

## Lecture 14 Chapter 4 (Crash course on polynomials)

Goal: Study properties of polynomials

$$p(z) = a_0 + a_1 z + a_2 z^2 + \dots + a_n z^n \quad a_i \in F$$

Motivation (Section 5.B).

Given  $T \in \mathcal{L}(V) = \mathcal{L}(V, V)$ , let  $T^i = \underbrace{T \cdot T \cdots T}_i$

$T$  composed  $i$  times

Relate operator to polynomial

$$a_0 I + a_1 T + a_2 T^2 + \dots + a_n T^n \longleftrightarrow a_0 + a_1 z + \dots + a_n z^n$$

## Properties of complex numbers

Let  $F = \mathbb{C} = \{a + bi \mid a, b \in \mathbb{R}\}$  where  $i^2 = -1$

Given  $z = a + bi$ , real part of  $z = \operatorname{Re}(z) = a$   
imaginary part of  $z = \operatorname{Im}(z) = b$

Complex conjugate of  $z = a + bi$  is  $\bar{z} = a - bi$   
absolute value of  $z = a + bi$  is  $|z| = \sqrt{a^2 + b^2}$

Ex If  $z = 2 + 6i$

$$\operatorname{Re} z = 2, \operatorname{Im} z = 6, \bar{z} = 2 - 6i, |z| = \sqrt{2^2 + 6^2} = \sqrt{40}$$

Properties

• $\overline{w + z} = \bar{w} + \bar{z}$	• $ \bar{z}  =  z $
• $\overline{w \cdot z} = \bar{w} \cdot \bar{z}$	• $ wz  =  w   z $
• $\overline{\bar{z}} = z$	• $ w + z  \leq  w  +  z $

## Polynomials

Def<sup>n</sup> A function  $p: F \rightarrow F$  is a polynomial with coefficients in  $F$  if there exists  $a_0, \dots, a_m \in F$  such that

$$p(z) = a_0 + a_1 z + \dots + a_m z^m$$

Thm If  $p(z) = a_0 + a_1 z + \dots + a_m z^m = 0$  for all  $z \in F$  (as functions), then  $a_0 = \dots = a_m = 0$

Recall •  $\deg p(z) = m$  if  $a_m \neq 0$   
•  $= -\infty$  if  $p(z) = 0$

•  $\mathcal{P}(F) = \text{all polynomials}$



## Roots

Def<sup>n</sup> A number  $\lambda \in F$  is a root or zero of  $p \in \mathcal{P}(F)$  if  $p(\lambda) = 0$ .

Thm Suppose  $p \in \mathcal{P}(F)$  and  $\lambda \in F$ . Then  $\lambda$  is a root of  $p$  if and only if  $p(z) = (z - \lambda) q(z)$  for some  $q \in \mathcal{P}(F)$ .

Proof ( $\Rightarrow$ ) Consider  $p(z)$  and  $s(z) = (z - \lambda)$

By the division alg, there exists  $q, r \in \mathcal{P}(F)$  such that

$$p(z) = (z - \lambda) q(z) + r(z) \text{ with } r(z) = 0 \text{ or } \deg r(z) < \deg s(z) \underset{1}{=}$$

$$\text{But } 0 = p(\lambda) = (\lambda - \lambda) q(\lambda) + r(\lambda) \Rightarrow 0 = r(\lambda)$$

If  $\deg r(z) = 0$ , then  $r(z) = r \in F$ . If  $r \neq 0$ , then  $0 = r(\lambda) = r \neq 0$ . A contradiction. So  $r = 0$ .

$$\text{Thus } p(z) = (z - \lambda) q(z)$$

( $\Leftarrow$ ) If  $p(z) = (z - \lambda) q(z)$ , then

$$p(\lambda) = (\lambda - \lambda) q(\lambda) = 0 \cdot q(\lambda) = 0$$

So  $\lambda$  is a root of  $p(z)$



WARNING! Existence of roots depends upon  $F$

$$z^2 + 1 = 0 \iff z^2 = -1 \quad \leftarrow \text{has no roots in } \mathbb{R}$$
$$\quad \quad \quad \leftarrow \text{has roots } \pm i \text{ in } \mathbb{C}$$

