

# Solutions to Assignment 1

①

1 a) Zorn's lemma - in more detail, subsets of  $K$  which are algebraically independent are closed under unions of chains and so Zorn's lemma can be applied to generate a maximal alg. indep. set.

is a trans. basis

b) If  $X \in K$ , then the algebraic closure of  $X$  or more formally, the alg. closure of the field generated by  $X$  must be all of  $K$ . If not, there is  $x \in K$  which is not alg. over  $X$  then  $X \cup \{x\}$  is alg. indep.

c) Every element of  $K$  is algebraic over  $K_0(X)$  where  $K_0$  is the prime field. There are at most  $|X| + \aleph_0$  many poly. over  $K_0(X)$  and each has only finitely many roots. So  $|K| \leq |X| + \aleph_0$ .  $K$  cannot be finite

( $\prod_{a \in K} (x-a) + 1$  would have no root) so  $|K| = |X| + \aleph_0$ .

d) If  $K$  and  $L$  are of uncountable cardinality then from c)  $|K| = |X|$  and  $|L| = |Y|$  where  $X$  is a transcendence basis for  $K$  and  $Y$  is one for  $L$ . If  $K_0, L_0$  are the resp. prime fields then  $K_0 \cong L_0$  since  $K$  and  $L$  have the same characteristic. Pick any bijection  $f: X \rightarrow Y$ .

Claim:  $\varphi: K_0(X) \rightarrow L_0(Y)$  determined by  $\varphi(x) = f(x)$  and  $\varphi: K_0 \rightarrow L_0$  is a well-defined isomorphism.

Pf/ It suffices to see that if  $\varphi(p(\bar{x})) = 0$  then  $p(\bar{x}) \equiv 0$ . But for  $\bar{x} \in X$ ,  $\bar{x}$  is alg. indep. so <sup>there is</sup> no non-trivial polynomial  $p$  s.t.  $p(\bar{x}) = 0$ . So  $p = 0$ .

(2)

Now  $\varphi$  lifts to a map from  $\overline{K_0(X)} = K$  to  $\overline{L_0(Y)} = L$ .

e) If  $M, N \models \text{ACF}_p$ , then there is  $\lambda > |M|, |N|$  and fields  $M', N'$ ,  $|M'| = |N'| = \lambda$  with  $M < M'$  and  $N < N'$  (by upward LS). But then  $M' \cong N'$  by LS, so

$M \cong M' \cong N' \cong N$  which implies that  $\text{ACF}_p$  is complete.

2. Suppose  $M_i$  for  $i \in I$  are  $L$ -structures,  $\mathcal{U}$  is an ultrafilter on  $I$  and  $M = \prod_{i \in I} M_i / \mathcal{U}$ .

We wish to prove that

$$M \models \varphi(\bar{a}/\mathcal{U}) \iff \{i \in I : M_i \models \varphi(\bar{a}_i)\} \in \mathcal{U}$$

by induction on formulas.

Atomic formulas follow by the definition of the ultraproduct. Let's consider the basic connectives  $\wedge$  and  $\neg$ ;  $\wedge$  follows since filters are closed under intersections. For  $\neg$

$$\begin{aligned} M \models \neg \varphi(\bar{a}/\mathcal{U}) &\iff M \not\models \varphi(\bar{a}/\mathcal{U}) \\ &\iff \{i \in I : M_i \models \varphi(\bar{a}_i)\} \notin \mathcal{U} \text{ by ind.} \\ &\iff \{i \in I : M_i \not\models \varphi(\bar{a}_i)\} \in \mathcal{U} \text{ since} \\ &\quad \mathcal{U} \text{ is an ultrafilter.} \end{aligned}$$

Now the existential quantifier:

$$M \models \exists x \varphi(x, \bar{a}/\mathcal{U}) \text{ iff for some } b/\mathcal{U}$$

$$M \models \varphi(b/\mathcal{U}, \bar{a}/\mathcal{U})$$

$$\text{iff } \{i \in I : M \models \varphi(b_i, \bar{a}_i)\} \in \mathcal{U}$$

by ind.

Now  $\{i \in I : M \models \varphi(b_i, \bar{a}_i)\} \in \{i \in I : M \models \exists x \varphi(x, \bar{a}_i)\}$   
so if the first is in  $\mathcal{U}$  then so is the second.

What if  $\{i \in I : M \models \exists x \varphi(x, \bar{a}_i)\} \in \mathcal{U}$ ? Define a sequence  $b$  by  $b_i \in M_i$  with  $M_i \models \varphi(b_i, \bar{a}_i)$  if  $M_i \models \exists x \varphi(x, \bar{a}_i)$  and anything otherwise.

Now  $M \models \varphi(b/\mathcal{U}, \bar{a}/\mathcal{U})$  since  $\{i \in I : M_i \models \varphi(b_i, \bar{a}_i)\} \in \mathcal{U}$   
so  $M \models \exists x \varphi(x, \bar{a}/\mathcal{U})$ .

