

HOMEWORK ASSIGNMENT 7

All of the questions from Part A will be graded. One of the questions from Part B will be graded in detail, while the others will be marked for completion. Assignments will be submitted via *Crowdmark*. You will be graded on your solution *and* how well you write your proof.

For this assignment, you may use the following lemma without proof.

**Lemma 0.1.** *Let  $B$  a  $d \times d$  matrix of the form*

$$B = \begin{bmatrix} 0 & 1 & 0 & 0 & \cdots & 0 \\ 0 & 0 & 1 & 0 & \cdots & 0 \\ 0 & 0 & 0 & 1 & \cdots & 0 \\ & & & \vdots & & \\ 0 & 0 & 0 & 0 & \cdots & 1 \\ 0 & 0 & 0 & 0 & \cdots & 0 \end{bmatrix}.$$

*Then  $B, B^2, B^3, \dots, B^{d-1} \neq 0$ , but  $B^d = 0$ .*

**Part A.** [Short Questions; 4pts]

**Exercise 1.** Let  $V$  be a vector space over  $\mathbb{C}$  with  $\dim V = 5$ . Suppose that  $T \in \mathcal{L}(V)$  is a linear operator with eigenvalues  $\lambda_1 = 2, \lambda_2 = -3$ , and  $\lambda_3 = 2022$ . Furthermore, suppose that the multiplicity of  $\lambda_1$  is 1, the multiplicity of  $\lambda_2$  is 2, and the multiplicity of  $\lambda_3$  is 2. What are all the possibilities for the minimal polynomial of  $T$  (justify your answer).

*Hint.* There are four.

**Exercise 2.** Let  $V = F^4$  for a field  $F$ . Give an example of an operator  $T \in \mathcal{L}(V)$  with characteristic polynomial  $(z - 2022)^4$  but minimal polynomial  $(z - 2022)^2$ .

**Part B.** [Proof Questions; 6pts]

**Exercise 3.** Let  $V$  be a vector space over  $F$  with  $\dim V = n$ , and let  $T \in \mathcal{L}(V)$ . Suppose that there exists a non-zero vector  $w \in V$  such that the  $n$  vectors

$$w, Tw, T^2w, \dots, T^{n-1}w$$

form a basis for  $V$ .

(1) Prove that there are  $a_0, \dots, a_{n-1} \in F$  such that

$$a_0w + a_1Tw + a_2T^2w + \cdots + a_{n-1}T^{n-1}w + T^nw = 0.$$

(2) With  $a_0, \dots, a_{n-1}$  as in the previous part, prove that the minimal polynomial of  $T$  divides the polynomial

$$p(z) = a_0 + a_1z + a_2z^2 + \cdots + a_{n-1}z^{n-1} + z^n.$$

*Remark.* Although you don't need to prove this, the above result is actually stronger. That is, you can prove that  $p(z)$  is the minimal polynomial of  $T$  in this special case.

**Exercise 4.** Let  $V$  be a finite dimensional vector space over  $F = \mathbb{C}$ . Suppose that  $T \in \mathcal{L}(V)$  has characteristic polynomial  $(z - 2022)^n$  and minimal polynomial  $(z - 2022)^m$  with  $1 \leq m < n$ . By Theorem 8.60, there is a Jordan Basis of  $V$  so that the matrix of  $T$  with respect to this basis has the form

$$\begin{bmatrix} A_1 & 0 & \cdots & 0 \\ 0 & A_2 & 0 & \cdots & 0 \\ 0 & 0 & A_3 & \cdots & 0 \\ & & & \ddots & \\ 0 & 0 & 0 & \cdots & A_p \end{bmatrix}$$

where each  $A_j$  is a  $d_j \times d_j$  upper triangular matrix of the form

$$A_j = \begin{bmatrix} 2022 & 1 & 0 & 0 & \cdots & 0 \\ 0 & 2022 & 1 & 0 & \cdots & 0 \\ 0 & 0 & 2022 & 1 & \cdots & 0 \\ & & & \vdots & & \\ 0 & 0 & 0 & 0 & \cdots & 1 \\ 0 & 0 & 0 & 0 & \cdots & 2022 \end{bmatrix}.$$

Show for all  $j = 1, \dots, p$ , we have  $d_j \leq m$ . Then show that there is at least one  $j$  such that  $d_j = m$ . The lemma given at the beginning will help.

*Hint.* To start this problem, what does the matrix of  $T - 2022I$  look like with respect to this basis?

*Remark.* The point of this exercise is to show that the minimal polynomial controls the size of the blocks in the Jordan form. This result generalizes to the case that  $T$  has other eigenvalues; you are just looking at the special case that  $T$  has one eigenvalue.

**Additional Suggested Problems.** [Not graded]

Problems 8.C # 1, 3, 8, 13 8.D # 3, 5