pi_2(M), k_M]$.

Notation: In the rest of the paper, if the coefficients for homology groups are not explicitly stated, then we mean *integral homology* $H_*(-; \mathbb{Z})$.

We will assume that π is infinite and one-ended, or equivalently that $H^1(\pi; \Lambda) = 0$. By Poincaré duality, this implies that $H_3(\widetilde{M}; \mathbb{Z}) = H_3(M; \Lambda) = 0$. Under these assumptions,

$$H_4(M) \cong H_4(M, \widetilde{M}) \cong H_4(B, \widetilde{B}) \cong \mathbb{Z}$$

(see the proof of Proposition 6.3(i) for the details), and we let $\mu_M \in H_4(B, \widetilde{B})$ denote the image $\mu_M = c_*[M]$ of the fundamental class of M under this composite. We regard the class μ_M as an *orientation* of the quadratic 2-type.

Definition 2.2. The *oriented quadratic 2-type* is the 4-tuple:

$$Q(M) := [\pi_1(M, x_0), \pi_2(M), k_M, s_M]$$

together with the class $\mu_M \in H_4(B, \widetilde{B})$.

Definition 2.3. An *orientation-preserving isometry* of quadratic 2-types $Q(M)$ and $Q(N)$ is a triple (α, β, ϕ) , such that

- (i) $\alpha: \pi_1(M, x_0) \rightarrow \pi_1(N, x'_0)$ is an isomorphism of fundamental groups;
- (ii) $\beta: (\pi_2(M), s_M) \rightarrow (\pi_2(N), s_N)$ is an α -invariant isometry of the equivariant intersection forms, such that $(\alpha^*, \beta_*^{-1})(k_N) = k_M$;
- (iii) $\phi: B(M) \rightarrow B(N)$ is a base-point preserving homotopy equivalence lifting (α, β) , such that $\phi_*(\mu_M) = \mu_N$.

In addition, the following diagram

$$\begin{array}{ccccccc} 0 & \longrightarrow & H^2(\pi; \Lambda) & \longrightarrow & H^2(N; \Lambda) & \xrightarrow{e_N} & \text{Hom}_\Lambda(H_2(N; \Lambda), \Lambda) & \longrightarrow & H^3(\pi; \Lambda) & \longrightarrow & 0 \\ & & \parallel & & \cong \downarrow \beta^* & & \cong \downarrow \beta^* & & \parallel & & \\ 0 & \longrightarrow & H^2(\pi; \Lambda) & \longrightarrow & H^2(M; \Lambda) & \xrightarrow{e_M} & \text{Hom}_\Lambda(H_2(M; \Lambda), \Lambda) & \longrightarrow & H^3(\pi; \Lambda) & \longrightarrow & 0 \end{array}$$

arising from the universal coefficient spectral sequence *commutes*, with maps e_M, e_N induced by evaluation, and β after identifying $\pi := \pi_1(M, x_0) \cong \pi_1(N, x'_0)$ via α . We will assume throughout that our manifolds are connected, so that a change of base points leads to isometric intersection forms. By a *stable* isometry, we mean an oriented isometry of quadratic 2-types after adding a hyperbolic form $H(\Lambda^r)$ to both sides.

Remark 2.4. Recall that there is an exact sequence of groups

$$1 \rightarrow H^2(\pi; \pi_2(B)) \rightarrow \text{Aut}_\bullet(B) \rightarrow \text{Isom}([\pi_1(M), \pi_2(M), k_M]) \rightarrow 1$$

determining the group $\text{Aut}_\bullet(B)$ of base-point preserving homotopy self-equivalences of B up to extension (see Møller [36, §4]). In Proposition 6.3(iv) we will show that the image $\phi_*(\mu_M)$ depends only the isometry induced by ϕ on the algebraic 2-type of M . In particular, this implies that the condition (iii) above is independent of the choice of ϕ .

3. MODIFIED SURGERY AND ASSEMBLY MAPS

A standard approach to the classification of topological 4-manifolds uses the theory of “modified surgery” due to Matthias Kreck [30, §6]. We briefly recall some of the features of modified surgery in our setting (see [30, Theorem 4, p. 735] for the notation):

- Let M and N be closed, oriented topological 4-manifolds with the same Euler characteristic, which admit normal 1-smoothings in a fibration $B \rightarrow B\text{STOP}$.
- If W is a normal B -bordism between these two 1-smoothings, with normal B -structure $\bar{\nu}$, then there exists an obstruction $\Theta(W, \bar{\nu}) \in \ell_5(\pi_1(B))$ which is *elementary* if and only if $(W, \bar{\nu})$ is B -bordant relative to the boundary to an s -cobordism.
- Let $\pi := \pi_1(B)$ and $\Lambda := \mathbb{Z}[\pi]$ denote the integral group ring of the fundamental group. The elements of $\ell_5(\pi)$ are represented by pairs $(H(\Lambda^r), V)$, where V is a half-rank direct summand of the hyperbolic form $H(\Lambda^r)$.
- In a pair $(H(\Lambda^r), V)$, if the quadratic form vanishes on V , then the element $\Theta(W, \bar{\nu})$ lies in the image of $L_5(\mathbb{Z}\pi) \rightarrow \ell_5(\pi)$ (see [30, Proposition 8, p. 739] or [30, p. 734] for criteria to ensure that this will happen).

In many applications of modified surgery, the last step involves using assembly maps in K -theory and L -theory to eliminate an obstruction in $L_5(\mathbb{Z}\pi)$. We will give an overview of this technique, starting with a description of the relevant assembly maps.

Let $\mathbb{L} = \mathbb{L}(\mathbb{Z})$ denote the non-connective periodic L -spectrum of the integers, and let \mathbb{L}_\bullet denote its 0-connective cover (with the space G/TOP in dimension zero). By construction, we have an identification $\Omega^4 \mathbb{L}(i) = \mathbb{L}(i)$, for $i \in \mathbb{Z}$. The connective assembly maps (for $k \geq 0$)

$$A_{n+4k}^\bullet(\pi): H_{n+4k}(\pi; \mathbb{L}_\bullet) \rightarrow L_{n+4k}^s(\mathbb{Z}[\pi])$$

are related to maps in the geometric surgery exact sequence. The (non-connective) assembly maps (for $n \geq 5$) can be expressed as the composite:

$$A_n(\pi): H_n(\pi; \mathbb{L}) = \varinjlim_k H_{n+4k}(\pi; \mathbb{L}_\bullet) \xrightarrow{\varinjlim_k A_{n+4k}^\bullet(\pi)} \varinjlim_k L_{n+4k}^s(\mathbb{Z}[\pi]) \longrightarrow L_n^s(\mathbb{Z}[\pi])$$

where the maps to the direct limit are induced by suspension and the composition:

$$B\pi_+ \wedge \Sigma^{4k} \mathbb{L}(i) \rightarrow B\pi_+ \wedge \Sigma^{4k} \Omega^{4k} \mathbb{L}(i) \rightarrow B\pi_+ \wedge \mathbb{L}(i).$$

The periodicity isomorphisms $L_n^s(\mathbb{Z}[\pi]) \cong L_{n+4k}^s(\mathbb{Z}[\pi])$ are defined geometrically by “crossing” with $\mathbb{C}P^2$ (see [21, §§10-11] and [32, §7.3] for the connection between assembly maps and surgery).

We will be interested in the assembly maps $A_5(\pi)$ and $A_4^\bullet(\pi)$. Note that

$$A_5^\bullet(\pi): H_5(\pi; \mathbb{L}_\bullet) \cong H_1(\pi; \mathbb{Z}) \oplus H_3(\pi; \mathbb{Z}/2) \xrightarrow{J_1 \oplus \kappa_3} L_5^s(\mathbb{Z}[\pi]),$$

but in general the groups $H_5(\pi; \mathbb{L})$ involve the higher homology of π (localized at 2) and $KO_*(\pi)$ (localized at odd primes), as explained in [42, Theorem A]. The two components of $A_5^\bullet(\pi)$ are given by “universal homomorphisms” $J_1(\pi): H_1(\pi; \mathbb{Z}) \rightarrow L_5^s(\mathbb{Z}[\pi])$ and $\kappa_3(\pi): H_3(\pi; \mathbb{Z}/2) \rightarrow L_5^s(\mathbb{Z}[\pi])$ (see [20, §1C]). If $\text{g-dim}(\pi) \leq 4$ then $H_5(\pi; \mathbb{L}_\bullet) \cong H_5(\pi; \mathbb{L})$ and $A_5^\bullet(\pi) = A_5(\pi)$.

To obtain concrete applications, it is convenient to assume the following conditions.

Definition 3.1. A group π satisfies properties (W-A) whenever

- (i) The Whitehead group $\text{Wh}(\pi)$ vanishes.
- (ii) The assembly map $A_5: H_5(\pi; \mathbb{L}) \rightarrow L_5^s(\mathbb{Z}[\pi])$ is surjective.

If, in addition, the assembly map $A_4^\bullet(\pi): H_4(\pi; \mathbb{L}_\bullet) \rightarrow L_4(\mathbb{Z}[\pi])$ is injective, we say that π satisfies properties (W-AA). In particular, since $H_4(\pi; \mathbb{L}_\bullet) \cong H_0(\pi; \mathbb{Z}) \oplus H_2(\pi; \mathbb{Z}/2)$ the condition (W-AA) implies that the second component $\kappa_2(\pi): H_2(\pi; \mathbb{Z}/2) \rightarrow L_4^s(\mathbb{Z}[\pi])$ of the assembly map $A_4^\bullet(\pi)$ is injective (see [20, §1]).

Remark 3.2. In the rest of the paper, we will usually be assuming that $\text{Wh}(\pi) = 0$, and we will write $L_*(\mathbb{Z}[\pi])$ (undecorated) to mean any of the L -theories based on subgroups of the Whitehead group. The Farrell-Jones assembly map conjectures [10] are usually expressed with target $L^{-\infty}(\mathbb{Z}[\pi])$, the L -theory with decorations based on the non-connective K -spectrum. For torsion-free groups, these conjectures imply results about the assembly maps used in Definition 3.1 (see [33, Conjecture 1.19 and Corollary 2.11]).