Thm (Fundamental Theorem of Algebra)

If  $p \in \mathcal{P}(\mathbb{C})$  is a non constant polynomial of degree  $m \geq 1$ , then  $p$  has a unique factorization (up to order of factors) of the form

$$p(z) = c(z - \lambda_1)(z - \lambda_2) \cdots (z - \lambda_m)$$

where  $c, \lambda_1, \dots, \lambda_m \in \mathbb{C}$  need not be distinct

Remark · Over  $\mathbb{C}$ , every polynomial can be factored into products of form  $(z - a)$  with  $a \in \mathbb{C}$ .

· not true over  $\mathbb{R}$ ,

$$\text{ex } (z^2 + 1) \neq (z - a)(z + b) \text{ for any } a, b \in \mathbb{R}$$

Q: If  $p \in \mathcal{P}(\mathbb{R})$ , "how much" can we factor it?

Thm:  $x^2 + bx + c = (x - \lambda_1)(x - \lambda_2)$  with  $b, c, \lambda_1, \lambda_2 \in \mathbb{R}$   
 $\Leftrightarrow b^2 - 4c \geq 0$   $\leftarrow$  the discriminant from high school

Thm Suppose  $p \in \mathcal{P}(\mathbb{R})$  is a non-constant polynomial.  
Then  $p$  has a unique factorization (up to order)

$$p(z) = c(z - a_1)(z - a_2) \cdots (z - a_n)(z^2 + b_1z + c_1) \cdots (z^2 + b_mz + c_m)$$

with  $c, a_1, \dots, a_n, b_1, c_1, \dots, b_m, c_m \in \mathbb{R}$  and  $b_i^2 - 4c_i < 0$ .

Idea of proof:

(Fact) If  $\lambda \in \mathbb{C}$  and  $p \in \mathcal{P}(\mathbb{R})$  and  $p(\lambda) = 0$ ,  
then  $p(\bar{\lambda}) = 0$

Factor  $p$  over  $\mathbb{C}$   $\nwarrow$  conjugate

$$p = c \underbrace{(z - a_1) \cdots (z - a_n)}_{\text{real roots}} \underbrace{(z - \lambda_1) \cdots (z - \lambda_{2m})}_{\text{all complex roots}}$$

real roots

all complex roots  
(come in conjugate pairs by fact)

So

$$p(z) = c(z - a_1) \cdots (z - a_n)(z - \lambda_1)(z - \bar{\lambda}_1) \cdots (z - \lambda_m)(z - \bar{\lambda}_m)$$

But if  $\lambda \in \mathbb{C}$ ,

$$(z - \lambda)(z - \bar{\lambda}) = z^2 - 2(\operatorname{Re} \lambda)z + |\lambda|^2 \quad \leftarrow \text{notice this is in } \mathcal{P}(\mathbb{R})$$

$$\text{with } (2(\operatorname{Re} \lambda))^2 - 4|\lambda|^2 < 0$$

So

$$p(z) = C(z - a_1) \cdots (z - a_m)(z^2 - (2\operatorname{Re} \lambda_1)z + |\lambda_1|^2) \cdots (z^2 - (2\operatorname{Re} \lambda_n)z + |\lambda_n|^2)$$

□

Cor If  $p \in \mathcal{P}(\mathbb{R})$  has  $\deg p$  odd, then  $p$  has a real root

Proof Since  $p(z) = C(z - a_1) \cdots (z - a_m)(z^2 + b_1z + c_1) \cdots (z^2 + b_nz + c_n)$

has  $\deg p(z) = m + 2n$ , and  $\deg p(z)$  odd, then  $m$  is odd. So  $m \geq 1$ . So  $(z - a_1)$  is a factor, i.e.  $a_1$  is a root.  $\square$

Key ideas \*

- \* polynomials
- \* division algorithm
- \* factoring over  $\mathbb{C}$  and  $\mathbb{R}$

(for proofs, take Math 36R3)

Have a good reading week