3 a)  $f[\mathcal{U}]$  is clearly closed upwards. If  $Y, Z \in f[\mathcal{U}]$   
then there are  $V, X \in \mathcal{U}$  s.t.  $f(V) \subseteq Y, f(X) \subseteq Z$  so  
 $f(V \cap X) \subseteq f(V) \cap f(X) \subseteq Y \cap Z$  so  $Y \cap Z \in f[\mathcal{U}]$

Now for any  $Y \in \mathcal{I}$ , consider  $X = \{x \in \mathcal{J} : f(x) \in Y\}$   
If  $X \in \mathcal{U}$  then  $f(X) \subseteq Y$  and so  $Y \in f[\mathcal{U}]$ . If  $X \notin \mathcal{U}$   
then  $X^c \in \mathcal{U}$  and  $f(X^c) \subseteq Y^c$  so  $Y^c \in f[\mathcal{U}]$ .

b) Probably the hardest thing here is to see that this map is well-defined.

(4)

Suppose that  $\langle m_i : i \in I \rangle$  and  $\langle m'_i : i \in I \rangle$  are  $f[u]$ -equivalent. We want to show  $\{j \in J : m_{f(j)} = m'_{f(j)}\}$

is in  $\mathcal{U}$ . By assumption  $Y = \{i \in I : m_i = m'_i\} \in f[u]$

So there is  $X \in \mathcal{U}$  s.t.  $f(X) \subseteq Y$ . But if  $j \in X$   $f(j) \in Y$  and so  $m_{f(j)} = m'_{f(j)}$ . This means

$$X \subseteq \{j \in J : m_{f(j)} = m'_{f(j)}\} \in \mathcal{U}.$$

Now to see elementarity: Define the map

$$\langle m_i : i \in I \rangle / f[u] \longmapsto \langle m_{f(j)} : j \in J \rangle / \mathcal{U}$$

to be  $g$ . Fix  $\bar{m} = \langle \bar{m}_i : i \in I \rangle / f[u] \in \prod_{i \in I} M_i / f[u]$

and we wish to see that

$$\prod_{i \in I} M_i / f[u] \models \varphi(\bar{m}) \quad \text{iff} \quad \prod_{j \in J} M_{f(j)} / \mathcal{U} \models \varphi(g(\bar{m}))$$

So  $\prod_{i \in I} M_i / f[u] \models \varphi(\bar{m})$  iff  $\{i \in I : M_i \models \varphi(\bar{m}_i)\} \in f[u]$   
iff there is  $X \in \mathcal{U}$  s.t.

$$f(X) \subseteq \{i \in I : M_i \models \varphi(\bar{m}_i)\}$$

If the latter holds then  $X \subseteq \{j \in J : M_{f(j)} \models \varphi(\bar{m}_{f(j)})\} \in \mathcal{U}$

and so  $\prod_{j \in J} M_{f(j)} / \mathcal{U} \models \varphi(g(\bar{m}))$ .

In the other direction, if  $\prod_{j \in J} M_{\neq(j)} / \mathcal{U} \models \varphi(\bar{m})$

then  $X = \{ j \in J : M_{\neq(j)} \models \varphi(\bar{m}_{\neq(j)}) \} \in \mathcal{U}$ .

So  $\neq(X) \subseteq \{ i \in I : M_i \models \varphi(\bar{m}_i) \}$  which puts it in  $\neq[\mathcal{U}]$ .

c) If  $I = \{*\}$ ,  $J$  is any set and  $\mathcal{U}$  any ultrafilter on  $J$  then the construction from b) is

$$M \hookrightarrow \prod_{j \in J} M_j / \mathcal{U} \text{ given by } m \mapsto \langle m : j \in J \rangle$$

The diagonal embedding.

4 a) Suppose that all  $r_i$  for  $i \in I$  are in the interval  $[a, b]$ . Toward a contradiction, suppose that for every  $r \in [a, b]$  there is an  $\epsilon_r$  s.t.

$$X_r = \{ i \in I : |r_i - r| < \epsilon_r \} \notin \mathcal{U}$$

The sets  $(r - \epsilon_r, r + \epsilon_r)$  form an open cover of  $[a, b]$  and so there are finitely many  $r$ 's;  $r^1 \dots r^n$  s.t.

$$(r^i - \epsilon_{r^i}, r^i + \epsilon_{r^i}) \text{ cover } [a, b].$$

But  $\{ i \in I : \text{for some } j=1, \dots, n \ |r^j - r_i| < \epsilon_{r^j} \} = I$  and  $\mathcal{U}$  is an ultrafilter so one of the sets

$X_{r^i} \in \mathcal{U}$ . The  $r$  is unique by considering two such  $r$ 's,  $r^1$  and  $r^2$  and looking at  $\epsilon = \frac{|r^1 - r^2|}{2}$

b)  $\{ d_i(x_i, y_i) : i \in I \}$  is bounded since there is some  $M, N$  with  $d_i(x_i, a_i) \leq M$  and  $d(y_i, a_i) \leq N$  for all  $i \in I$ . So  $d(x_i, y_i) \leq M + N$ .

So  $d(\bar{x}, \bar{y})$  is well-defined from a).

$$d(\bar{x}, \bar{x}) = 0 \text{ and } d(\bar{x}, \bar{y}) = d(\bar{y}, \bar{x}) \text{ clearly.}$$

For the triangle inequality, for each  $i$

$$d(x_i, z_i) \leq d_i(x_i, y_i) + d_i(y_i, z_i)$$

and taking ultralimits on both sides we get

$$d(\bar{x}, \bar{z}) \leq d(\bar{x}, \bar{y}) + d(\bar{y}, \bar{z}).$$