Lemma 3.3. *Let π be a torsion-free discrete group which satisfies the Farrell-Jones isomorphism conjectures in K -theory and L -theory. Then the (connective) assembly map $A_4^\bullet(\pi)$ is injective.*

Proof. Let $\mathbb{L}_{(2)}$ denote the 2-localization of the periodic L -spectrum. If π satisfies the Farrell-Jones isomorphism conjectures in K -theory and L -theory, then the (non-connective) assembly map

$$A_4(\pi): H_4(\pi; \mathbb{L}) \rightarrow L_4^{-\infty}(\mathbb{Z}[\pi])$$

is an isomorphism. If π is torsion-free, the isomorphism holds for L^s by [33, Corollary 2.11] and we can omit the decorations. Hence the 2-localization

$$A_4(\pi): H_4(\pi; \mathbb{L})_{(2)} \rightarrow L_4(\mathbb{Z}[\pi])_{(2)}$$

is also an isomorphism. Since the L -spectra localized at 2 are products of Eilenberg-MacLane spectra, the comparison map

$$i_\bullet: H_4(\pi; \mathbb{L}_\bullet)_{(2)} \rightarrow H_4(\pi; \mathbb{L})_{(2)} \cong H_4(\pi; \mathbb{L}_{(2)})$$

is an injection (both are products of certain 2-local homology groups of π).

We have a commutative diagram:

$$\begin{array}{ccccc}
 H_4(\pi; \mathbb{L}_\bullet) & \xrightarrow{i_\bullet} & H_4(\pi; \mathbb{L}) & \xrightarrow[\approx]{A_4} & L_4(\mathbb{Z}[\pi]) \\
 \downarrow & & \downarrow & & \downarrow \\
 H_4(\pi; \mathbb{L}_\bullet)_{(2)} & \xrightarrow{i_\bullet} & H_4(\pi; \mathbb{L})_{(2)} & \xrightarrow[\approx]{A_4} & L_4(\mathbb{Z}[\pi])_{(2)}
 \end{array}$$

Moreover, since $H_4(\pi; \mathbb{L}_\bullet) \cong H_0(\pi; \mathbb{Z}) \oplus H_2(\pi; \mathbb{Z}/2)$, the 2-localization map

$$H_4(\pi; \mathbb{L}_\bullet) \rightarrow H_4(\pi; \mathbb{L}_\bullet)_{(2)}$$

is injective, and hence the assembly map $A_4^\bullet(\pi): H_4(\pi; \mathbb{L}_\bullet) \rightarrow L_4(\mathbb{Z}[\pi])$ is injective, \square

Remark 3.4. We conclude from Lemma 3.3 that the properties (W-AA) hold for the assembly maps into the surgery obstructions groups $L_*^s(\mathbb{Z}[\pi])$, whenever the group π is torsion-free and satisfies the Farrell-Jones isomorphism conjectures in K -theory and L -theory (see [32, Theorem 11.2(5)]). These conjectures have been verified for many classes of groups, and in particular for all right-angled Artin groups (see [2], [1]).

From surgery theory, we know that the action of elements in the image $\text{Im } A_5^\bullet(M) \subseteq L_5(\mathbb{Z}\pi)$ of the assembly map on $\Theta(W, \bar{\nu}) \in \ell_5(\pi_1(B))$ can be defined geometrically by the action of degree 1 normal maps on the B -bordism $(W, \bar{\nu})$. Here

$$A_5^\bullet(M): H_5(M; \mathbb{L}_\bullet) = H_1(M; \mathbb{Z}) \oplus H_3(M; \mathbb{Z}/2) \rightarrow L_5(\mathbb{Z}[\pi])$$

is defined by the surgery obstructions of degree 1 normal maps

$$F: (U, \partial_0 U, \partial_1 U) \rightarrow (M \times I, M \times 0, M \times 1).$$

By definition, $\partial_0 U = \partial_1 U = M$, and F restricted to both boundary components is a homeomorphism. Such *inertial* normal cobordisms can be glued to $(W, \bar{\nu})$ to produce a new B -bordism $(W', \bar{\nu})$ between M and N , with surgery obstruction $\Theta(W', \bar{\nu}) = \Theta(W, \bar{\nu}) + \sigma(F)$ (see the proof of [19, Theorem 2.6]).

This is the argument used in [19, Theorem C] for the final step, where the fundamental groups π were assumed geometrically 2-dimensional, to eliminate the obstruction $\Theta(W, \bar{\nu})$, and thus obtain an s -cobordism between M and N . We assumed that the assembly map $A_5^\bullet(\pi)$ was surjective.

In [13, Theorem 11.2], the same argument was proposed to obtain a classification of closed, spin^+ , topological 4-manifolds with fundamental group π of cohomological dimension ≤ 3 (up to s -cobordism), after stabilization by connected sum with at most $b_3(\pi)$ copies of $S^2 \times S^2$. The goal of this work was to obtain an s -cobordism after a uniformly bounded number of stabilizations, where the bound depends only on the fundamental group.

However, there was an error in this outline for [13, Theorem 11.2] which is now addressed in Section 8 by using the new stable range invariant (see [14]). We record the issue which led to the error (as a “warning”), since it may arise in other applications of modified surgery.

Caveat: The domain of the (connective) assembly map:

$$A_5^\bullet(\pi): H_5(\pi; \mathbb{L}_\bullet) = H_1(\pi; \mathbb{Z}) \oplus H_3(\pi; \mathbb{Z}/2) \rightarrow L_5(\mathbb{Z}[\pi])$$

is expressed in terms of the group homology of π . However, the above construction can only realize the action of elements in the image of the partial assembly map

$$H_5(M; \mathbb{L}_\bullet) = H_1(M; \mathbb{Z}) \oplus H_3(M; \mathbb{Z}/2) \rightarrow H_5(\pi; \mathbb{L}_\bullet) \rightarrow L_5(\mathbb{Z}[\pi])$$

from the homology of M . Since the reference map $M \rightarrow B$ is 2-connected, the summand $H_1(M; \mathbb{Z}) \cong H_1(\pi; \mathbb{Z})$. However, if the map $H_3(M; \mathbb{Z}/2) \rightarrow H_3(\pi; \mathbb{Z}/2)$ is not surjective, we will not be able to realize all possible obstructions by this construction.

Remark 3.5. The statements of [19, Theorems 2.2 & 2.6] are a bit misleading, since they appear (incorrectly) to be stated for arbitrary fundamental groups. However, the goal of [19] was to study fundamental groups π of geometric (and hence cohomological) dimension at most two. In these cases, $H_3(\pi; \mathbb{Z}/2) = 0$ so the domain of $A_5^\bullet(\pi)$ is just $H_1(\pi; \mathbb{Z})$, and the problem above does not arise. In contrast, if $\text{cd } \pi = 3$ and $\pi_1(M) = \pi$, then by Poincaré duality:

$$\begin{array}{ccc} H^1(M; \mathbb{Z}/2) & \xleftarrow{\cong} & H^1(\pi; \mathbb{Z}/2) \\ \cong \downarrow \cap [M] & & \downarrow c_*[M] \\ H_3(M; \mathbb{Z}/2) & \longrightarrow & H_3(\pi; \mathbb{Z}/2) \end{array}$$

and the map $H_3(M; \mathbb{Z}/2) \rightarrow H_3(\pi; \mathbb{Z}/2)$ is zero since $0 = c_*[M] \in H_4(\pi; \mathbb{Z}/2)$.

4. A STABLE RANGE FOR L -THEORY

For any finitely presented group π , the odd dimensional surgery obstruction groups are defined as $L_5(\mathbb{Z}[\pi]) = SU(\Lambda)/RU(\Lambda)$, in the notation of Wall [46, Chap. 6]. Here $SU(\Lambda)$ is the limit of the automorphism groups $SU_r(\Lambda)$ of the hyperbolic (quadratic) form $H(\Lambda^r)$ under certain injective maps

$$\dots SU_r(\Lambda) \rightarrow SU_{r+1}(\Lambda) \rightarrow \dots \rightarrow SU(\Lambda),$$

and $RU(\Lambda)$ is a suitable subgroup determined by the surgery data, so that $L_5(\mathbb{Z}[\pi])$ is an abelian group. To define a stable range, we will assume that the fundamental groups are *geometrically n -dimensional* ($\text{g-dim}(\pi) \leq n$), meaning that there exists a finite aspherical n -complex with fundamental group π .

We introduce a measure of the “stability” of elements of $L_5(\mathbb{Z}[\pi])$ in the image of the assembly map. The first factor of the comparison map

$$i_\bullet: H_5(\pi; \mathbb{L}_\bullet) = H_1(\pi; \mathbb{Z}) \oplus H_3(\pi; \mathbb{Z}/2) \rightarrow H_5(\pi; \mathbb{L})$$

defines a subgroup

$$\mathcal{J}_1(\pi) := \{i_\bullet(u, 0) \mid u \in H_1(\pi; \mathbb{Z})\} \subset H_5(\pi; \mathbb{L})$$

Definition 4.1. For an element $x \in L_5(\mathbb{Z}[\pi])$, we denote its *stable L_5 -range* by:

$$\text{sr}(x) = \min\{r \geq 0 : x \text{ is represented by a matrix in } SU_r(\Lambda)\}.$$

The *stable L_5 -range* of a group π of type F is defined as:

$$\mathfrak{sr}(\pi) = \min_S \{ \max \{ \mathfrak{sr}(A_5(\alpha)) : \alpha \in S \subset H_5(\pi; \mathbb{L}) \} \},$$

over all subsets S which *project to generating sets* of the quotient $H_5(\pi; \mathbb{L})/\mathcal{J}_1(\pi)$.

Remark 4.2. In defining the stable range $\mathfrak{sr}(\pi)$, we quotient out the subgroup $\mathcal{J}_1(\pi)$, since in our setting $H_1(M; \mathbb{Z}) \cong H_1(\pi; \mathbb{Z})$ and stabilization is not needed to realize these obstructions. If $\pi = \mathbb{Z}$ is infinite cyclic, Ronnie Lee¹ (see [8, Example 1.6]) showed that $\mathfrak{sr}(x) \leq 1$, for all $x \in L_5(\mathbb{Z}[\pi])$.

Remark 4.3. If $\text{g-dim}(\pi) < \infty$, then $H_5(\pi; \mathbb{L})$ will be a finitely generated abelian group, and the stable range $\mathfrak{sr}(\pi)$ will be finite. Without this assumption $\mathfrak{sr}(\pi)$ could be infinite, since there are finitely presented groups with $H_3(\pi; \mathbb{Z}/2)$ of infinite rank (see Stallings [40]). The Stallings group π is a possible example, since it has $\text{cd } \pi = 3$ and satisfies the Farrell-Jones conjectures (see [5] and [6, Theorem 1.1]).

In the following statement, we let $d(\pi)$ denote the minimal number of generators for a finitely generated discrete group.

Lemma 4.4. *Let π denote the fundamental group of a closed, orientable 3-manifold. Then $\mathfrak{sr}(\pi) \leq 2d(\pi)$.*

Proof. Let N^3 be a closed, orientable 3-manifold with fundamental group π . By definition of the assembly map, we need to determine the minimum representative in $SU_r(\Lambda)$ for the surgery obstruction of the degree one normal map

$$g := (\text{id} \times f) : N \times T^2 \rightarrow N \times S^2$$

given by the the product of the Arf invariant one normal map $f : T^2 \rightarrow S^2$ with the identity on N . After surgery on the generators of

$$K_1(g) = \ker\{H_1(N \times T^2; \Lambda) \rightarrow H_1(N \times S^2; \Lambda)\} = \mathbb{Z} \oplus \mathbb{Z}$$

we get a 2-connected normal map with $K_2(g') = I(\rho) \oplus I(\rho)$, where $I(\rho) := \ker\{\mathbb{Z}[\pi] \rightarrow \mathbb{Z}\}$ is the augmentation ideal of the group ring $\mathbb{Z}[\pi]$. According to the recipe provided by Wall [46, Chap. 6, pp. 58-59], the surgery obstruction is represented in $SU_r(\Lambda)$, where $r \geq 2d(\pi)$ since an epimorphism $\Lambda^r \rightarrow I(\rho)$ requires $r \geq d(\pi)$. \square

Corollary 4.5. *Let π be a right-angled Artin group with $\text{g-dim}(\pi) \leq 4$. Then $\mathfrak{sr}(\pi) \leq 6$.*

Proof. Every right-angled Artin group π has $\text{g-dim}(\pi) < \infty$ since it is defined by a finite graph. As remarked above, $A_5^\bullet(\pi) = A_5(\pi)$ if $\text{g-dim}(\pi) \leq 4$. The homology group $H_3(\pi; \mathbb{Z}/2)$ has $\mathbb{Z}/2$ -rank $b_3(\pi)$, which is equal to the number of 3-cliques in the defining graph for π . Moreover, since each 3-clique determines a subgroup $\mathbb{Z}^3 \subseteq \pi$, the group $H_3(\pi; \mathbb{Z}/2)$ is generated by the images of the fundamental classes under all the induced maps $H_3(T^3; \mathbb{Z}/2) \rightarrow H_3(\pi; \mathbb{Z}/2)$. It is therefore enough to determine the stable range for $\rho = \mathbb{Z}^3$. \square

¹I am indebted to Danny Ruberman for providing Ronnie Lee's notes: available on request

Remark 4.6. If π is a right-angled Artin group with $\text{g-dim}(\pi) \leq n$, then a similar argument shows that $\text{sr}(\pi) \leq \text{sr}(\mathbb{Z}^n)$ whenever $H_5(\pi; \mathbb{L})$ is generated by the images of toral subgroups of π . Note that $\text{sr}(\mathbb{Z}^n) \leq n + 3$ (see [39, Theorem B]).

We will use a stable range condition to realize the action of $L_5(\mathbb{Z}[\pi])$ on a B -bordism, after a suitable stabilization. The following statement is an application of this result in the setting of Kreck [30, Theorem 4].

Proposition 4.7. *Let π be a discrete group of type F satisfying properties (W-A). Let M and N be closed, oriented topological 4-manifolds with the same Euler characteristic, which admit normal 1-smoothings in a fibration $B \rightarrow B\text{STOP}$. Suppose that $(W, \bar{\nu})$ is a normal B -bordism between these two 1-smoothings. If $r \geq \text{sr}(\pi)$, then for any $x \in L_5(\mathbb{Z}[\pi])$ there exists a B -bordism $(W', \bar{\nu})$ between the stabilized 1-smoothings $M' := M \# r(S^2 \times S^2)$ and $N' := N \# r(S^2 \times S^2)$, with $\Theta(W', \bar{\nu}) = \Theta(W, \bar{\nu}) + x \in \ell_5(\pi)$.*

Proof. By property (W-A), the assembly map $A_5(\pi): H_5(\pi; \mathbb{L}) \rightarrow L_5(\mathbb{Z}[\pi])$ is surjective. The elements $x \in L_5(\mathbb{Z}[\pi])$ in the image of $H_1(\pi; \mathbb{Z}) \cong H_1(M; \mathbb{Z})$ are realized without stabilization (see the discussion following Remark 3.4). For the elements $x = A_5(\alpha) \in L_5(\mathbb{Z}[\pi])$ in the image of $\alpha \in H_5(\pi; \mathbb{L})$, we use the stabilized version of Wall realization due to Cappell and Shaneson [8, Theorem 3.1].

Any element is the image of a finite sum $\alpha = \sum \alpha_i$ of elements of $H_5(\pi; \mathbb{L})$, which each have stable L -range at most $\text{sr}(\pi)$, after subtracting an element of $\mathcal{J}_1(\pi)$ if necessary. Pick $r \geq \text{sr}(\pi)$ and let $M' := M \# r(S^2 \times S^2)$. The realization construction can be done (for each term α_i of the finite sum) in small disjoint intervals

$$M' \times [t_{i-1}, t_i] \subset M' \times [0, 1],$$

with $0 = t_0 < t_1 < \dots < t_k = 1$, to produce degree one normal maps

$$F_i: (U_i, \partial_0 U_i, \partial_1 U_i) \rightarrow (M' \times [t_{i-1}, t_i], M' \times t_{i-1}, M \times t_i), \quad 1 \leq i \leq k,$$

such that $\partial_0 U_i = \partial_1 U_i = M' = M \# r(S^2 \times S^2)$. The restrictions of F_i to the boundary components have the property that $F_i|_{\partial_0 U} = \text{id}$, and $F_i|_{\partial_1 U} := f_i$ is a simple homotopy equivalence. In other words, this construction produces elements of the structure set $\mathcal{S}(M')$ represented by self-equivalences of M' .

These normal bordisms can be glued (at disjoint levels) into a collar $M' \times [0, 1]$ attached to the stabilization $W \natural r(S^2 \times S^2 \times I)$ of the given B -bordism, and the reference map to B extended through M . After including all these bordisms, the induced homotopy equivalence with target $M' \times 1$ is the composite $f := f_1 \circ f_2 \circ \dots \circ f_k$. The surgery obstruction over the collar $M' \times [0, 1]$ is $x = A_5(\alpha) = \sum A_5(\alpha_i)$, and the result follows. \square

The following application of the theory in Kreck [30, §6] may be useful in cases where a potentially harder bordism calculation is feasible.

Corollary 4.8. *If M and N are closed, oriented or topological 4-manifolds which admit B -bordant normal 2-smoothings in the same fibration $B \rightarrow B\text{STOP}$, then they are s -cobordant after at most $\text{sr}(\pi)$ stabilizations, provided their fundamental group has type F and satisfies properties (W-A).*

Proof. For normal 2-smoothings of M and N , the reference maps are 3-connected. In this case, Kreck [30, p. 734] shows that the surgery obstruction $\Theta(W, \bar{\nu})$ of a B -bordism $(W, \bar{\nu})$ lies in the image of $L_5(\mathbb{Z}[\pi]) \rightarrow \ell_5(\pi)$. The result now follows from Proposition 4.7. \square

Remark 4.9. The results of Cappell and Shaneson [8, Theorem 3.1] and Kreck [30, Theorem 4] also apply in the smooth category, and we obtain the analogous smooth versions of Proposition 4.7 and Corollary 4.8 for normal smoothings in fibrations $B \rightarrow BSO$.

The proof of Theorem A. Let M and N be closed, smooth, spin 4-manifolds with fundamental group π , and let $f: N \rightarrow M$ be an oriented homotopy equivalence. In the setting of modified surgery, we have normal 2-smoothings (N, f) and (M, id) into the same fibration $B \rightarrow BSO$, where $B = M \times BSPIN$.

Under our assembly conditions (W-AA), the homomorphism $\kappa_2: H_2(\pi; \mathbb{Z}/2) \rightarrow L_4(\mathbb{Z}[\pi])$ is injective (see Lemma 3.3). It follows that the normal invariant

$$\eta(f) \in [M, G/TOP] \cong H_4(M; \mathbb{L}_0) \cong H_2(M; \mathbb{Z}/2) \oplus \mathbb{Z}$$

has trivial surgery obstruction, and lies in the image

$$\text{Im}\{\pi_2(M) \otimes \mathbb{Z}/2 \rightarrow H_2(M; \mathbb{Z}/2)\} = \ker\{H_2(M; \mathbb{Z}/2) \rightarrow H_2(\pi; \mathbb{Z}/2)\}$$

since the surgery obstruction is determined by the ordinary signature difference and $\kappa_2(\pi)$ (see [20, §1]). By [28, Theorem 19], these normal invariants are all realized by homotopy self-equivalences (pinch maps) of M . Hence we may assume that the normal invariant $\eta(f)$ is trivial. Therefore, there exists a normal cobordism

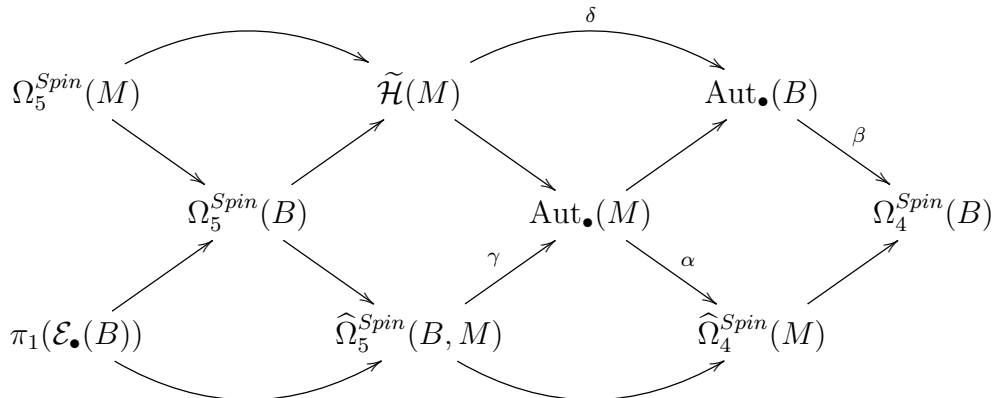
$$(F, b): (W, \partial_0 W, \partial_1 W) \rightarrow (M \times I, M \times 0, M \times 1)$$

with $F|_{\partial_0 W} = \text{id}: M \rightarrow M$ and $F|_{\partial_1 W} = f: N \rightarrow M$. In other words, we have two B -bordant normal 2-smoothings in the same fibration $M \times BSPIN \rightarrow BSTOP$. We now apply Corollary 4.8 to complete the proof. \square

5. HOMOTOPY SELF-EQUIVALENCES OF 4-MANIFOLDS

We will recall a braid diagram relating homotopy self-equivalences to bordism theory (see Hambleton and Kreck [17]). The proof of Theorem B will use an approach to cancellation introduced by Pamuk [37, 38] based on this braid.

Let $\text{Aut}_\bullet(M)$ denote the group of homotopy classes of homotopy self-equivalences, preserving both the given orientation on M and a fixed base-point $x_0 \in M$. There are also “pointed” versions of the space $\mathcal{E}_\bullet(B)$ of base-point preserving homotopy equivalences of B (the algebraic 2-type of M). The main result of [17] for spin manifolds is expressed in a commutative braid of interlocking exact sequences:



valid for any closed, oriented smooth or topological spin 4-manifold M (see [17, Theorem 2.16]). The maps labelled α and β are not necessarily group homomorphisms, so exactness is understood in the sense of “pointed sets” (meaning that $image = kernel$, where $kernel$ is the pre-image of the base point).

Here is an informal description of the other objects in the braid.

- (i) The group $\mathcal{H}(M)$ consists of oriented h -cobordisms W^5 from M to M , under the equivalence relation induced by h -cobordism relative to the boundary. The orientation of W induces opposite orientations on the two boundary components M . An h -cobordism gives a homotopy self-equivalence of M , and we get a homomorphism $\mathcal{H}(M) \rightarrow \text{Aut}(M)$.
- (ii) The natural map $c: M \rightarrow B$ is 3-connected, and we refer to this as the classifying map of M . There is an induced homomorphism $\text{Aut}(M) \rightarrow \text{Aut}(B)$, the group of homotopy classes of homotopy self-equivalences of B , by obstruction theory and the naturality of the construction.
- (iii) If M is a spin manifold, we use the smooth (or topological) bordism groups $\Omega_n^{Spin}(B)$. By imposing the requirement that the reference maps to M must have degree zero, we obtain modified bordism groups $\hat{\Omega}_4^{Spin}(M)$ and $\hat{\Omega}_5^{Spin}(B, M)$.
- (iv) The map $\alpha: \text{Aut}_\bullet(M) \rightarrow \hat{\Omega}_4^{Spin}(M)$ is given by $\alpha(f) = [M, f] - [M, \text{id}]$, and the map $\beta: \text{Aut}_\bullet(B) \rightarrow \Omega_4^{Spin}(B)$ is given by $\beta(\phi) = [M, \phi \circ c] - [M, c]$. For the map γ , see [17, §2.5].
- (v) A variation of $\mathcal{H}(M)$, denoted $\tilde{\mathcal{H}}(M)$, will also be useful. This is the group of oriented bordisms $(W, \partial_-W, \partial_+W)$ with $\partial_\pm W = M$, equipped with a map $F: W \rightarrow M$. We require the restrictions $F|_{\partial_\pm W}$ to the boundary components to be homotopy equivalences (and the identity on the component ∂_-W). The equivalence relation on these objects is induced by bordism (extending the map to M) relative to the boundary (see [17, Section 2.2] for the details).

6. THE IMAGE OF THE FUNDAMENTAL CLASS

Let $B := B(M)$ denote the algebraic 2-type of a closed oriented topological 4-manifold M with infinite fundamental group π . We will indicate the places where we assume that

π has one end, or equivalently that $H^1(\pi; \Lambda) = 0$. By Poincaré duality, this implies that $H_3(\widetilde{M}; \mathbb{Z}) = H_3(M; \Lambda) = 0$. Since $\pi_3(B) = 0$, we also have $H_3(\widetilde{B}; \mathbb{Z}) = 0$.

Remark 6.1. For some applications we will assume that the end homology $H_1^e(E\pi) = 0$. This imposes restrictions on the low-dimensional cohomology of π , via the exact sequence

$$0 \rightarrow \text{Ext}_{\mathbb{Z}}(H_e^2(E\pi), \mathbb{Z}) \rightarrow H_1^e(E\pi; \mathbb{Z}) \rightarrow \text{Hom}_{\mathbb{Z}}(H_e^1(E\pi), \mathbb{Z}), \rightarrow 0$$

from [31, Proposition 2.9], and the isomorphisms $H_e^q(E\pi) \cong H^{q+1}(\pi; \Lambda)$, for $q > 0$. Therefore if $H_1^e(E\pi; \mathbb{Z}) = 0$ then $H^2(\pi; \Lambda)$ is all torsion and $H^3(\pi; \Lambda)$ is torsion-free. For example, $H_1^e(E\pi) = 0$ whenever $H^q(\pi; \Lambda) = 0$ for $q = 2, 3$.

The statement that $H^2(\pi; \Lambda)$ is free abelian for all finitely-presented groups (which would imply that $\pi_2(M)$ is free abelian) is said to be a conjecture of Hopf [12, Remark 4.5]). The conjecture is still open, although it has been verified in some cases (see [34, 35]).

Our most general result so far about the image of fundamental class requires some group cohomology conditions (introduced in [13, Definition 3.1]). In the setting of Theorem B, these conditions are satisfied.

Definition 6.2. A finitely presented group π has *tame cohomology* if the following conditions hold:

- (i) $\text{Hom}_{\Lambda}(H^2(\pi; \Lambda), \Lambda) = 0$
- (ii) $\text{Hom}_{\Lambda}(H^3(\pi; \Lambda), \Lambda) = 0$
- (iii) $\text{Ext}_{\Lambda}^1(H^3(\pi; \Lambda), \Lambda) = 0$.

In applications of the braid diagram, it is important to understand the maps in the exact sequence

$$\Omega_5^{Spin}(B) \rightarrow \widetilde{\mathcal{H}}(M) \xrightarrow{\delta} \text{Aut}_{\bullet}(B) \xrightarrow{\beta} \Omega_4^{Spin}(B).$$

In particular, if $\phi: B \rightarrow B$ is a homotopy self-equivalence, we need to understand the image $\phi_*(c_*[M]) \in H_4(B; \mathbb{Z})$ of the fundamental class $[M] \in H_4(M; \mathbb{Z})$ in order to compute $\beta(\phi) = [M, \phi \circ c] - [M, c] \in \Omega_4^{Spin}(B)$.

We first need some information about $H_4(B; \mathbb{Z})$. Recall that we have an expression $H_4(\widetilde{B}; \mathbb{Z}) \cong \Gamma(\pi_2(B))$, in terms of Whitehead's Γ -functor (see [47, Chap. II]). In addition, we have the orientation class $\mu_M \in H_4(B, \widetilde{B}) = \mathbb{Z}$, given in Definition 2.2 as the image of the fundamental class $[M] \in H_4(M)$ under the composition $H_4(M) \rightarrow H_4(M, \widetilde{M}) \xrightarrow{c_*} H_4(B, \widetilde{B})$.

Proposition 6.3. *Suppose that π has one end.*

- (i) *The map $c_*: H_4(M; \mathbb{Z}) \rightarrow H_4(B; \mathbb{Z})$ is injective.*
- (ii) *The composition $\omega: H_4(M; \mathbb{Z}) \xrightarrow{c_*} H_4(B; \mathbb{Z}) \xrightarrow{\cap} \text{Hom}_{\Lambda}(H^2(B; \mathbb{Z}), H_2(B; \mathbb{Z}))$ induces the ordinary intersection form q_M .*
- (iii) *If $\phi \in \text{Aut}_{\bullet}(B)$ is orientation-preserving, so that $\phi_*(\mu_M) = \mu_M \in H_4(B, \widetilde{B})$, then $c_*[M] - \phi_*(c_*[M]) \in \text{Im}(H_4(\widetilde{B}; \mathbb{Z}) \otimes_{\Lambda} \mathbb{Z} \rightarrow H_4(B; \mathbb{Z}))$.*
- (iv) *If $\phi \in \text{Aut}_{\bullet}(B)$ induces the identity on $[\pi_1(M), \pi_2(M), k_M]$, then $\phi_*(\mu_M) = \mu_M \in H_4(B, \widetilde{B})$.*

Proof. Here we will use homology with integer coefficients unless otherwise stated. For part (i) we compare that spectral sequences of the coverings $\widetilde{M} \rightarrow M$ and $\widetilde{B} \rightarrow B$, and note that $H_3(\widetilde{M}) = H_4(\widetilde{M}) = 0$ under our assumptions. The terms $E_{p,q}^2 = \text{Tor}_p^\Lambda(\mathbb{Z}, H_q(\widetilde{M}))$ are mapped isomorphically for $q \leq 3$. We have a commutative diagram:

$$\begin{array}{ccccccc} H_5(\pi; \mathbb{Z}) & \xrightarrow{d_{5,0}^3} & \text{Tor}_2^\Lambda(\mathbb{Z}, H_2(\widetilde{M})) & \longrightarrow & H_4(M, \widetilde{M}) & \longrightarrow & H_4(\pi; \mathbb{Z}) \\ \parallel & & \parallel & & \downarrow & & \parallel \\ H_5(\pi; \mathbb{Z}) & \xrightarrow{d_{5,0}^3} & \text{Tor}_2^\Lambda(\mathbb{Z}, H_2(\widetilde{B})) & \longrightarrow & H_4(B, \widetilde{B}) & \longrightarrow & H_4(\pi; \mathbb{Z}) \end{array}$$

We see that $\mathbb{Z} = H_4(M) \cong H_4(M, \widetilde{M}) \cong H_4(B, \widetilde{B})$ under the natural maps, and part (i) follows. By definition, $[M] \mapsto \mu_M$ under this composite isomorphism.

For any $a, b \in H^2(M)$, we have $x = a \cap [M]$ and $y = b \cap [M]$ in $H_2(M)$ under Poincaré duality. Then $q_M(x, y) = \langle a \cup b, [M] \rangle = \langle a \cap [M], b \rangle$. Since c is a 3-equivalence,

$$q_M(x, y) = \langle c^* \bar{a} \cup c^* \bar{b}, [M] \rangle = \langle \bar{a} \cup \bar{b}, c_* [M] \rangle$$

for some $\bar{a}, \bar{b} \in H^2(B)$. Therefore $q_M(x, y) = \langle \omega([M])(a), b \rangle$. This gives part (ii).

For part (iii), we have $c_* [M] - \phi_*(c_* [M]) \in \text{Im}(H_4(\widetilde{B}; \mathbb{Z}) \otimes_\Lambda \mathbb{Z} \rightarrow H_4(B; \mathbb{Z}))$, since μ_M generates $H_4(B, \widetilde{B})$, and $\phi_*(\mu_M) = \mu_M$ by assumption.

For part (iv), we consider the exact sequence:

$$\cdots \rightarrow H_5(\pi; \mathbb{Z}) \xrightarrow{d_{5,0}^3} \text{Tor}_2^\Lambda(\mathbb{Z}, H_2(\widetilde{B})) \rightarrow H_4(B, \widetilde{B}) \rightarrow H_4(\pi; \mathbb{Z}) \rightarrow \cdots$$

obtained from the spectral sequence of the covering $\widetilde{B} \rightarrow B$. Since $H_4(B, \widetilde{B}) \cong \mathbb{Z}$, and ϕ acts trivially on $\pi_1(M)$ and $\pi_2(M)$, the result follows. \square

We recall from Definition 2.1 that the class $tr[M] \in H_4^{LF}(\widetilde{M}; \mathbb{Z}) \cong H_4(M; \widehat{\Lambda})$ induces the equivariant intersection form s_M on $\pi_2(M)$. In this expression,

$$\widehat{\Lambda} = \left\{ \sum n_g \cdot g \mid \text{for } g \in G, \text{ and } n_g \in \mathbb{Z} \right\}$$

denotes the formal (possibly infinite) integer linear sums of group elements (see Section 9). The transfer map can be expressed as the change of coefficients homomorphism $tr: H_4(M; \mathbb{Z}) \rightarrow H_4(M; \widehat{\Lambda})$ via the map $1 \mapsto \widehat{\Sigma} := \sum \{g \mid g \in \pi\}$. The image of the transfer map therefore lands in the π -fixed subgroup $H_4^{LF}(\widetilde{M}; \mathbb{Z})^\pi$.

We now translate this information to B . The transfer map

$$tr: H_4(B; \mathbb{Z}) \rightarrow H_4(B; \widehat{\Lambda})^\pi \cong H_4^{LF}(\widetilde{B}; \mathbb{Z})^\pi$$

is similarly defined by the coefficient inclusion $\mathbb{Z} \subset \widehat{\Lambda}$ and the identification provided by Corollary 9.3. Define a map

$$\omega: H_4^{LF}(\widetilde{B}; \mathbb{Z})^\pi \rightarrow \text{Hom}_\Lambda(H^2(B; \Lambda), H_2(B; \Lambda))$$

by setting $\omega(z) = z \cap c$, for $z \in H_4^{LF}(\widetilde{B}; \mathbb{Z})^\pi$ and $c \in H^2(B; \Lambda)$.

If $\alpha \in \text{Im}(H_4(\widetilde{B}; \mathbb{Z}) \otimes_\Lambda \mathbb{Z} \rightarrow H_4(B; \mathbb{Z}))$, we can pick a lift $\hat{\alpha} \in H_4(\widetilde{B}; \mathbb{Z})$, and then the restriction of the transfer map $tr(\alpha) \in H_4^{LF}(\widetilde{B}; \mathbb{Z})^\pi$ is just the image of $\hat{\alpha} \otimes_\Lambda \widehat{\Sigma} \in$

$H_4(\tilde{B}; \mathbb{Z}) \otimes_{\Lambda} \hat{\Lambda}$. This expression is independent of the choice of lift $\hat{\alpha} \mapsto \alpha$, since elements of the form $(1 - g) \otimes_{\Lambda} \hat{\Sigma} = 0$ for all $g \in \pi$.

Definition 6.4. A Λ -module L is called *torsionless* if there exists an Λ -embedding $L \subset F$, where F is a finitely generated free Λ -module. The module L is called *strongly torsionless* if additionally the induced map $\Gamma(L) \otimes_{\Lambda} \mathbb{Z} \rightarrow \Gamma(F) \otimes_{\Lambda} \mathbb{Z}$ is injective.

We remark that these properties depend only on the stable class of the module. Note that if $L = H_2(\tilde{B}) \cong \pi_2(M)$, we have $\Gamma(L) = H_4(\tilde{B})$. For the terminology see [3, §4.4, pp. 476-477] and the statement that the dual of a finitely generated Λ -module embeds in a finitely generated free module.

Lemma 6.5. *Assume that π has one end. Then*

- (i) *The image $\omega(\text{tr}(c_*[M]))$ induces the equivariant intersection form s_M .*
- (ii) *The natural map $H_4(\tilde{B}) \otimes_{\Lambda} \hat{\Lambda} \rightarrow H_4(B; \hat{\Lambda}) \cong H_4^{LF}(\tilde{B})$ is injective.*
- (iii) *If $H_2(\tilde{B})$ is strongly torsionless, and π has tame cohomology, then the composite*

$$H_4(\tilde{B}) \otimes_{\Lambda} \mathbb{Z} \xrightarrow{\text{tr}} H_4^{LF}(\tilde{B})^{\pi} \xrightarrow{\omega} \text{Hom}_{\Lambda}(H^2(B; \Lambda), H_2(B; \Lambda))$$

is injective.

Proof. The first statement follows from the definition of s_M . Since $c: M \rightarrow B$ is a 3-equivalence, the cap product

$$\cap \text{tr}(c_*[M]): H^2(B; \Lambda) \rightarrow H_2(B; \Lambda)$$

is an isomorphism by Poincaré duality. The hermitian form induced by the cup product

$$H^2(B; \Lambda) \times H^2(B; \Lambda) \rightarrow H^4(B; \Lambda) \rightarrow H^4(M; \Lambda) \cong \mathbb{Z}$$

may be identified with s_M (see Definition 2.1). For part (ii) we compare the spectral sequences under the map $H_4(M; \hat{\Lambda}) \rightarrow H_4(B; \hat{\Lambda})$, starting with

$$E_{p,q}^2(M) = \text{Tor}_p^{\Lambda}(H_q(\tilde{M}), \hat{\Lambda}) \rightarrow E_{p,q}^2(B) = \text{Tor}_p^{\Lambda}(H_q(\tilde{B}), \hat{\Lambda}).$$

Note that $H_3(\tilde{M}) = H^1(\pi; \Lambda) = 0$, by our assumption that π has one end. Since $H_k(M; \hat{\Lambda}) = 0$ for $k \geq 5$ and $H_4(\tilde{M}) = 0$, the differential $d_3^{5,0}$ is injective, and the differential $d_3^{6,0}$ is surjective (in the spectral sequence for $H_4(M; \hat{\Lambda})$). By comparison, there are no non-zero differentials hitting the $(0, 4)$ position in the spectral sequence for $H_4(B; \hat{\Lambda})$. Hence the term $E_{0,4}^2(B) = H_4(\tilde{B}) \otimes_{\Lambda} \hat{\Lambda}$ survives, and injects into $H_4(B; \hat{\Lambda})$.

For part (iii): since $L = H_2(\tilde{B}) = H_2(B; \Lambda)$ is torsionless there exists an Λ -embedding $e: L \subset F$, where F is a finitely generated free Λ -module. Let P denote the 2-stage Postnikov tower with $\pi_1(P) = \pi$, $\pi_2(P) = F$, and k -invariant pushed forward by the induced map $H^3(\pi; \pi_2(B)) \xrightarrow{e_*} H^3(\pi; \pi_2(P))$. We have a commutative diagram

$$(6.6) \quad \begin{array}{ccccc} H_4(\tilde{B}) \otimes_{\Lambda} \mathbb{Z} & \xrightarrow{\text{tr}} & H_4^{LF}(\tilde{B})^{\pi} & \xrightarrow{\omega^B} & \text{Hom}_{\Lambda}(H^2(B; \Lambda), H_2(B; \Lambda)) \\ \downarrow e_* & & \downarrow e_* & & \downarrow \text{Hom}(e^*, e_*) \\ H_4(\tilde{P}) \otimes_{\Lambda} \mathbb{Z} & \xrightarrow{\text{tr}} & H_4^{LF}(\tilde{P})^{\pi} & \xrightarrow{\omega^P} & \text{Hom}_{\Lambda}(H^2(P; \Lambda), H_2(P; \Lambda)) \end{array}$$

The left-hand vertical arrow is injective since $H_4(\tilde{P}) = \Gamma(F)$ and we have assumed that L is strongly torsionless. We also need some more information about the sequence

$$0 \rightarrow H^2(\pi; \Lambda) \rightarrow H^2(P; \Lambda) \rightarrow \text{Hom}_{\langle \pi_2(P), \Lambda \rangle} \rightarrow H^3(\pi; \Lambda) \rightarrow 0.$$

Under the tame cohomology assumption (ii) of Definition 6.2, we have an injection:

$$0 \rightarrow \text{Hom}_{\Lambda}(\text{Hom}_{\Lambda}(\pi_2(P), \Lambda), \pi_2(P)) \rightarrow \text{Hom}_{\Lambda}(H^2(P; \Lambda), \pi_2(P))$$

after applying $\text{Hom}_{\Lambda}(-, \pi_2(P))$ to each term, since $\pi_2(P) = H_2(P; \Lambda)$ is free over Λ . If we add conditions (i) and (iii), then we get an isomorphism

$$\text{Hom}_{\Lambda}(\text{Hom}_{\Lambda}(\pi_2(P), \Lambda), \pi_2(P)) \cong \text{Hom}_{\Lambda}(H^2(P; \Lambda), \pi_2(P)).$$

As a consequence, we can use the identification $\omega_P: H_4^{LF}(\tilde{P})^{\pi} \rightarrow \text{Hom}_{\Lambda}(\text{Hom}_{\Lambda}(F, \Lambda), F)$ in studying the diagram (6.6).

To show that the lower horizontal composite $\omega_P \circ tr$ is injective, we recall the proof of [19, Lemma 5.15]. If $F = \Lambda^r$, we have a \mathbb{Z} -base $\{a_i\}$ for F consisting of elements $a_i = ge_j$, for some $g \in \pi$, where $\{e_j\}$ denotes a Λ -base for F . Following [47, p. 63], define

$$F^* = \{\phi: F \rightarrow \mathbb{Z} \mid \phi(a_i) = 0 \text{ for almost all } i\}.$$

Let $\{a_i^*\}$ denote the dual basis for F^* . We say that a homomorphism $f: F^* \rightarrow F$ is *admissible* if $f(a_i^*) = 0$ for almost all i , and that f is *symmetric* if $a^*fb^* = b^*fa^*$ for all $a^*, b^* \in F^*$. Then

$$\Gamma(F) \cong \{f: F^* \rightarrow F \mid f \text{ is symmetric and admissible}\}.$$

We now observe that $\text{Hom}_{\Lambda}(F, \Lambda) \cong F^*$, and we have a commutative diagram:

$$\begin{array}{ccc} & H_4^{LF}(\tilde{P}; \mathbb{Z})^{\pi} & \xrightarrow{\omega} \text{Hom}_{\mathbb{Z}}(F^*, F)^{\pi} \\ & \uparrow N & \uparrow N \\ \Gamma(F)_{\pi} & \xrightarrow{tr} H_4(\tilde{P}; \mathbb{Z})_{\pi} & \xrightarrow{\quad} \text{Hom}_{\mathbb{Z}}^a(F^*, F)_{\pi} \end{array}$$

where Hom^a denotes the admissible homomorphisms, and the norm maps $N: L_{\pi} \rightarrow L^{\pi}$ are formally defined for any Λ -module by applying the operator $\hat{\Sigma} = \sum\{g \mid g \in \pi\}$. Here $L_{\pi} = L \otimes_{\Lambda} \mathbb{Z}$ is the co-fixed set, and L^{π} is the fixed set. For the middle term, the norm map N is induced by the coefficient map $H_4(P; \mathbb{Z}) \rightarrow H_4(P; \hat{\Lambda}) \cong H_4^{LF}(\tilde{P}; \mathbb{Z})$ sending $1 \rightarrow \hat{\Sigma} \in \hat{\Lambda}$. The right-hand norm map in the diagram is the direct sum of the norm maps

$$N: \text{Hom}_{\mathbb{Z}}^a(\Lambda^*, \Lambda)_{\pi} \rightarrow \text{Hom}_{\mathbb{Z}}(\Lambda^*, \Lambda)^{\pi}$$

and the rest of the argument to show that N is injective is explained in detail on [19, p. 144] (note that the reference to Whitehead [47] has been corrected here). To check that the map

$$H_4(\tilde{P}; \mathbb{Z})_{\pi} \rightarrow \text{Hom}_{\mathbb{Z}}^a(F^*, F)_{\pi}$$

is injective (but not bijective), it is convenient to use the description for $\Gamma(\Lambda)$ given in [15, Lemma 2.2]. Hence $\omega_P \circ tr$ is injective, and from diagram (6.6) we conclude that $\omega_B \circ tr$ is injective as required. \square

Corollary 6.7. *Suppose that π has one end, and π has tame cohomology. If $H_2(\tilde{B})$ is strongly torsionless, and $\phi \in \text{Aut}_\bullet(B)$ induces an oriented isometry of the quadratic 2-type $Q(M)$, then $\phi_*(c_*[M]) = c_*[M] \in H_4(B; \mathbb{Z})$.*

Proof. By Proposition 6.3 (iii), we have

$$\alpha := c_*[M] - \phi_*(c_*[M]) \in \text{Im}(H_4(\tilde{B}; \mathbb{Z}) \otimes_\Lambda \mathbb{Z} \rightarrow H_4(B; \mathbb{Z})).$$

since ϕ is oriented. Since ϕ is an isometry of the quadratic 2-type, Lemma 6.5(i) gives $\omega(\text{tr}(c_*[M])) = \omega(\text{tr}(\phi_*(c_*[M])))$, and Lemma 6.5(iii) implies that $c_*[M] = \phi_*(c_*[M])$. \square

7. APPLICATIONS

In this section we will describe a general process for establishing results like Theorem B.

Theorem 7.1. *Let π be a discrete group of type F with one end, satisfying properties (W-AA). Let M and N be closed, smooth (topological), spin 4-manifolds with fundamental group π , and isometric oriented quadratic 2-types. If M and N are stably diffeomorphic (homeomorphic) and the composite*

$$H_4(\tilde{B}) \otimes_\Lambda \mathbb{Z} \xrightarrow{\text{tr}} H_4^{LF}(\tilde{B})^\pi \xrightarrow{\omega} \text{Hom}_\Lambda(H^2(B; \Lambda), H_2(B; \Lambda))$$

is injective, then $M \# r(S^2 \times S^2)$ is s -cobordant to $N \# r(S^2 \times S^2)$, provided that $r \geq \mathfrak{sr}(\pi)$.

We will discuss the topological case, and note that the arguments in the smooth case follow the same steps. If M and N are stably homeomorphic, then we can construct a 5-dimensional spin bordism $(V; M, N)$ between M and N over $K(\pi, 1)$ (with respect to compatible spin structures). By [11, Chapter 9], there is a topological handlebody structure on V relative to its boundary.

As in the proof of the h -cobordism theorem, we may assume that V consists of 2-handles and 3-handles, so that at a middle level $V_{1/2} \approx M \# t(S^2 \times S^2) \approx N \# t(S^2 \times S^2)$, for some $t \geq 0$.

Proof. Here are the remaining steps in the proof.

- (i) Let $\theta: [\pi_1(M, x_0), \pi_2(M), k_M, s_M] \xrightarrow{\cong} [\pi_1(N, x_0), \pi_2(N), k_N, s_N]$ be an orientation-preserving isometry of the quadratic 2-types of M and N , and use it together with a given isomorphism of their fundamental groups to identify their algebraic 2-types $B := B(M) = B(N)$.
- (ii) Let $h: N \# t(S^2 \times S^2) \rightarrow M \# t(S^2 \times S^2)$ be a stable orientation-preserving homeomorphism, with

$$h_*: \pi_2(N) \oplus H(\Lambda^t) \xrightarrow{\cong} \pi_2(M) \oplus H(\Lambda^t)$$

the induced isometry of their stabilized equivariant intersection forms. We may assume that the k -invariants are preserved. Let $M_t := M \# t(S^2 \times S^2)$ and let B_t denote the stabilized algebraic 2-type for $M \# t(S^2 \times S^2)$ and $N \# t(S^2 \times S^2)$.

- (iii) Let $\gamma := h_* \circ (\theta \oplus \text{id}_t)$ be the induced oriented self-isometry of the stabilized quadratic 2-type of M_t , where $\text{id}_t: H(\Lambda^t) \rightarrow H(\Lambda^t)$ denotes the identity map on the added hyperbolic summand. Then there exists a homotopy self-equivalence $\phi: B_t \rightarrow B_t$ such that $c_*^{-1} \circ \phi_* \circ c_* = \gamma$.
- (iv) By Proposition 6.3(iii), we have

$$\alpha := \phi_*(c_*[M_t]) - c_*[M_t] \in \text{Im}\{H_4(\tilde{B}_t; \mathbb{Z}) \rightarrow H_4(B_t; \mathbb{Z})\},$$

since ϕ induces an oriented isometry of the quadratic 2-type. Moreover, since the composite $\omega \circ tr$ is injective (by assumption), it follows from Lemma 6.5(i) that $\phi_*(c_*[M_t]) = c_*[M_t] \in H_4(B_t; \mathbb{Z})$.

- (v) By [18, Theorem 1.1], there exists a homotopy self-equivalence $g: M_t \rightarrow M_t$ such that $c \circ g \simeq \phi \circ c$. Since $\kappa_2: H_2(\pi; \mathbb{Z}/2) \rightarrow L_4(\mathbb{Z}[\pi])$ is injective (by condition (W-AA)), the normal invariant $\eta(g) \in H_2(M_t; \mathbb{Z}/2)$ lies in $\ker\{H_2(M_t; \mathbb{Z}/2) \rightarrow H_2(\pi; \mathbb{Z}/2)\}$. By [28, Theorem 19], after composing g with suitable self-equivalences given by pinch maps inducing the identity on $\pi_2(M_t)$, we may assume that the normal invariant $\eta(g) \in H_2(M_t; \mathbb{Z}/2)$ vanishes. Therefore (M, g) is normally cobordant to (M, id) and we have

$$\alpha(g) = [M_t, f] - [M_t, \text{id}] = 0 \in \widehat{\Omega}_4^{Spin}(M_t).$$

- (vi) We use the braid for the stabilized M_t and its 2-type B_t to show that $[\phi]$ is the image of an element $[(W, F)] \in \tilde{\mathcal{H}}(M_t)$ under the map $\delta: \tilde{\mathcal{H}}(M_t) \rightarrow \text{Aut}_\bullet(B_t)$. The image of $[(W, F)]$ in $\text{Aut}_\bullet(M_t)$ in the braid is represented by the self-equivalence $g := F|_{\partial_+ W}: M_t \rightarrow M_t$. Note that $[g] \mapsto [\phi] \in \text{Aut}_\bullet(B_t)$ under the map $\text{Aut}_\bullet(M_t) \rightarrow \text{Aut}_\bullet(B_t)$ in the braid, so that $g_* = h_* \circ (\theta \oplus \text{id}_t)$.
- (vii) There is an exact sequence:

$$L_6(\mathbb{Z}[\pi]) \rightarrow \mathcal{H}(M_t) \rightarrow \tilde{\mathcal{H}}(M_t) \rightarrow L_5(\mathbb{Z}[\pi])$$

and the map $\tilde{\mathcal{H}}(M_t) \rightarrow L_5(\mathbb{Z}[\pi])$ is given by the (modified) surgery obstruction of the map $F: W \rightarrow M_t \times I$, relative to the boundaries (see [17, p. 163]).

- (viii) We now apply Corollary 4.8 to (W, F) , regarded as a bordism from the normal 2-smoothing $\text{id}: M_t \rightarrow M_t$ to itself, over the reference map $F: W \rightarrow M$. For any given $r \geq \text{sr}(\pi)$, we can realize an element $[\alpha_r] = -\sigma(F) \in L_5(\mathbb{Z}[\pi])$, with $\alpha_r \in SU_r(\Lambda)$, by a stabilized normal cobordism, and attach it to (W, F) along $M_t \# r(S^2 \times S^2) = \partial_+ W \# r(S^2 \times S^2)$ (see the proof of [8, Theorem 3.1]).

The resulting cobordism has zero surgery obstruction, so after performing surgery (relative to the boundary), the result is an s -cobordism (W', F') of $M_t \# r(S^2 \times S^2)$. By construction, $F'|_{\partial_- W} = \text{id}_{M_t \# r(S^2 \times S^2)}$ and

$$F'|_{\partial_+ W} = f \circ g: M_t \# r(S^2 \times S^2) \rightarrow M_t \# r(S^2 \times S^2),$$

where $(M_t \# r(S^2 \times S^2), f)$ is a (simple) homotopy self-equivalence, such that f_* induces the identity on $\pi_2(M_t)$.

(ix) We now return to decompose the spin bordism between M and N as follows:

$$V = M \times [0, 1/4] \cup \{2\text{-handles}\} \cup \{3\text{-handles}\} \cup N \times [3/4, 1]$$

As above, let $V_{1/2}$ denote a middle level containing no critical points, so that the 2-handles are all attached below $V_{1/2}$, and the 3-handles attached all above $V_{1/2}$.

Let $V = V[0, 1/2] \cup V[1/2, 1]$ denote the lower and upper parts of V , joined along their common boundary $V(1/2)$ by the stable homeomorphism

$$h: M \# t(S^2 \times S^2) \rightarrow N \# t(S^2 \times S^2)$$

used in the steps above. We then stabilize V to V' by connected sum with $r(S^2 \times S^2 \times [0, 1])$ along small disjoint embeddings of $D^4 \times [0, 1] \subset V$, so that $\partial_- V' = M_r := M \# r(S^2 \times S^2)$ and $\partial_+ V' = N_r := N \# r(S^2 \times S^2)$. We now have the stabilized decomposition

$$V' = V'[0, 1/2] \cup V'[1/2, 1],$$

where $\partial_+ V'[0, 1/2] = M_t \# r(S^2 \times S^2)$ and $\partial_- V'[1/2, 1] = N_t \# r(S^2 \times S^2)$. The final step is to glue the s -cobordism (W', F') in between the two halves to produce $V'' = V'[0, 1/2] \cup W' \cup V'[1/2, 1]$, with $\partial_{\pm} V'' = \partial_{\pm} V'$.

(x) We claim that V'' is an s -cobordism from M_r to N_r . To see this, we check that the new attaching maps of the 3-handles cancel the ascending 2-handles. To keep track of the induced maps, let $L_M = \pi_2(M)$, $L_N = \pi_2(N)$, $H_t = H(\Lambda^t)$ and $H_r = H(\Lambda^r)$. Then

$$\pi_2(M_t \# r(S^2 \times S^2)) = L_M \oplus H_t \oplus H_r.$$

The bordisms $V'[0, 1/2] \cup W'$ and $V'[1/2, 1]$ are glued together along $\partial_- V'[1/2, 1]$ by attaching the 3-handles. The attaching maps are algebraically determined by the induced map on homology;

$$(h_*^{-1} \oplus \text{id}_r) \circ f_* \circ (g_* \oplus \text{id}_r): L_M \oplus H_t \oplus H_r \rightarrow L_N \oplus H_t \oplus H_r.$$

Since f_* induces the identity on $\pi_2(M_t) = L_M \oplus H_t$, and $h_*^{-1} \circ g_* = \theta \oplus \text{id}_t$. we have

$$((h_*^{-1} \oplus \text{id}_r) \circ f_* \circ (g_* \oplus \text{id}_r))(u, v, 0) = (\theta(u), v, 0),$$

for all $(u, v, 0) \in L_M \oplus H_t \oplus H_r$.

This formula shows that the 3-handles from $V'[1/2, 1]$ (the upper half) algebraically cancel the 2-handles from $V'[0, 1/2]$ (the lower half), and these together give a standard hyperbolic base for the summand H_t . Hence V'' is an s -cobordism between M_r and N_r , and the proof of Theorem 7.1 is complete. \square

The proof of Theorem B. If $\pi = \pi_1(M)$ is the fundamental group of a closed, oriented aspherical 3-manifold, then the Farrell-Jones conjectures hold for π (see [1, Corollary 1.3]) and π has the properties (W-AA). Moreover, $\text{g-dim}(\pi) = 3$, $H^1(\pi; \Lambda) = 0$ and $H^3(\pi; \Lambda) = \mathbb{Z}$.

By [13, Lemma 6.1] we know that $\pi_2(M)^*$ is a stably free Λ -module, and since $H^2(\pi; \Lambda) = 0$, we have a short exact sequence

$$0 \rightarrow H^2(M; \Lambda) \rightarrow \text{Hom}_\Lambda(\pi_2(M), \Lambda) \rightarrow H^3(\pi; \Lambda) \rightarrow 0$$

which is isomorphic (by Shanuel's Lemma) to

$$0 \rightarrow I(\pi) \oplus F_0 \rightarrow \Lambda \oplus F_0 \rightarrow \mathbb{Z} \rightarrow 0,$$

after stabilization if necessary, where $I(\pi)$ denotes the augmentation ideal of $\mathbb{Z}[\pi]$, F_0 is a (stably) free, finitely generated Λ -module, and $L := I(\pi) \oplus F_0$ is a stabilization of $\pi_2(M) \cong H^2(M; \Lambda)$. Let $F = \Lambda \oplus F_0$ so that $L = I(\pi) \oplus F_0$ embeds in F with quotient \mathbb{Z} . In particular, $\pi_2(M)$ is torsionless.

By [13, Proposition 4.1], the fundamental group π has tame cohomology. It is now easy to verify the other conditions of Lemma 6.5 needed to apply Theorem 7.1.

In order to check that $\pi_2(M)$ is strongly torsionless, it is enough to show that the induced map $\Gamma(L) \otimes_\Lambda \mathbb{Z} \rightarrow \Gamma(F) \otimes_\Lambda \mathbb{Z}$ is injective, since this is a stable condition. From the additivity formula, we have a commutative diagram of Λ -modules:

$$\begin{array}{ccc} \Gamma(L) & \xrightarrow{\cong} & \Gamma(I(\pi)) \oplus \Gamma(F_0) \oplus I(\pi) \otimes_{\mathbb{Z}} F_0 \\ \downarrow & & \downarrow \\ \Gamma(F) & \xrightarrow{\cong} & \Gamma(\Lambda) \oplus \Gamma(F_0) \oplus \Lambda \otimes_{\mathbb{Z}} F_0 \end{array}$$

Since the additive decompositions are natural, we can consider the vertical maps separately. By [15, Lemma 2.3], there is a Λ -isomorphism $\Gamma(I(\pi)) \oplus \Lambda \cong \Gamma(\Lambda)$, so the first vertical map is split injective. The middle vertical maps is the identity, and the third vertical map is again a split injection over Λ since the sequence

$$0 \rightarrow I(\pi) \otimes_{\mathbb{Z}} F_0 \rightarrow \Lambda \otimes_{\mathbb{Z}} F_0 \rightarrow \mathbb{Z} \otimes_{\mathbb{Z}} F_0 \rightarrow 0$$

is an exact sequence of free Λ -modules. Hence the induced map $\Gamma(L) \otimes_\Lambda \mathbb{Z} \rightarrow \Gamma(F) \otimes_\Lambda \mathbb{Z}$ is injective, and L is strongly torsionless. \square

Example 7.2. The assumptions of Theorem 7.1 apply to stabilizations of aspherical 4-manifolds, such as $M = T^4 \# r(S^2 \times S^2)$, but not to stabilizations of $M = T^2 \times S^2$.

8. THE MAIN RESULTS OF [13] CORRECTED

To correct the statements and proofs of Theorem A and Theorem 11.2 in [13], we use the new stable range conditions. For the main result below: we need to assume that π has type F_3 in addition to $\text{cd}(\pi) \leq 3$. This amounts to assuming $\text{g-dim}(\pi) \leq 3$.

Theorem A. *Let π be a right-angled Artin group defined by a graph Γ with no 4-cliques. Suppose that M and N are closed, spin^+ , topological 4-manifolds with fundamental group π . Then any isometry between the quadratic 2-types of M and N is stably realized by an s -cobordism between $M \# r(S^2 \times S^2)$ and $N \# r(S^2 \times S^2)$, whenever $r \geq \max\{b_3(\pi), 6\}$.*

This is a consequence of the main result [13, Theorem 11.2], with a corrected stability bound from applying Corollary 4.8 in the last step of the proof. If $\text{cd}(\pi) \leq 2$, then no stabilization is needed for this result and the next (see [19, Theorem C]).

Theorem 11.2. *Let π be a discrete group with $\text{g-dim}(\pi) \leq 3$ satisfying the properties (W-AA). If M and N are closed, oriented, spin^+ , topological 4-manifolds with fundamental group π , then any isometry between the quadratic 2-types of M and N is stably realized by an s -cobordism between $M \# r(S^2 \times S^2)$ and $N \# r(S^2 \times S^2)$, for $r \geq \max\{b_3(\pi), \mathfrak{sr}(\pi)\}$.*

Remark 8.1. Note that we obtain s -cobordisms after connected sum with a *uniformly bounded* number of copies of $S^2 \times S^2$, where the bound depends only on the fundamental group. In contrast, “stable classification” results might require an unbounded number of stabilizations as the manifolds M and N vary.

The stable classification result, [13, Theorem B], is not affected: two closed, oriented spin^+ , topological 4-manifolds with $\text{cd}(\pi) \leq 3$ are stably homeomorphic if and only if their equivariant intersection form are stably isometric. For the restricted class of spin^+ manifolds, this extends the stable classification obtained in [22] for fundamental groups of closed, oriented, aspherical 3-manifolds to more general fundamental groups.

Remark 8.2. The proof of [19, Lemma 5.15] implicitly assumes that $\text{Hom}_\Lambda(H^2(\pi; \Lambda), \Lambda) = 0$. This can be justified since a group π with $\text{cd}(\pi) \leq 2$ has tame cohomology by [13, Proposition 4.1 and Lemma 4.4]. At present we do not know whether every discrete group π (or even every right-angled Artin group) with $\text{cd}(\pi) = 3$ has tame cohomology (see [13, Remark 3.2] for an example with $\text{cd}(\pi) = 4$).

9. APPENDIX: LOCALLY FINITE AND END HOMOLOGY

Let X be a closed, oriented, topological n -manifold with $\pi_1(X) = G$ infinite. The universal covering \tilde{X} is a non-compact n -manifold, and we have two versions of Poincaré duality expressed in the following diagram:

$$\begin{array}{ccc} H_c^q(\tilde{X}; \mathbb{Z}) & \longrightarrow & H^q(\tilde{X}; \mathbb{Z}) \\ \cong \downarrow D & & \cong \downarrow D \\ H_{n-q}(\tilde{X}; \mathbb{Z}) & \longrightarrow & H_{n-q}^{LF}(\tilde{X}; \mathbb{Z}) \end{array}$$

where the duality map is induced by cap product with the transfer

$$\text{tr}[X] \in H_n^{LF}(\tilde{X}; \mathbb{Z})$$

of the fundamental class of X into the locally finite homology of its universal covering. The first version is a special case of the general Poincaré duality theorem

$$\cap[X]: H^q(X; L) \rightarrow H_{n-q}(X; L)$$

valid for any $\Lambda := \mathbb{Z}G$ -module L . If we take $L = \Lambda$, then

$$H^q(X; \Lambda) \cong H_c^q(\tilde{X}; \mathbb{Z}) \quad \text{and} \quad H_{n-q}(X; \Lambda) \cong H_{n-q}(\tilde{X}; \mathbb{Z}).$$

To express the second version (which involves locally finite homology) in these terms, we define

$$\hat{\Lambda} = \left\{ \sum n_g \cdot g \mid \text{for } g \in G, \text{ and } n_g \in \mathbb{Z} \right\}$$

as the formal (possibly infinite) integer linear sums of group elements. Then $\Lambda \subset \widehat{\Lambda}$ and $\widehat{\Lambda}$ is a Λ -module by formal multiplication

$$\left(\sum_g n_g g\right) \left(\sum_h m_h h\right) = \sum_x \left(\sum_g n_g m_{g^{-1}x}\right) x$$

which is defined since the coefficients $\{n_g\}$ in Λ are only non-zero for finitely many group elements. Note that $\widehat{\Lambda} = \text{Hom}_{\mathbb{Z}}(\Lambda, \mathbb{Z})$ is a *co-induced module* (see [7, p. 67]).

From the general Poincaré duality theorem we have

$$\cap[X]: H^q(X; \widehat{\Lambda}) \xrightarrow{\cong} H_{n-q}(X; \widehat{\Lambda})$$

and we claim that this recovers the second version of non-compact duality for \widetilde{X} given above.

Proposition 9.1. *For any right Λ -module L , there is an isomorphism $\text{Hom}_{\mathbb{Z}}(L, \mathbb{Z}) \cong \text{Hom}_{\Lambda}(L, \widehat{\Lambda})$ of Λ -modules, which is natural with respect to Λ -maps $L \rightarrow L'$.*

Proof. We define a map $u: \text{Hom}_{\mathbb{Z}}(L, \mathbb{Z}) \rightarrow \text{Hom}_{\Lambda}(L, \widehat{\Lambda})$ by the formula $f \mapsto \widehat{f}$, where

$$\widehat{f}(x) = \sum_g f(xg^{-1})g \in \widehat{\Lambda}$$

for any $f \in \text{Hom}_{\mathbb{Z}}(L, \mathbb{Z})$. Then $\widehat{f}(xh) = \widehat{f}(x)h$, for all $h \in G$. We define a map $v: \text{Hom}_{\Lambda}(L, \widehat{\Lambda}) \rightarrow \text{Hom}_{\mathbb{Z}}(L, \mathbb{Z})$ by the formula $\varphi \mapsto \varepsilon_1 \varphi$, where $\varepsilon: \widehat{\Lambda} \rightarrow \mathbb{Z}$ is given by $\varepsilon_1(\sum n_g g) = n_1$. It is not difficult to check that u and v are inverse Λ -maps, and provide the claimed natural isomorphism.

We check that the maps $f \mapsto \widehat{f}$ and $\varphi \mapsto \varepsilon_1 \varphi$ are left Λ -module maps. Define a left Λ -action on $\text{Hom}_{\mathbb{Z}}(L, \mathbb{Z})$ by the formula $(h \cdot f)(x) = f(xh)$, for all $h \in G$, and on $\text{Hom}_{\Lambda}(L, \widehat{\Lambda})$ by $(h \cdot \varphi)(x) = h\varphi(x)$. Then $\widehat{(h \cdot f)} = h \cdot \widehat{f}$ and $\varepsilon_1(h \cdot \varphi) = h \cdot (\varepsilon_1 \varphi)$. Then $(h_1 \cdot (h_2 \cdot f))(x) = (h_2 \cdot f)(xh_1) = f(xh_1h_2) = ((h_1h_2) \cdot f)(x)$, and similarly for φ . \square

Corollary 9.2. *There is a natural isomorphism of Λ -module chain complexes $C^*(\widetilde{X}; \mathbb{Z}) \cong C^*(X; \widehat{\Lambda})$.*

Proof. We have a natural isomorphism $\text{Hom}_{\mathbb{Z}}(C_q(\widetilde{X}), \mathbb{Z}) \cong \text{Hom}_{\Lambda}(C_q(\widetilde{X}), \widehat{\Lambda})$, for $q \geq 0$, and the differentials are induced by the boundary maps $\partial_q: C_q(\widetilde{X}) \rightarrow C_{q-1}(\widetilde{X})$. \square

Corollary 9.3. *There is a Λ -module isomorphism $H_q^{LF}(\widetilde{X}; \mathbb{Z}) \cong H_q(X; \widehat{\Lambda})$, for $q \geq 0$.*

Proof. Since $H^q(\widetilde{X}; \mathbb{Z}) \cong H^q(X; \widehat{\Lambda})$ as Λ -modules, the result follows from Poincaré duality. \square

Remark 9.4. The same expression holds for any finite-dimensional CW-complex K and its universal covering \widetilde{K} , by considering the boundary of a high-dimensional thickening of K is a Euclidean space for dimension $2 \dim K + 2$.

As shown in Laitinen [31, §3], the Poincaré duality theorems can be extended to include *end homology*, which we can now express as $H_{q-1}^e(\widetilde{X}; \mathbb{Z}) \cong H_q(X; \widehat{\Lambda}/\Lambda)$, for $q \geq 0$.

Proposition 9.5. *There is a commutative diagram relating two long exact sequences by Poincaré duality:*

$$(9.6) \quad \begin{array}{ccccccc} \dots & \longrightarrow & H_c^q(\tilde{X}; \mathbb{Z}) & \longrightarrow & H^q(\tilde{X}; \mathbb{Z}) & \longrightarrow & H_e^q(\tilde{X}; \mathbb{Z}) & \longrightarrow & \dots \\ & & \cong \downarrow D & & \cong \downarrow D & & \cong \downarrow D & & \\ \dots & \longrightarrow & H_{n-q}(\tilde{X}; \mathbb{Z}) & \longrightarrow & H_{n-q}^{LF}(\tilde{X}; \mathbb{Z}) & \longrightarrow & H_{n-q-1}^e(\tilde{X}; \mathbb{Z}) & \longrightarrow & \dots \end{array}$$

Proof. Poincaré duality gives $H^q(X; \widehat{\Lambda}/\Lambda) \cong H_{n-q}(X; \widehat{\Lambda}/\Lambda) \cong H_{n-q-1}^e(\tilde{X}; \mathbb{Z})$. The long exact sequences are induced by the coefficient sequence $0 \rightarrow \Lambda \rightarrow \widehat{\Lambda} \rightarrow \widehat{\Lambda}/\Lambda \rightarrow 0$. In our setting $H_e^q(\tilde{X}; \mathbb{Z}) \cong H^q(X; \widehat{\Lambda}/\Lambda)$ and $H_q(X; \widehat{\Lambda}/\Lambda) \cong H_{q-1}^e(\tilde{X}; \mathbb{Z})$. \square

We conclude with some algebraic observations.

Lemma 9.7. *Let L be a Λ -module which embeds in a projective Λ -module. Then*

- (i) *the map $L \otimes_{\Lambda} \Lambda \rightarrow L \otimes_{\Lambda} \widehat{\Lambda}$ is injective;*
- (ii) *$\mathrm{Tor}_k^{\Lambda}(L, \widehat{\Lambda}) \rightarrow \mathrm{Tor}_k^{\Lambda}(L, \widehat{\Lambda}/\Lambda)$ is an isomorphism, for $k \geq 1$.*
- (iii) *$\mathrm{Hom}_{\Lambda}(\widehat{\Lambda}/\Lambda, \widehat{\Lambda}) = 0$.*
- (iv) *$\widehat{\Lambda} \otimes_{\Lambda} \widehat{\Lambda}/\Lambda = 0$.*

Proof. We may assume that $L \subset F$ for some free Λ -module F . For any $0 \neq x_0 \in L$, there exists a Λ -module map $f: L \rightarrow \Lambda$ with $f(x_0) \neq 0$. Recall that the universal property of tensor products is expressed in terms of *balanced products*. If R is a ring, M is a right R -module, N is a left R -module and T is an abelian group, then a balanced product is a bilinear map $b: M \times N \rightarrow T$ such that $b(m \cdot r, n) = b(m, r \cdot n)$, for all $m \in M$, $n \in N$ and $r \in R$.

Define $b: L \times \widehat{\Lambda} \rightarrow \widehat{\Lambda}$ by $b(x, \hat{\lambda}) = f(x) \cdot \hat{\lambda}$, for all $x \in L$ and $\hat{\lambda} \in \widehat{\Lambda}$. Since b is balanced over Λ , and $b(x_0, 1) = f(x_0) \cdot 1 \neq 0$, it follows that $x \otimes 1 \neq 0$.

For part (ii), we tensor the exact sequence $0 \rightarrow \Lambda \rightarrow \widehat{\Lambda} \rightarrow \widehat{\Lambda}/\Lambda \rightarrow 0$ with L over Λ , and consider the resulting long exact sequence. Since $\mathrm{Tor}_k^{\Lambda}(L, \Lambda) = 0$ for $k \geq 1$, and $\mathrm{Tor}_1^{\Lambda}(L, \widehat{\Lambda}) \rightarrow \mathrm{Tor}_1^{\Lambda}(L, \widehat{\Lambda}/\Lambda)$ is surjective by part (i), the result follows.

For part (iii), use the sequence

$$0 \rightarrow \mathrm{Hom}_{\Lambda}(\widehat{\Lambda}/\Lambda, \widehat{\Lambda}) \rightarrow \mathrm{Hom}_{\Lambda}(\widehat{\Lambda}, \widehat{\Lambda}) \rightarrow \mathrm{Hom}_{\Lambda}(\Lambda, \widehat{\Lambda})$$

where the second map is isomorphic to the injective map $\mathrm{Hom}_{\mathbb{Z}}(\widehat{\Lambda}, \mathbb{Z}) \rightarrow \mathrm{Hom}_{\mathbb{Z}}(\Lambda, \mathbb{Z})$. In fact, since $\widehat{\Lambda} \cong \prod \mathbb{Z}$ is a countable direct product (although uncountable as an abelian group), its \mathbb{Z} -dual $\mathrm{Hom}_{\mathbb{Z}}(\widehat{\Lambda}, \mathbb{Z}) \cong \bigoplus \mathbb{Z}$ is the direct sum.

For part (iv), we use the bimodule structure on $\widehat{\Lambda}$. In general, if R and S are rings, M is an (R, S) -bimodule, N is a left S -module, and T is a left R -module, then the universal property is expressed by S -balanced maps $b: M \times N \rightarrow T$, such that $b(rm, n) = rb(m, n)$ and $b(ms, n) = b(m, sn)$. Note that the right adjoint $\mathrm{ad} b: N \rightarrow \mathrm{Hom}_R(M, T)$ is a left S -module map. If $R = S$ we call b an R -bilinear map.

We let $R = S = \Lambda$, $M = \widehat{\Lambda}$, $N = \widehat{\Lambda}/\Lambda$, and claim that $\widehat{\Lambda} \otimes_{\Lambda} \widehat{\Lambda}/\Lambda = 0$ if any such R -bilinear map $b: \widehat{\Lambda} \times \widehat{\Lambda}/\Lambda \rightarrow \Lambda$ with range $T = \Lambda$ must be zero (this is an easy reduction).

To verify this claim, suppose that b is non-zero, then by composition with the inclusion $\Lambda \subset \widehat{\Lambda}$, the right adjoint $\text{ad } \hat{b}: \widehat{\Lambda}/\Lambda \rightarrow \text{Hom}_\Lambda(\widehat{\Lambda}, \widehat{\Lambda})$ is a non-zero Λ -map. However, $\text{Hom}_\Lambda(\widehat{\Lambda}, \widehat{\Lambda}) \cong \text{Hom}_{\mathbb{Z}}(\widehat{\Lambda}, \mathbb{Z}) \subseteq \text{Hom}_{\mathbb{Z}}(\Lambda, \mathbb{Z}) \cong \widehat{\Lambda}$. Since $\text{Hom}_\Lambda(\widehat{\Lambda}/\Lambda, \widehat{\Lambda}) = 0$ by part (iii), we have a contradiction and hence $b \equiv 0$. \square

Remark 9.8. The module $L = \mathbb{Z}$ does not embed in a free Λ -module (unless G is finite): a sufficient condition is that $L = B^*$ for some finitely generated Λ -module B (see Bass [3, p. 477]). Note that $\mathbb{Z} \otimes_\Lambda \widehat{\Lambda} = 0$ (see [41, §2.5, §4.3], or [7, Ex. 4(c), p. 71]) so some condition on L is needed for part (i).

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